

# PATENT COOPERATION TREATY

## PCT

### INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference <b>SPEC. 001W00</b>	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. <b>PCT/US 99/ 14446</b>	International filing date (day/month/year) <b>25/06/1999</b>	(Earliest) Priority Date (day/month/year) <b>26/06/1998</b>
Applicant  <b>HAGLER, Thomas, W.</b>		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 4 sheets.

☐ It is also accompanied by a copy of each prior art document cited in this report.

**1. Basis of the report**

a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1 (b)).

b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing :

☐ contained in the international application in written form.

☐ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☐ **Certain claims were found unsearchable** (See Box I).

3. ☒ **Unity of invention is lacking** (see Box II).

4. With regard to the **title**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

5. With regard to the **abstract**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No.

☐ as suggested by the applicant.

☒ because the applicant failed to suggest a figure.

☐ because this figure better characterizes the invention.

1A

☐ None of the figures.

## INTERNATIONAL SEARCH REPORT

International Application No

PC 99/14446

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 G01J3/12 G01J3/32 G01J3/433

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 G01J G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X A	US 5 485 268 A (TOBIAS REGINALD) 16 January 1996 (1996-01-16) column 2, line 31 - line 49  column 4, line 16 -column 5, line 38 figures 3-5  --- -/--	31,37, 39,40 1-9,17, 19, 32-35, 38, 41-43, 48-50, 53,54, 62,65, 70-84, 92,108, 109,112

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

Date of the actual completion of the international search

4 October 1999

Date of mailing of the international search report

11 01. 2000

Name and mailing address of the ISA

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Fax: (+31-70) 340-3016

Authorized officer

Jacquin, J

# INTERNATIONAL SEARCH REPORT

International Application No

US 99/14446

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X A	US 3 922 092 A (VAN DEN BOSCH FRANCOIS J G) 25 November 1975 (1975-11-25) column 4, line 51 -column 6, line 53  figures 1-4 ---	31,39, 40,48 1,49,51, 53,65, 71,77, 83,92, 108,109, 112
X A	GB 672 758 A (MARCEL JULES EDOUARD GOLAY) 28 May 1952 (1952-05-28) page 5, line 56 -page 6, line 8 figure 3 ---	31,36, 37,39,40 16
A	WO 97 31245 A (DIASENSE INC ;HARTWICK DAVID J (US); HENDRICKSON MARK L (US); WACZ) 28 August 1997 (1997-08-28) page 9, line 22 -page 10, line 15 figures 2,3 -----	22,23, 45-47, 59,61

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 99/14446

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1-85, 92-95, 108-114

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

**FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210**

1. Claims: 1-85,92-95,108-114

A spectrum analyzer with means to produce a fine temporal and wavelength-coding modulation of incoming radiation

2. Claims: 86-91,115-118

A spectrum analyzer with means to excite a sample in two or more wavelength ranges

3. Claims: 96-107

Monitoring radiation from an extended source with at least two radiation emitting portions to which correspond two separate images

REC'D 18 SEP 2000

WIPO

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference <b>EH/44370</b>	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. <b>PCT/US99/14446</b>	International filing date (day/month/year) <b>25/06/1999</b>	Priority date (day/month/year) <b>26/06/1998</b>
International Patent Classification (IPC) or national classification and IPC <b>G01J3/12</b>		
Applicant <b>HAGLER, Thomas, W.</b>		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.



2. This REPORT consists of a total of 5 sheets, including this cover sheet.

☒ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of 26 sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☒ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☐ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☒ Certain defects in the international application
- VIII ☐ Certain observations on the international application

Date of submission of the demand <b>19/01/2000</b>	Date of completion of this report <b>14.09.2000</b>
Name and mailing address of the international preliminary examining authority:  <b>European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465</b>	Authorized officer <b>Schmidt, R</b> Telephone No. +49 89 2399 2254 

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/US99/14446

**I. Basis of the report**

1. This report has been drawn on the basis of (*substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.*):

**Description, pages:**

1-36 as originally filed

**Claims, No.:**

1-101 as received on 14/08/2000 with letter of 11/08/2000

**Drawings, sheets:**

1/20-20/20 as originally filed

2. The amendments have resulted in the cancellation of:

- ☐ the description, pages:  
☐ the claims, Nos.:  
☐ the drawings, sheets:

3. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

4. Additional observations, if necessary:

**III. Non-establishment of opinion with regard to novelty, inventive step and industrial applicability**

The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), or to be industrially applicable have not been examined in respect of:

- ☒ the entire international application.  
☐ claims Nos. .

because:

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/US99/14446

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☐ the said international application, or the said claims Nos. relate to the following subject matter which does not require an international preliminary examination (*specify*):

☒ the description, claims or drawings (*indicate particular elements below*) or said claims Nos. 1 - 85 are so unclear that no meaningful opinion could be formed (*specify*):

**see separate sheet**

☐ the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.

☒ no international search report has been established for the said claims Nos. 86 - 101.

**VII. Certain defects in the international application**

The following defects in the form or contents of the international application have been noted:

**see separate sheet**



**CITED DOCUMENTS**

- D1: US-A-5 485 268 (TOBIAS REGINALD) 16 January 1996 (1996-01-16)  
D2: US-A-3 922 092 (VAN DEN BOSCH FRANCOIS J G) 25 November 1975  
(1975-11-25)  
D3: GB 672 758 A (MARCEL JULES EDOUARD GOLAY) 28 May 1952 (1952-  
05-28)

**ITEM III**

1. The examining authority agrees with the objection as to lack of unity put forward by the search authority. Since the applicant has only had a search report drawn up on parts of the claims, only these claims have been examined.
2. Due to the severe lack of conciseness (17 independent claims) a thorough examination of the claims as to novelty and inventive step could not be made. However, at this stage, there are the following observations:

Document D1 discloses a two-dimensional spatial radiation modulator (cf. figure 4) having a plurality of filters at different radii for modulating amplitudes of different wavelength components of an incident beam.

The document further discloses the use of such a modulator in a radiation spectrum analyser comprising (cf. figure 3 and column 4): a light source 31, means for collecting, focussing and dispersing (grating 34), rotation means, a detector 35 and analysing means 36 wherein the modulator 32-w is positioned in a plane in which the dispersed image is formed.

Document D2 also discloses a two-dimensional modulator having filters at different radii (cf. figures 1 to 3) which is used in a radiation spectrum analyser (figure 4a) wherein a dispersed image is formed onto the modulator.

Document D3 also discloses a modulator having different filters at different radii (cf. figures 2 and 3) to encode a beam.

The present invention as characterised in eg claim 17 (which appear to be of broadest scope) differs from this prior art in that the intensity is modulated only along an azimuthal axis to provide an encoded component and in that the amplitude of said encoded component changes between three or more distinct levels of contrast as the substrate is rotated.

Such a digitization in more than two levels (ie normally only on and off) along an azimuthal axis only is not known from nor hinted at in the prior art.

**ITEM VII**

1. The claims are not drafted in accordance with Rule 6.3 b (two-part form) and 6.2 b (reference signs in claims) PCT.
2. Contrary to the requirements of Rule 5.1(a)(ii) PCT, the relevant background art disclosed in the documents D1 to D3 is not mentioned in the description, nor are these documents identified therein.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 99/14446

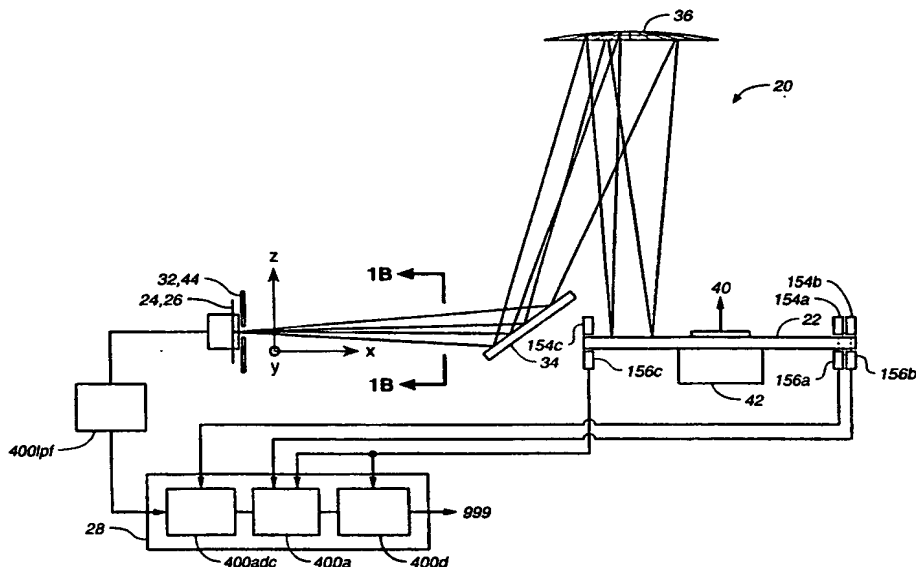
Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 5485268	A	16-01-1996	US 5483335 A	09-01-1996
US 3922092	A	25-11-1975	NONE	
GB 672758	A		NONE	
WO 9731245	A	28-08-1997	AU 1964297 A EP 0882218 A	10-09-1997 09-12-1998



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>G01J 3/12, 3/32, 3/433</b>		<b>A3</b>	(11) International Publication Number: <b>WO 00/00796</b>
			(43) International Publication Date: 6 January 2000 (06.01.00)
(21) International Application Number: PCT/US99/14446		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).	
(22) International Filing Date: 25 June 1999 (25.06.99)			
(30) Priority Data: 09/105,279 26 June 1998 (26.06.98) US			
(63) Related by Continuation (CON) or Continuation-in-Part (CIP) to Earlier Application US Not furnished (CIP) Filed on Not furnished			
(71)(72) Applicant and Inventor: ✓ HAGLER, Thomas, W. [US/US]; 450 Bonita Avenue, Pleasanton, CA 94566 (US).		Published With international search report. With amended claims.	
(74) Agents: HSUE, James, S. et al.; Majestic, Parsons, Siebert & Hsue P.C., Suite 1100, Four Embarcadero Center, San Francisco, CA 94111-4106 (US).		(88) Date of publication of the international search report: 13 April 2000 (13.04.00)	
		Date of publication of the amended claims: 18 May 2000 (18.05.00)	

(54) Title: METHOD AND APPARATUS FOR SPECTRUM ANALYSIS AND ENCODER



## (57) Abstract

A disc serving as a spatial radiation modulator has dispersed radiation filters thereon. Each filter has a transmittance or reflectance modulation function of the form  $\sin^2(m\theta + p\pi/4)$ , where  $m$  is a positive integer and  $p$  has one of the four values 0, 1, 2, 3. A radiation beam including selected wavelength components is diffracted into an elongated image dispersed according to wavelength. Different wavelength components are focused onto different filters on the modulator and are encoded by corresponding filters. Since the modulation functions of the filters are orthogonal to one another, it is possible to extract the amplitude of each wavelength component after it has been encoded or modulated by corresponding filter from the total detected signal during one measurement.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

# INTERNATIONAL SEARCH REPORT

Int l Application No  
PCT/US 99/14446

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 G01J3/12 G01J3/32 G01J3/433

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 G01J G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X A	US 5 485 268 A (TOBIAS REGINALD) 16 January 1996 (1996-01-16) column 2, line 31 - line 49  column 4, line 16 -column 5, line 38 figures 3-5  --- -/--	31,37, 39,40 1-9,17, 19, 32-35, 38, 41-43, 48-50, 53,54, 62,65, 70-84, 92,108, 109,112

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
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- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- \*Z\* document member of the same patent family

Date of the actual completion of the international search

4 October 1999

Date of mailing of the international search report

11 01. 2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Jacquin, J

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 99/14446

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
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X A	GB 672 758 A (MARCEL JULES EDOUARD GOLAY) 28 May 1952 (1952-05-28) page 5, line 56 -page 6, line 8 figure 3  ---	31,36, 37,39,40 16
A	WO 97 31245 A (DIASENSE INC ;HARTWICK DAVID J (US); HENDRICKSON MARK L (US); WACZ) 28 August 1997 (1997-08-28) page 9, line 22 -page 10, line 15 figures 2,3  -----	22,23, 45-47, 59,61

# INTERNATIONAL SEARCH REPORT

international application No.  
PCT/US 99/14446

## B x I Observations where certain claim were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## B x II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1-85,92-95,108-114

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.



FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

1. Claims: 1-85,92-95,108-114

A spectrum analyzer with means to produce a fine temporal and wavelength-coding modulation of incoming radiation

2. Claims: 86-91,115-118

A spectrum analyzer with means to excite a sample in two or more wavelength ranges

3. Claims: 96-107

Monitoring radiation from an extended source with at least two radiation emitting portions to which correspond two separate images

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 99/14446

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 5485268	A	16-01-1996	US 5483335 A	09-01-1996
US 3922092	A	25-11-1975	NONE	
GB 672758	A		NONE	
WO 9731245	A	28-08-1997	AU 1964297 A	10-09-1997
			EP 0882218 A	09-12-1998

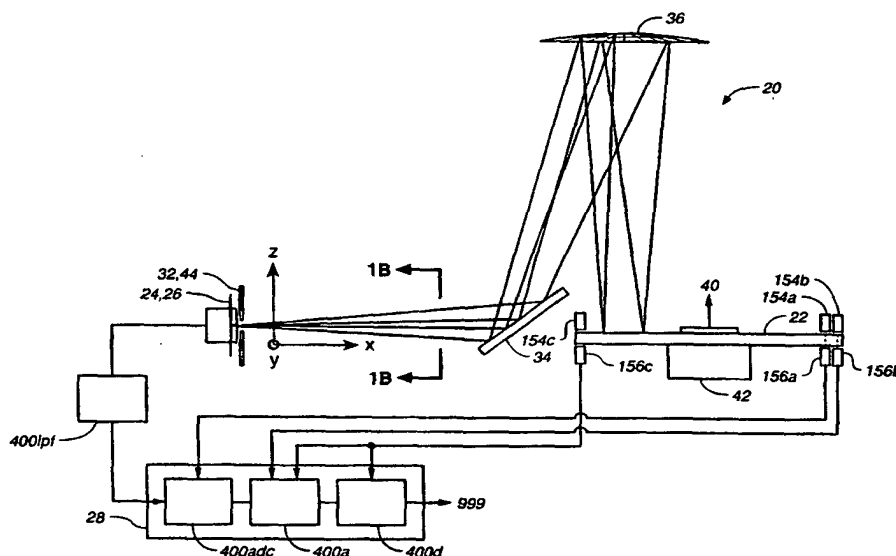
PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>G01J 3/12, 3/32, 3/433</b>		<b>A3</b>	(11) International Publication Number: <b>WO 00/00796</b>
			(43) International Publication Date: 6 January 2000 (06.01.00)
(21) International Application Number: PCT/US99/14446		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).	
(22) International Filing Date: 25 June 1999 (25.06.99)			
(30) Priority Data: 09/105,279 26 June 1998 (26.06.98) US			
(63) Related by Continuation (CON) or Continuation-in-Part (CIP) to Earlier Application US Filed on Not furnished (CIP) Not furnished			
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## (57) Abstract

A disc serving as a spatial radiation modulator has dispersed radiation filters thereon. Each filter has a transmittance or reflectance modulation function of the form  $\sin^2(m\theta + p\pi/4)$ , where  $m$  is a positive integer and  $p$  has one of the four values 0, 1, 2, 3. A radiation beam including selected wavelength components is diffracted into an elongated image dispersed according to wavelength. Different wavelength components are focused onto different filters on the modulator and are encoded by corresponding filters. Since the modulation functions of the filters are orthogonal to one another, it is possible to extract the amplitude of each wavelength component after it has been encoded or modulated by corresponding filter from the total detected signal during one measurement.

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## METHOD AND APPARATUS FOR SPECTRUM ANALYSIS AND ENCODER

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### CROSS REFERENCE TO RELATED APPLICATION

This application is a continuation-in-part of copending U.S. patent application Serial No. 09/105,279, filed June 26, 1998.

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### BACKGROUND OF THE INVENTION

This invention relates in general to spectrum analyzers and in particular, to a spectrum analyzer and encoder employing spatial modulation of radiation dispersed by wavelength or imaged along a line.

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Radiation spectral analysis is presently carried out in a number of ways. Dispersive and Fourier transform based analyzers are for high resolution and can be used for many different applications so that they are more versatile than existing application-specific instruments and procedures. While these analyzers offer superior spectral performance, they tend to be expensive, slow and are not portable. For most applications, these instruments offer a resolution which is largely unnecessary. Many applications require measurements only at several wavelengths so that most of the data taken over the entire complete spectrum using these instruments is discarded and not used at all in the analytical computations. Such analyzers may also be too large and heavy for many practical applications.

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In contrast, a non-dispersive approach to spectral analysis employs interference filters of fixed frequency passbands to perform given analytical functions. To perform the measurement, the light signal containing a number of wavelength components is propagated through one or more interference filters which are characterized by a center wavelength and bandwidth. The non-dispersive approach is advantageous over the Fourier transform and dispersive spectrum

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analyzers in that the non-dispersive approach is less expensive and measures the minimum amount of spectral data required to perform a given analytical function. However, if the analytical function requires a significant number of filters, the system's signal-to-noise ratio is reduced as the total energy measured in a given filter over time is inversely related to the number of filters. Furthermore, if a spectrum analyzer using this approach is configured for a first application, the filters used in the device may have to be replaced, or the number of filters changed, in order to adapt the analyzer to a second application. Therefore, even though the non-dispersive approach may be less expensive and does not measure unnecessary data as compared to the dispersive and Fourier transform approaches, the present non-dispersive approach has clear limitation in adaptability and the number of wavelength which can be analyzed.

Another type of optical spectrum analyzer, which is best described as a hybrid between dispersive and non-dispersive instruments, is the Hadamard spectrometer. The Hadamard spectrometer includes a spatial light modulator, comprised of a disc made of an opaque material with slots therein that reflect or transmit light, where the slots have uniform transmittance or reflectance. A light beam is dispersed according to wavelength onto the disc and the slots are selectively spaced at different radii from the axis to form a number of different optical channels for detecting corresponding wavelength components of the beam. The disc is rotated about the axis and the slots selectively encode the corresponding wavelength components with a binary amplitude modulation. The encoded beam is then directed to a detector. In order to differentiate the intensity of the wavelength component transmitted or reflected by one slot from that of another, the disc is sequentially stepped through a specific number of steps, each step comprised of a binary pattern of open or closed optical channels, which defines one equation in a system of simultaneous equations for the amplitudes of the wavelength components. This set of simultaneous equations is then solved to yield the intensity for each channel prior to any specific analytical function, an approach which is cumbersome and time consuming. Furthermore, as a direct consequence of the binary encoding approach, there is no mechanism by which one can recover the actual signal levels

if any one of the signal levels changes substantially over the period of rotation. It should be noted that the system of equation can be simplified if the slots are patterned such that the light is transmitted or blocked one wavelength component at a time. However, this approach changes the optical duty cycle of each of the wavelength components from its optimum value of 50%, thereby degrading the signal to noise ratio. Finally, if a Hadamard analyzer is configured for a first application, and the number of slots is changed to adapt the analyzer to a second application, the data acquisition and decoding algorithms must be changed as well, which significantly limits the instrument's adaptability.

None of the above approaches is entirely satisfactory. It is, therefore, desirable to provide an improved spectrum analyzer where the above-noted disadvantages are avoided or significantly diminished, in particular, where the encoding, data acquisition and demodulation are both generalized and significantly simplified such that the details of the spectrum analyzer can be rendered to a single application specific hardware component.

#### SUMMARY OF THE INVENTION

This invention provides many advantages over the conventional Hadamard disc described above. In some embodiments for certain applications, this invention enables the amplitudes of wavelength components to be encoded as digitized replicas of smooth functions which render the encoded wavelength components substantially orthogonal to one another. In this manner, one can preserve the ideal 50% duty cycle, eliminate the time consuming practice of solving a system of simultaneous equations to deconvolve the encoded signal, and the decoded signal is largely insensitive to transients which may occur in one or more of the wavelength components during a data acquisition cycle. In addition, the number of data acquisition steps becomes arbitrary which allows one to use a more generalized approach to decoding the optical signal. For this purpose, the modulation functions of the filters employed are such that they cause the amplitudes of given modulated wavelength components to change between three or more distinct levels of contrast as the modulator is rotated about an axis or otherwise displaced, the preferred

configuration having the largest number of distinct levels of contrast that is practical.

In many practical embodiments, digitized versions or replicas of the smooth functions may be used instead of analog ones while retaining most of the advantages of the invention. The small interference effects caused by digitization can either be ignored, or accounted for and corrected as required by the application. The modulation functions of two dispersed radiation filters for two different channels are considered to be substantially orthogonal to each other when it is possible to differentiate the contribution to the detector signal caused by the wavelength component in one of the two channels from that caused by the wavelength component in the other channel without having to solve a simultaneous system of equations. The definition also allows for a finite number of corrections to compensate for the effects of digitization. As explained below, for different applications, this definition may be applied differently. In this manner, the time and effort required to decode the amplitude coefficients is greatly reduced. Furthermore, the modulators can be made with filters specifically adapted for certain analytical functions. If modulators with different characteristics are called for to perform a different analytical function, it is a simple matter to replace the modulator by one with the desired properties without altering the system apparatus. Another advantage of the invention lies in that the filters of a modulator are at fixed spatial relationship to each other, so that wavelength calibration is reduced in determining the alignment of the dispersed image onto the radius of the disc.

One embodiment of the spectrum analyzer of this invention according to one aspect of the invention comprises a source providing radiation, where the radiation includes a plurality of selected wavelength components. Radiation from the source is collected, dispersed and focused to form an image dispersed by wavelength onto a plane. A two-dimensional spatial modulator positioned in the plane modulates amplitudes of the wavelength components to encode each component, such that the amplitude of each encoded component has three or more distinct levels of contrast as a function of time. The encoded beam is collected and directed towards a detector and signals generated by the detector are sampled and analyzed. Preferably, at least



two of the modulation functions for encoding two corresponding wavelength components have the optimum 50% duty cycle and are substantially orthogonal, so that the amplitudes of the two encoded components present in the total detector signal may be distinguished from each other without solving a system of simultaneous equations. Preferably, the modulator is rotated about an axis or otherwise reciprocated in a direction, where the modulator modulates the wavelength components so that the amplitude of each encoded component has three or more distinct levels of contrast as a function of time. More preferably, at least two of the modulation functions of the modulator have the optimum 50% duty cycle and are substantially orthogonal along an azimuthal axis relative to the rotation.

Another aspect of the invention and useful for the above-described spectrum analyzer is a two-dimensional spatial radiation modulator adapted to be rotated about an axis. The modulator has a plurality of radiation filters at different radii from the axis for modulating amplitudes of different wavelength components of an incident radiation beam to encode the beam, so that the amplitude of each encoded component is a function of a rotation angle of the modulator about the axis. Preferably, one or more of the filters has a modulation function that encodes the amplitude of the corresponding component so that the encoded component has three or more distinct levels of contrast over time when the modulator is rotated about the axis. More preferably, the modulation functions are smooth functions or digitized replicas thereof, obtained by rounding up or rounding down to a finite number of levels of contrast, and the modulation functions of two filters for modulating two different wavelength components have the optimum 50% duty cycle and are substantially orthogonal. In the preferred embodiment, the modulator contains a series of timing marks and the analyzer has a number of optical switches which are triggered by the timing marks to establish the absolute angle of rotation for decoding purposes. Most preferably, the timing marks will also trigger the data acquisition from the detector and the decoding algorithm, which in turn, will substantially relax the requirements on the stability of the modulators rotational period. Preferably, the analyzer will have a dedicated radiation source and an analog detector which is partially interrupted by the timing marks to detect platter

wobble or a misaligned pattern on the modulator. More preferably, the signal generated by the analog detector would be used as input by the decoding algorithm or the analytical function to compensate for the undesired effects of platter wobble or a misaligned pattern. Most preferably, the signal generated by the analog  
5 detector would be used as input to control the position of one or more optical elements to keep the diffracted image centered on the modulator pattern. Preferably, the analyzers operating system will include an algorithm which will detect transients in the signal levels of the wavelength components which occur during a rotational period of the modulator. Most preferably, the algorithm will  
10 include a feedback mechanism to increase the motor speed in response to the detection of sub-rotational-period signal transients and decrease the motor speed in response to extended periods of time where the signal is stable.

Another two-dimensional spatial radiation modulator that will be useful for spectral analysis includes a two-dimensional spatial radiation modulator adapted to  
15 be rotated about an axis, or otherwise reciprocated in a direction. The modulator includes at least one pair of radiation filters which are out of phase such that the signal resulting from the filter pair can be nulled by balancing the intensity of the light which is incident on the two filters. In that manner, the difference in the light intensity incident on the two filters can be measured directly, rather than inferring  
20 the difference by subtraction, an inefficient approach which wastes dynamic range of the analog to digital converter (ADC) in the event that such a converter is used. Preferably, one or more of the filter pairs has a modulation function that encodes the amplitude of the corresponding component difference so that the encoded component difference has three or more distinct levels of contrast over time when  
25 the modulator is rotated about the axis. More preferably, the modulation functions are smooth functions or digitized replicas thereof, obtained by rounding up or rounding down to a finite number of levels of contrast, such that the modulation functions of two filter pairs for modulating two different wavelength component differences have the optimum 50% duty cycle and are substantially orthogonal.

30 Another two-dimensional spatial radiation modulator that will be useful for spectral analysis includes a two-dimensional spatial radiation modulator adapted to

be rotated about an axis, or otherwise reciprocated in a direction. The modulator has at least one dispersed radiation filter being in the shape of a band surrounding the axis, where the radial position of the band is modulated between two different radii from the axis, such that the center wavelength of the reflected radiation is modulated between two different wavelengths when the modulator is rotated about the axis. Preferably the band is serpentine in shape.

Another two-dimensional spatial radiation modulator useful for spectral analysis is adapted to be rotated about an axis. The modulator has at least one dispersed radiation filter in the shape of a substantially continuous band around the axis, where the band has a width that varies as a function of a rotational angle of the modulator about the axis, to modulate the bandwidth of the reflected radiation.

In another embodiment of the invention, a spectrum analyzer for analyzing a sample comprises a source to provide excitation radiation comprised of a first plurality of wavelength components, and means for collecting, dispersing and focusing a beam of radiation from the excitation source to form a first image dispersed by wavelength onto a first plane. A first two-dimensional spatial radiation modulator is positioned in the first plane to modulate the amplitude of the first plurality of wavelength components, where such amplitudes are periodically modulated using three or more distinct levels of contrast. The encoded excitation beam is collected and focused onto the sample, causing the sample to emit or scatter a beam of radiation. The emitted or scattered beam of radiation from the sample is collected and focused to form a second image dispersed by wavelength onto a second plane. A second two-dimensional spatial radiation modulator positioned in the second plane modulates the amplitudes of a second plurality of wavelength components in said emitted or scattered beam to encode such beam, where such amplitudes are periodically modulated using three or more distinct levels of contrast. The encoded emitted or scattered beam is collected and focused onto a detector and a time-based signal generated by the detector is analyzed for cross-modulation phenomena.

In some applications, it may be desirable to measure certain characteristics of a sample when excited by radiation from two or more different excitation

sources. Some samples are such that the results of the measurements may differ depending upon which source is first used in a sequence of measurements employing different excitation sources. Thus, measurement of the sample using one excitation source may cause a change in the sample that will affect its measurement  
5 by means of another excitation source.

For such applications, it may be desirable to measure the sample by means of two or more different excitation sources substantially simultaneously, where each source provides radiation in a corresponding wavelength range. For this purpose, radiation is provided from two or more excitation sources where the radiation  
10 comprises wavelength components in two or more corresponding ranges of wavelengths. Radiation from the sources are directed to a sample such that excitation radiation in not more than one of the ranges is directed to the sample at a time. In response to excitation radiation, the sample emits, transmits or scatters radiation. After such emitted, transmitted or scattered radiation has been encoded  
15 by the modulator, the encoded radiation is detected in a manner such that the contribution to the detector signal caused by radiation from each of the sources may be distinguished from contributions to the signal by the other sources. In this manner, the characteristics of the sample emission, transmission or scattering may be measured by means of radiation in different ranges of wavelengths from different  
20 excitation sources substantially simultaneously. Preferably, at least two of the modulation functions of the modulator are substantially orthogonal to one another and have the optimum 50% duty cycle. In the preferred embodiment, annular regions each comprising a series of optical gates may be provided in a peripheral region of the modulator to sequentially pass radiation from the excitation sources  
25 to the sample in a manner which synchronizes the data acquisition with the opening of the optical gates so that data acquired by means of radiation from one excitation source may be distinguished from those acquired using radiation from other excitation sources. Preferably, the modulation functions of the modulator has three or more distinct levels of contrast.

30 The invention is also useful for monitoring stability of tunable radiation sources, such as lasers. A beam of radiation from at least one of the sources is

diffracted to form an image onto a plane. Hence, a change of wavelength of the radiation from the source will cause the image to move on the plane. The beam of radiation is diffracted onto a modulator placed at the plane having a pair of filters at different radii from an axis for modulating amplitudes of wavelength components of the beam to encode the beam. The pair of the filters have modulating functions that are out of phase with respect to each other. The modulator is rotated about the axis so that the intensity of the encoded beam is proportional to the difference of intensities of radiation from the beam incident on the two filters. The encoded beam is detected and analyzed for monitoring the at least one excitation source.

Thus, if the wavelength(s) of the radiation provided by the excitation source change, this will cause the image formed by the beam of radiation on the modulator to move, thereby causing the difference of intensities of radiation from the beam incident on the two filters comprising the pair to change, resulting in a change in the signal detected by the detector. Such change may be used in a feedback scheme to tune the source so that its wavelength(s) remain stable. Preferably, each of the modulation functions has three or more distinct levels of contrast. More preferably, where more than one pair of filters are present, the modulation functions of any given pair are substantially orthogonal to those of another pair and have the optimum 50% duty cycle. More than one excitation sources may be monitored at the same time.

Some sources of radiation are not point sources but have dimensions. This invention enables each portion of the radiation source to be monitored separately from other portions. This is particularly useful for analyzing different samples having different optical characteristics such as emission, fluorescence and scattering, where the different samples may be considered collectively as an extended source of radiation. Radiation from at least two portions of an extended source are imaged on a two-dimensional spatial radiation modulator. In the preferred embodiment, radiation from the extended source is collected such that the image is preserved and focused along a spatial axis by means of a cylindrical objective to form a one-dimensional spatial image onto the modulator. The modulator has filters with first modulating functions to encode the radiation from

the at least two portions of the extended source. The encoded radiation or radiation derived therefrom is detected and analyzed so that the encoded radiation from each portion of the extended source is distinguishable from that from another portion. For some applications, it may be desirable to further spectrally analyze the encoded radiation from each of the portions of the source. This may be performed by directing the encoded radiation after encoding by the first modulator towards a diffraction element which disperses the radiation towards a second two-dimensional radiation modulator having filters with second modulator functions to further encode the beams with spectral information. The further encoded radiation is then detected and analyzed to derive the amplitudes of at least some spectral components of radiation from each of the portions of the extended source.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1A is a schematic side view of a spectrum analyzer to illustrate the preferred embodiment of this invention where the encoding is achieved by spatially varying the reflectance properties of the modulator.

Fig. 1B is a schematic view illustrating a view along the line 1B-1B in Fig. 1A of a portion of the analyzer in Fig. 1A.

Fig. 2 is a top view of a two-dimensional spatial radiation modulator suitable for use in the analyzer of Fig. 1A to illustrate an embodiment of the invention.

Fig. 3A is a graphical plot of a digitized replica of a smooth modulation function of one of the dispersed radiation filters in the modulator of Fig. 2 and an idealized modulation function from which the digitized modulation function of the filter of the modulator in Fig. 2 can be derived. Shown also in Fig. 3A is a digitized modulation function with only three levels of gray scale as a coarse digitized replica of the idealized modulation function of Fig. 3A.

Fig. 3B is a plot showing the effects of finite digitization on the nominally orthogonal amplitude wavefunctions,  $\sin^2(m\theta + p\pi/4)$ . The data points were obtained for a twenty-five channel system, where  $p=0$ , and  $m=1-25$ .

Fig. 4A is a graphical illustration of the digitized replica of a smooth modulation function of two of the dispersed radiation filters of the two-dimensional

modulator of Figs. 2 and 3 and the elongated dispersed image superposed upon the replicas to illustrate the invention.

Fig. 4B is a graphical illustration of the origin of the gray scales or levels of contrast to illustrate the invention.

5        Fig. 5 is a top view of a two-dimensional spatial radiation modulator to illustrate a modulator with four dispersed radiation filters for encoding different wavelength components using different modulation functions that are orthogonal to one another.

10        Fig. 6 is a top view of a two-dimensional spatial radiation modulator illustrating four spatial radiation filters for encoding four different wavelength components using the same modulation function.

15        Fig. 7 is a top view of a two-dimensional spatial radiation modulator which provides spatial radiation filters in the shape of serpentine bands, each of which is located between two corresponding concentric circles of different radii from the rotation axis to illustrate another aspect of the invention.

Fig. 8 is a top view of a two-dimensional spatial radiation modulator provided with a spatial radiation filter in the shape of a band whose width varies with the rotational angle about the rotation axis to illustrate yet another aspect of the invention.

20        Fig. 9 is a top view of a two-dimensional spatial radiation modulator provided with two spatial radiation filters having modulation functions at the same frequency but out of phase with respect to each other to illustrate another aspect of the invention.

25        Fig. 10A is a schematic view of a cross-modulation fluorescence excitation/emission analyzer to illustrate one more aspect of the invention.

Fig. 10B is a view of the analyzer of Fig. 10A along the direction 10B-10B in Fig. 10A.

30        Fig. 11A is a schematic view of a spectrum analyzer that includes a folding mirror whose position is controllable to illustrate a preferred embodiment of the invention.

Fig. 11B is a schematic view of the spectrum analyzer of Fig. 11A, illustrating in more detail the positioning of the folding mirror and other aspects of the analyzer.

Fig. 11C is a view of a portion of the spectrum analyzer of Fig. 11B along  
5 the line 11C-11C in Fig. 11B.

Fig. 12A is a schematic view of an apparatus for making the two-dimensional spatial radiation modulator of this invention.

Fig. 12B is a graphical illustration of the laser intensity that may be employed in the apparatus of Fig. 1A for making the two-dimensional modulator.

10 Fig. 13A is a schematic view of a spectrum analyzer useful for measuring the optical characteristics of a sample when excited by means of two distinct excitation sources.

Fig. 13B is a top view of a two-dimensional spatial radiation modulator useful for the embodiment of Fig. 13A.

15 Fig. 14 is a top view of a two-dimensional spatial radiation modulator useful for monitoring the stability of tunable radiation sources.

Fig. 15A is a schematic view of an apparatus useful as an image analyzer and for analyzing the optical properties of different portions of an extended radiation source to illustrate another aspect of the invention.

20 Figs. 15B and 15C are views taken along the lines 15B-15B and 15C-15C in Fig. 15A. For simplicity in description, identical components are labeled by the same numerals in this application.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

25 Fig. 1A is a schematic view of a spectrum analyzer to illustrate a preferred embodiment of the invention where the encoding of the selected wavelength components is achieved by spatially varying the reflectance properties of the modulator. As shown in Fig. 1A, spectrum analyzer 20 includes a two-dimensional spatial radiation modulator 22 for modulating radiation from a source 24, which  
30 may be a broadband or multiple wavelength source such as a blackbody radiator, an incandescent source, a low-pressure isotope lamp, an x-ray excited sample, a



Raman excited sample, a luminescent sample, a dye laser, a semiconductor laser, a glass laser, a gas laser and specifically, a carbon dioxide laser. After the radiation from source 24 has been encoded by modulator 22, the encoded beam is directed towards a detector 26 which provides, in response to the encoded beam, a detector  
5 output to an analyzing means such as a computer 28. An input radiation beam from source 24 is preferably passed through an entrance aperture 32 to a folding mirror 34 which reflects the radiation towards a concave diffraction grating (focusing grating) 36 which focuses the radiation onto modulator 22. Grating 36 also diffracts the input beam so that an elongated image dispersed according to wavelength is  
10 formed on the modulator. Preferably, the elongated image is formed with its length along a radial direction of the modulator 22 when the modulator is rotated about an axis 40 by means of a motorized platter 42. The dispersed radiation filters in or on modulator 22 encode the different wavelength components in the input beam by selectively reflecting the encoded components towards grating 36. Grating 36 in  
15 turn collects, reflects and focuses these components into an encoded beam towards folding mirror 34 which reflects the beam towards an exit aperture 44 to detector 26. Detector 26 detects the total intensity of the different encoded wavelength components in the encoded beam to provide a detector output to computer 28.

Preferably, each wavelength component is modulated by a corresponding  
20 filter having its modulation function. Thus, each filter on the modulator 22 forms a channel separate from the other filters/channels.

Computer 28 includes a decoding algorithm 400a, application specific analytical function 400d and an output 999. Preferably, the detectors analog output is sampled by an Analog-to-Digital Converter (ADC) 400adc which is triggered by  
25 an optical switch comprised of radiation source 154a and photodetector 156a. A second optical switch, comprised of radiation source 154b and photodetector 156b, provides the computer with a reference of 0 degrees to synchronize the ADC 400adc output with the decoding algorithm. As will be described below, as taught by this invention, the filters in or on modulator 22 are such that the optimum 50% duty  
30 cycle is retained and computer 28 can distinguish the intensity contribution of each wavelength component that is encoded by modulator 22, without having to solve

a simultaneous system of equations. A periodic modulation function of a filter has a 50% duty cycle when the average value of the function over a period is 50%.

A third optical switch, comprised of radiation source 154c and photodetector 156c, is positioned such that the radiation emitted by 154c and collected by 156c is partially interrupted by the timing marks on modulator 22. Preferably, the timing-beam 154c/photodetector 156c combination used for quantifying positional error is positioned such that the timing marks obscure roughly half of the timing beam. The analog output of photodetector 156c provides the computer with information on platter wobble and alignment errors of the pattern of dispersed radiation filters on modulator 22 with respect to the axis of rotation 40. A sample cell (not shown) may be placed between the source and the entrance aperture or between the exit aperture 44 and the detector 26 for analysis of the sample in the cell.

Fig. 1B is a view of the entrance and exit apertures 32, 34 along the arrow 1B-1B in Fig. 1A. Also shown in Fig. 1A is an xyz axis, so that the view along the arrow 1B-1B is along the negative x axis.

Fig. 2 is a top view of a spatial radiation modulator with four different spatial radiation filters thereon to illustrate an embodiment of the invention. As shown in Fig. 2, modulator 22a includes four dispersed radiation filters 50a, 50b, 50c and 50d. These filters may be formed as a layer of radiation reflective material on top of a non reflective substrate, or as a layer of non-reflective material on top of a reflective substrate; alternatively, these filters may be formed as radiation transmissive areas in an opaque substrate or as a layer of opaque material on a transmissive substrate. For convenience in description, the spatial radiation filters are described to reflect radiation, it being understood that spatial radiation filters that transmit instead of reflect radiation may be used instead in each of the embodiments herein and such variations are within the scope of the invention.

In the preferred embodiment, filters 50a-50d have digitized modulation functions that are the digitized approximations or replicas of the function  $\sin^2(m\theta + p\pi/4)$ , wherein m is an integer and p can take on any one of the values 0,  $\pm 1$ ,  $\pm 2$  and  $\pm 3$ . Filter 50a, for example, is a digitized approximation of the

modulation function  $\sin^2(3\theta)$ , filter 50b that of modulation function  $\sin^2(5\theta)$ , filter 50c that of  $\sin^2(7\theta)$  and filter 50d that of  $\sin^2(9\theta)$ . Thus, the reflectance or transmittance of each of the dispersed radiation filters 50a-50d varies as a distinct function of the rotational angle  $\theta$  around the rotational axis 40.

5           One possible digitized approximation 51 to the  $\sin^2\theta$  function with  $m=1$  and  $p=0$  is illustrated in Fig. 3A, which is obtained by rounding  $\sin^2\theta$  up or down using 20 levels of gray scale. Also shown is the digitized approximation to the  $\sin^2\theta$  with three levels of gray scale, 51x. In general, the more levels of gray scale the closer is the digitized approximation to the idealized modulation function  $\sin^2\theta$  which is  
10 shown in dotted line 50'. Obviously, other digitized approximations of the idealized function 50' may be employed and are within the scope of the invention. The digitized approximations are adequate when it is possible to differentiate the contribution to the detector signal caused by the various wavelength components without having to solve a simultaneous system of equations, and may include a  
15 small but finite number of corrections to compensate for the effects of digitization.

Fig.3B is a plot showing the effects of finite digitization on the nominally orthogonal amplitude wavefunctions,  $\sin^2(m\theta+p\pi/4)$ . The data points were obtained for a twenty-five channel system, where  $p=0$ , and  $m=1-25$ . A difference in the decoded amplitudes is defined by normalizing the twenty-five amplitudes to  
20 unity, decoding the amplitudes a first time, and then varying the amplitude of a single channel and decoding the amplitudes a second time. The average output error is given by the sum of the absolute difference in the first and second decoded amplitudes divided by the number of channels. In the figure, 61a, 61b and 61c are the resulting errors for varying the amplitude of the fundamental,  $m=1$ , the first  
25 harmonic,  $m=2$ , and the second harmonic,  $m=3$  by  $\pm 100\%$ . The error for varying the amplitude of the  $m=11$  term is also shown by 61d. The figure clearly illustrates the effects of finite digitization on the orthogonality of the modulation wavefunctions. Low end applications may only require 3-10 levels of contrast to meet a given accuracy specification, but high end systems, where significant  
30 accuracy is required may require 20 or more levels of contrast. For the most

demanding applications, the first-order correction described below may be used to correct the decoded amplitudes for the interference.

As noted above, many of the advantages of the invention stem from the fact that it is possible to choose filter modulation functions that retain the optimum 50%  
 5 duty cycle and to decode the detector signal to obtain the respective amplitudes of two or more wavelength components without having to solve a simultaneous system of equations. For many applications, this is possible where the modulation functions are roughly orthogonal. For some applications requiring very high accuracy, it may be useful to define substantial orthogonality as follows. The  
 10 modulation functions of two dispersed radiation filters may be considered to be substantially orthogonal to each other when the following conditions are satisfied:

- 1) the error in the decoded amplitude of a first channel caused by changing the output of a second channel by 100% in either direction is less than one part in 1000 after applying the first-order correction as described below; and
- 15 2) the error in the decoded amplitude of the second channel caused by changing the output of the first channel by 100% in either direction is less than one part in 1000 after applying the first-order correction as described below.

Fig. 4A is a graphical illustration of the elongated wavelength dispersed  
 20 image 52 which is focused by grating 36 of Fig.1A onto the plane of the modulator depicted in Fig.2 to illustrate the invention. As shown in Fig. 4A, the elongated image 52 is dispersed along the x axis. Two different wavelength components, 52a and 52b, of the dispersed image 52, which are encoded by modulator 22b are shown by different crosshatching in Fig. 4A. Wavelength component 52a is characterized  
 25 by a center wavelength  $(\lambda_2 + \lambda_1)/2$  and a bandwidth  $(\lambda_2 - \lambda_1)$ . Similarly, wavelength component 52b is characterized by a center wavelength  $(\lambda_4 + \lambda_3)/2$  and a bandwidth  $(\lambda_4 - \lambda_3)$ . For simplicity, only filters 50a and 50b of Fig.2 are shown schematically in Fig. 4A.

Fig. 4B is a graphical illustration of the origin of the gray scales or levels of  
 30 contrast to illustrate the invention. The curve N1 is the image intensity of 52a along the Y axis and curve N2 is the spatially modulated reflectance of the dispersed

radiation filter 50a along the Y axis at an arbitrary rotational angle  $\theta'$ . The curve N3 is the product of curves N1 and N2 and is displaced along the vertical axis for clarity. From the figure, it is apparent that the relative reflectance of 50a at  $\theta'$  is given by the ratio of the area under curve N3 to the area under curve N1. As  
5 modulator 22a is rotated about axis 40 as shown in Figs. 1A and 2, wavelength component 52a is focused onto different portions of dispersed radiation filter 50a. Thus, as the modulator 22a is rotated, wavelength component 52a is encoded by the angle-dependent reflectance of dispersed radiation filter 50a. As shown in Fig. 4B, the number of levels of contrast or gray scale are determined by the spatial  
10 resolution of the spatially modulated reflectance of filter 50a and the width of the dispersed image 52a along the Y axis.

At any given rotation angle, the total signal incident on detector 26 in Fig. 1A is given by the sum of the sub-signals arising from the selected wavelength components, 52a-52d, independently encoded by the angle-dependent reflectance  
15 of their corresponding dispersed radiation filters, 50a-50d, on modulator 22.

In reference to Fig. 2, image 52 is dispersed by wavelength along a radial axis with respect to the rotation of modulator 22a about axis 40, where the radial direction coincides with the x axis in Figs. 1A and Figs. 4A, 4B. Theoretically, the modulation function of the filters can change in both the radial and azimuthal  
20 directions. In the embodiment of Fig. 2, the modulation functions of the filters 50a-50d change only in the azimuthal direction and not in the radial direction. Each of the filters 50a-50d occupies a two-dimensional annular area having a radius and a width. Therefore for simplicity, the width of the filters may be ignored and the modulation functions of the filters along the azimuthal direction regarded as the  
25 modulation functions of the two-dimensional filters. It being understood that spatial radiation filters with arbitrary widths are within the scope of the invention.

Fig. 5 is a top view of another embodiment 22b of the spatial radiation modulator of Fig. 1A. Modulator 22b is provided with four dispersed radiation filters 50a, 54a, 56a and 58a, where the modulation functions of the four filters are  
30 all digitized approximations of the function of the general form  $\sin^2(m\theta + p\pi/4)$  described above in reference to modulator 22a of Fig. 2. In filters 50a-50d of

modulator 22a of Fig. 2, p has the value 0 for all four filters, whereas m has the values 3, 5, 7 and 9. In modulator 22b of Fig. 5, however, the filters 50a, 54a, 56a, 58a have the respective p values of 0, 1, 2, and 3, whereas m is 3 for all four filters. In general, the dispersed radiation filters of any form in the modulator can be defined by specifying the values for m and p in the expression  $\sin^2(m\theta + p\pi/4)$ ,  
 5 where m is an integer and p can take on any one of the values 0,  $\pm 1$ ,  $\pm 2$  and  $\pm 3$ . Thus in general, the intensity of the encoded beam detected by detector 26 in Fig. 1A from a spatial radiation modulator such as modulators 22a, 22b or other modulators described in this application can be given in general by the following  
 10 equation:

(1)

$$S(\theta) = \sum_m \sum_p a_{m,p} \sin^2(m\theta + p\pi/4)$$

15 where  $S(\theta)$  is the intensity detected by the detector 26, and the summations include all of the m and p values corresponding to the filters present in a given modulator design. In equation 1 above,  $a_{m,p}$  is the amplitude of the wavelength component that has been encoded by the dispersed radiation filter having a modulation function which is a digitized approximation or replica of  $\sin^2(m\theta + p\pi/4)$ . This invention  
 20 permits one to retain the optimum 50% duty cycle and to determine the amplitudes of the wavelength components without solving a simultaneous system of equations as will be demonstrated below. In the summation process in equation 1, the filters present in a particular spatial radiation modulator may not include filters corresponding to all combinations of m and p values. This is exemplified in the  
 25 modulator 22a of Fig. 2 where p takes on only the value 0, and in the modulator 22b of Fig. 5 where m takes on the value 3 throughout all the filters. In such event, the amplitude  $a_{m,p}$  for filters that are not present in the modulator is simply 0.

As a further benefit, this approach enables the use of generalized approaches for the modulator drive system, data acquisition and the decoding algorithms. For  
 30 example, the platter is rotated at a roughly constant frequency (as opposed to being stepped), the detectors analog output is sampled by an Analog-to-Digital Converter

(ADC) 400adc which is triggered by an optical switch comprised of radiation source 154a, photodetector 156a and timing marks 150, a second optical switch, comprised of radiation source 154b, photodetector 156b and timing mark 152, provides the computer with a reference of 0 degrees to synchronize the ADC output with the decoding algorithm 400a. Hence, the decoding algorithm is compatible with any function defined in equation 1, and the number and identity (m, p and wavelength/bandwidth) of the modulated components, and the specific analytic functions to be performed on the decoded data are defined in application specific software. It is still further preferred that the application specific software be located on one side of the application specific hardware, the patterned disc.

The trigonometric functions  $\sin^2(m\theta + p\pi/4)$  obey the following orthonormal relation.

$$\int_0^{2\pi} d\theta \cos(2m\theta + p\pi/2) \sin^2(n\theta + q\pi/4) = -\frac{1}{2} \pi \delta_{m,n} (\delta_{p,q} - \delta_{p,q\pm 2}) \quad (2)$$

the amplitudes  $a_{m,p}$  of the encoded wavelength components may be determined using the orthogonal properties of the trigonometric functions in accordance with equation 3 below:

$$a_{m,p} = -\frac{2}{\pi} \int_0^{2\pi} d\theta \cos(2m\theta + p\pi/2) S(\theta) \quad (3)$$

One complication introduced by the use of digitized approximations or replicas of the trigonometric function in the modulators 22a, 22b leads to a ringing phenomena at higher harmonics of the fundamental rotational frequencies and gives rise to interference terms which are proportional to the various amplitudes of the wavelength components in the different channels. However, for sufficiently high numbers of levels of contrast, residual interference can be ignored for many applications. Nevertheless, the orthogonality described by equation 2 and used in equation 3 above is inexact. As a result, it is preferable for the interference terms to be accounted for and the individual amplitudes corrected for the interference

resulting from the amplitudes in the other channels, which naturally leads to a series of successively higher-order correction terms:

(4)

5

$$a_{m,p} = a_{m,p}^{(0)} + a_{m,p}^{(1)} + \dots$$

where the zero-order amplitude coefficients are determined from

$$a_{m,p}^{(0)} = -\frac{2}{\pi} \int_0^{2\pi} d\theta \cos(2m\theta + p\pi/2) S(\theta) \quad (5)$$

10

The first-order correction is given by

$$a_{m,p}^{(1)} = \sum_n' \sum_q' A_{n,q}^{m,p} a_{n,q}^{(0)} \quad (6)$$

15 where the primes on the summations indicate that the term where  $m = n$  and  $p = q$  is excluded.

The matrix elements  $A_{n,q}^{m,p}$  are determined by sequentially decreasing or enhancing the amplitudes of the  $a_{n,q}$  and measuring the changes in  $a_{m,p}^{(0)}$ . For example, if we identify  $\delta a_{m,p}^{(0)}$  as the change in  $a_{m,p}^{(0)}$  resulting from the change  $\Delta a_{n,q}^{(0)}$  the imposed change in  $a_{n,q}^{(0)}$ , the corresponding matrix element is given by

20

$$A_{n,q}^{m,p} = \frac{\delta a_{m,p}^{(0)}}{\Delta a_{n,q}^{(0)}} \quad (7)$$

25

In practice, the integral shown in Equation 5 is replaced with a discrete summation over the number of steps  $M$  per rotation. On start-up, a three dimensional trigonometric look-up table  $T_{m,p}^j$ , is defined and initialized with the values of  $\cos(2m\theta + p\pi/2)$  evaluated at the step interval for rotation.

30

$$T_{m,p}^j \equiv -\frac{2}{\pi M} \cos(jm4\pi / M + p\pi / 2) \quad (8)$$



The zeroth-order amplitude coefficients are given by a summation of the discrete signal measurements multiplied by the corresponding entry in the trigonometric look-up table

$$a_{m,p}^{(0)} = \sum_{j=1}^m T_{m,p}^j S(j) \quad (9)$$

where  $S(j)$  is the ADC reading from the detector at the  $j$ th rotational step. At the end of a complete rotation, the first-order corrections are evaluated if required for a given application:

$$a_{m,p}^{(1)} = \sum_n \sum_q A_{n,q}^{m,p} a_{n,q}^{(0)} \quad (10)$$

Note that if the amplitudes have not changed significantly since the last time the corrections were evaluated, the corrections need not be re-evaluated.

From the description above, it is seen that where  $M$  measurements are taken by rotation from  $0^\circ$  through  $360^\circ$  of the modulator, the value of  $j$  for the  $j$ th rotation step would range from 1 through  $M$ . The different combinations of  $m$  and  $p$  that are present on the modulator corresponding to the actual dispersed radiation filters that are present would give rise to a number  $N$  equal to the total number of channels present on the modulator. Upon start-up of the system using a particular modulator, a trigonometric look-up table  $T_{m,p}^j$ , is then constructed using equation 8 above. In order to obtain the first-order correction, the amplitude  $a_{n,q}$  of the channel having a modulation function, which is an approximation of  $\sin^2(n\theta + q\pi/4)$ , is increased or decreased by  $\Delta a_{m,p}^{(0)}$  and the changes in the amplitude  $a_{m,p}^{(0)}$  is detected. Thus, if the change introduced in amplitude  $a_{n,q}$  is  $\Delta a_{n,q}^{(0)}$  the imposed change  $\delta a_{m,p}^{(0)}$  caused by such introduction defines the corresponding matrix element  $A_{n,q}^{m,p}$  as given by equation 7 above. This process therefore defines a matrix  $A_{n,q}^{m,p}$  defined by equation 7. The amplitude  $a_{n,q}$  may be altered by simply blocking the radiation that is reflected by the corresponding filter. At the end of a complete rotation, the first-order corrections may then be determined according to equation 10 above.

Thus, upon initialization of the system such as system 20 of Fig. 1A, a N row by M column matrix  $T_{m,p}^j$  is calculated and its values stored in a N row by M column matrix look up table. Then when each of the M measurements at M values of 2 are obtained when the modulator is rotated from 0 to 360°, M, M being a positive integer and so is N, the computer derives the amplitude of each of the wavelength components (the coefficients  $a_{m,p}$  in equation 1) in the N channels by summing the products of each of the M measurements with each of the M entries in the corresponding row in the look-up table for each such channel.

10        TRANSIENT DETECTION AND MOTOR CONTROL: Preferably, at each step j the detector signal is subtracted from the expected signal calculated using the last calculated zeroth-order amplitude coefficients defined by equation (9) above:

$$15 \quad \Delta S^k(j) = S^k(j) - \left\{ \sum_m \sum_p a_{mp}^{(k-1)} \sin^2(j * m * 2\pi / M + 2\pi / 4) \right\}^{(11)}$$

where  $S^k(j)$  the detector signal measured at the jth step on the kth rotational period and the  $a_{mp}^{(k-1)}$  are the zeroth-order amplitude coefficients calculated for the (k-1)th rotational period. The magnitude of  $\Delta S^k(j)$  is used to detect transients which occur on a sub-rotational-period time scale. Preferably, when the magnitude of  $\Delta S^k(j)$  exceeds a predefined threshold, the analyzers operating system increases the speed of the motor device 42 (such as by means of control signal from computer 28 to motor device 42), and when the magnitude of  $\Delta S^k(j)$  drops below a second predefined threshold for a predefined extended period of time, the analyzers operating system decreases the speed of the motor device 42. In that way, the motor device 42 can be run as slow as possible, thereby increasing the operating life. Most preferably,  $\Delta S^k$  is analyzed over a finite number of steps to determine its harmonic content, which in turn will be used as input by the decoding algorithm to compensate for the harmonic interference resulting from sub-period signal transients. Control of device 42 may be accomplished by means of computer 28 via a control signal line (not shown in the figures) to device 42.

Fig. 6 is a top view of a spatial radiation modulator 22c with four dispersed radiation filters therein with the same modulation functions  $\sin^2(m\theta + p\pi/4)$ , but located at different radii from the rotational axis 40 for encoding different wavelength components. This may be useful for the grouping of wavelengths into sub-groups, where the components of such wavelengths are collectively modulated to enhance the signal-to-noise ratio. As shown in Figs. 2, 5 and 6, the dispersed radiation filters preferably comprise areas in the shape of annular segments at different radii from the rotational axis 40.

Fig. 7 is a top view of another spatial radiation modulator 70 to illustrate another aspect of the invention. As shown in Fig. 7, modulator 70 is provided with three continuous bands 72, 74, 76 which are serpentine in shape. Each of the bands 72, 74, 76 is located between two concentric circles of two different radii from the rotational axis 40. Band 74, for example, is located between two concentric circles 78, 79 at radii  $r_1$  and  $r_2$  from the rotation axis 40, where the two radii correspond to two different wavelengths  $\lambda_1$  and  $\lambda_2$ . Thus, serpentine band 74 is of such a shape that its path oscillates between the two concentric circles at a modulation frequency given by the equation below:

(12)

$$r(\theta) = r_1 + (r_2 - r_1) * \sin^2(m * \theta)$$

where  $m$  is an integer, and  $2$  the rotational angle around the rotation axis 40.

The same is true for bands 72, 76. As will be evident from Fig. 7, band 74 is modulated at a frequency intermediate between the modulation frequencies of bands 72 and 76. Therefore, in the same manner as that described above for demodulation of the different wavelength components for the modulators 22a and 22b in Figs. 2 and 5 above, the amplitude of the signal at the wavelength  $(\lambda_1 + \lambda_2)/2$  modulated at a frequency for band 74 between the two wavelengths can be obtained in a similar manner from a single measurement when the modulator is rotated by 360 degrees. By choosing an appropriate modulation frequency, it is possible to

obtain the first derivative between  $\lambda_1$  and  $\lambda_2$ , which may be useful for many applications.

Fig. 8 is a top view of yet another spatial radiation modulator 80 to illustrate another aspect of the invention. Modulator 80 is provided with a band 82 having  
5 a width that varies as a function of the rotational angle  $\theta$  around the rotation axis 40 at a selected frequency given by the equation:

$$\Delta r(\theta) = \Delta r_l + (\Delta r_2 - \Delta r_1) + \sin^2(m\theta) \quad (13)$$

10 where  $m$  is an integer, and  $\theta$  the rotational angle around the rotation axis 40. The narrowest point is 82b and the widest point of the band is 82a. The detected amplitude of the wavelength component encoded by band 82 may be used to adjust the measurement results obtained using modulators 22a, 22b, 22c for correcting errors introduced by imperfections of the different components of the spectrum  
15 analyzer.

In the preferred embodiment, the dispersed radiation filters comprises two-dimensional patterns of alternating high and low transmittance or reflectance areas. Such pattern is a practical implementation of the digitized approximation or replica of a smooth periodic function such as the sine squared function. This is analogous  
20 to the half-toning process used in printing where black and white printed patterns are used to approximate intermediate gray scale levels. Thus as shown in Figs. 2, 5 and 6, areas in the dispersed radiation filter with higher reflectance are shown with a higher concentration of black dots whereas areas of the filters with a lower reflectance are shown with fewer dots. As noted above, the black dots in these  
25 figures may indicate areas of high transmittance or reflectance, whereas the white background of the disc in such filter areas is substantially non-reflective, non-transmissive or opaque. The size of the dots in these filters defines the size of reflective or transmissive pixels. Instead of using a substrate with low reflectivity or transmission and a patterned layer of high reflectively material on the substrate  
30 as described above, (or forming patterned transmissive areas in an opaque substrate), the dispersed radiation filters may be constructed in a different manner.

Thus a substrate with moderate reflectivity or transmission may be employed instead. Then in areas of the filters requiring high reflectivity or transmission, an area having such characteristics is formed (by deposit of a reflective layer or formation of transmissive area), and a layer of low reflectivity or translucidity material may be deposited in areas of the filter calling for such characteristics.

Instead of using patterns of alternating high and low reflectance or transmission, it is also possible to construct the modulators with substantially orthogonal modulation functions that are not digitized but are "analog" in nature. Thus neutral density filters may be used for this purpose, where the filters are formed by sputtering a light reflective material onto a transparent substrate. Depending on the thickness of the material sputtered, the amount of transmission can be controlled to achieve a particular substantially continuous and smooth transmittance function. Instead of using modulator 70 of Fig. 7 to obtain a first derivative between the wavelengths  $\lambda_1$ ,  $\lambda_2$ , the same result may be obtained using the modulator 22a or 22b. The amplitude of the component at a first wavelength  $\lambda_1$  encoded according to the function  $\sin^2(m\theta + p_1\pi/4)$  and the amplitude of the component at a second wavelength  $\lambda_2$  encoded according to the function  $\sin^2(m\theta + p_2\pi/4)$  are obtained, where  $p_1 - p_2$  is an even integer and  $p_1$  and  $p_2$  having one of the values 0,  $\pm 1$ ,  $\pm 2$  and  $\pm 3$ . The computer then derives the difference between the modulated amplitudes of the components at  $\lambda_1$  and  $\lambda_2$ , to obtain a first derivative value at  $(\lambda_1 + \lambda_2)/2$ . This procedure can be generalized to include differences between arbitrary wavelength components.

Fig. 9 is a top view of a spatial radiation modulator 22ModDiff to illustrate another aspect of the invention. Modulator 22ModDiff is provided with three dispersed radiation filters, Na, Nb, and Nc, where the modulation function of the three filters are all digitized approximations of the general form  $\sin^2(m\theta + p\pi/4)$  described above in reference to modulator 22a of Fig. 2. In modulator 22ModDiff, however, filters Na and Nb are designed to measure the difference in light intensity between a pair of wavelength components of the dispersed image 52 in Fig. 4A. Filters Na and Nb form a pair, Na/Nb, having the same modulation frequency, (e.g.  $m=3$ ), but are out of phase (i.e. different  $p$  values, where the difference between the

p values of the two filters is an even integer) such that the signal resulting from the filter pair, Na and Nb, can be nulled by balancing the intensity of the light which is incident on Na and Nb. For instance, the light intensity can be balanced by varying the width of Na with respect to Nb. The resulting signal at the detector 26 is proportional to the difference in light intensity incident on Na and Nb. In this manner, the difference in the light intensity incident on the two filters can be measured directly, rather than inferring the difference by subtraction, an inefficient approach which wastes dynamic range of the analog to digital converter (ADC). In general terms, the filter pairs can be thought of as being comprised of a signal channel S (e.g. Na) and a reference channel R (e.g. Nb), and the invention measures S-R directly.

In many applications, the analytical function 400d in Fig.1, requires knowledge of the absolute intensity of the signal S and reference R. Typically, the intensity of the reference R is stable for extended periods of time, and therefore, it is possible to measure the absolute intensity of R at some time  $t_0$  and then measure the difference S-R to obtain the absolute intensity of the signal S at some later time  $t_1$ . In modulator 22ModDiff, filter Nc is designed to provide the absolute intensity at the midpoint between S and R. The frequency of Nc is chosen to be much higher than the frequency of the Na/Nb pair so that the signal originating from Nc can be filtered out using an appropriate low-pass analog filter 400lpf between the detector 26 and the analog to digital converter 400adc. Preferably, the low-pass analog filter 400lpf in Fig.1 has a programmable cutoff frequency such that the signal originating from Nc can be switched in and out of the signal path to 400adc as needed. In this manner, the absolute intensity associated with the filter pair Na/Nb can be measured during a calibration cycle and subsequently, the difference S-R can be used to enhance the instruments resolution. In the alternative, the signal from detector 26 can be split into two signal paths with different low-pass filters, and a first ADC can be used to measure Na/Nb and a second ADC can be used to measure Nc.

Instead of using modulator 70 of Fig. 7 to obtain a first derivative between the wavelengths  $\lambda_1$  and  $\lambda_2$ , the same result may be obtained using modulator 22ModDiff and locating Na and Nb adjacent to one another. The signal originating

from the filter pair Na/Nb is equivalent to the first derivative of the incident intensity evaluated at  $(\lambda_1 + \lambda_2)/2$ .

Preferably, one or more of the filter pairs has a modulation function that encodes the amplitude of the corresponding wavelength component difference so that the encoded component difference has three or more distinct levels of contrast over time when the modulator is rotated about the axis. More preferably, the modulation functions are smooth functions or digitized replicas thereof, obtained by rounding up or rounding down to a finite number of levels of contrast, and are of such nature such that the modulation functions of two filter pairs for modulating two different wavelength component differences have the optimum 50% duty cycle and are substantially orthogonal.

In cross-modulation fluorescence excitation/emission analysis, it is important to be able to lock the phase of the modulation functions used for the excitation beam to the phase of the modulation functions used for the emission beam. Still better, is the ability to control the relative phase between the modulation functions used for the excitation beam to the phase of the modulation functions used for the emission beam. Another aspect of the invention is directed to the recognition that, by placing the appropriate dispersion radiation filters on one side of the disc for encoding the excitation beam and the appropriate filters for emission analysis on the other side of the disc, where the filters on both sides of the disc are spatially correlated, the modulation functions for the excitation and emission modulation processes can be phase-locked and the relative phase controlled in a very simple manner.

Fig. 10A is a schematic view of a cross-modulation fluorescence excitation/emission analyzer 100. An excitation beam 102 from excitation source 104 is reflected by folding mirror 34 and reflected and focused by grating 36 as before towards the filters suitable for encoding the excitation beam on the top side of the modulator 106. After being modulated, the encoded excitation beam is reflected by the modulator 106 back towards the grating 36 which focuses the encoded excitation beam 108 towards a fluorescent sample 110 after reflection by mirror 34. The fluorescent beam 112 emitted by the sample in response to the

encoded excitation beam is reflected by mirror 34' toward a second grating 36' which disperses and focuses the beam towards dispersed radiation filters adapted for fluorescence encoding present on the bottom side of modulator disc 106. After being modulated, the encoded fluorescent beam 118 is reflected, collected and focused by grating 36' towards a detector 120 after reflection by mirror 34'. As indicated in Fig. 10A, the fluorescent sample 110 is in the plane of the page or paper, the excitation source 104 is displaced into the page and the fluorescence detector 120 is displaced out of the page. The side view of analyzer 100 along the arrows 10B-10B in Fig. 10A is illustrated in Fig. 10B. As before, a computer 28 (not shown) connected to detector 120 is used to analyze a time-based signal generated by the detector. The patterns on the top side of disc 106 for encoding the excitation beam may have a pattern that is a digitized replica of  $\sin^2(m\theta + p\pi/4)$  and that for encoding the emission beam is a digitized replica of  $\sin^2(n\theta + q\pi/4)$  where m, n, p, q are positive integers. Computer 28 may then analyze the detector output to determine the amplitude of sum and difference frequency terms resulting from phase-locked cross-modulation according to the general trigonometric relation:

(14)

$$\sin^2(M\theta + \pi/4) * \sin^2(n\theta + q\pi/4) = (1/8) * [\cos[2(m+n)\theta + (p+q)\pi/2] + \cos[2(m-n)\theta + (p-q)\pi/2]]$$

In order to permit phase locking of the modulation functions of the filters on the top and bottom sides of disc 106, timing marks are provided that can be used for both sides of the disc. This is illustrated more clearly in Figs. 2, 5, 6, 9, 13B, 14. As shown in all such figures, timing marks 150 at regular angular intervals in reference to axis 40 are provided on a circle near the circumference of the disc 22a, 22b, 22c, 22ModDiff, 22fluoro, and 22dwdm and a timing mark 152 is provided at a non-regular angular interval or position to mark the zeroth degree rotation angle.

As shown in Fig. 1A, the radiation sources 154a and 154b provide beams towards the location of time marks 150 and 152, respectively of the discs 22a, 22b, 22c, 22ModDiff, 22fluoro, and 22dwdm and photodetectors 156a and 156b on the other side of the disc is positioned to detect the radiation beam provided by source 154a and 154b, respectively. In the preferred embodiment, the substrate is



transmissive to the timing beam and timing marks obstruct the timing beam. Alternately, the substrate is opaque to the timing signal and timing marks are milled or etched through substrate or the timing marks are reflective; and the sources 154a, 154b and 154c and the photodetectors 156a, 156b and 156c are preferably located on the same side of the modulator. Therefore, the output of photodetector 156b may supply through a connection to computer 28 to mark the zero rotational angle mark 152 and 156a may supply through a connection to also mark the instances of the passage of each of the timing marks 150. Such instances may be utilized by computer 28 for taking the M samples when the disc is rotated from 0 to 360E. In addition, a third timing-beam/photodetector combination, 154c and 156c, can be employed to measure the error in the absolute position of the dispersed radiation filters with respect to the axis of rotation. This positional error can arise from manufacturing process of the modulator (e.g., the pattern is printed off center, resulting in a purely periodic error), from the wobble of the spindle (resulting in a dynamic, periodic or non-periodic error), or from the thermal expansion of the modulator (resulting in a static radial error). Preferably, the timing-beam/photodetector combination used for quantifying positional error is positioned such that the timing marks obscure roughly half of the timing beam. The amplitude of the photodetector signal, which is a measure of the displacement of the modulator pattern with respect to the timing beam, is used as input to the application specific analytical function 400d to compensate for the effects of the error in the absolute position of the dispersed radiation filters with respect to the axis of rotation.

The above-described scheme for cross-modulation of excitation and emission can also be applied to cross-modulation of excitation and scattering. For this purpose, the fluorescent sample 110 is replaced by a sample that scatters the excitation beam. The scattered beam is then encoded and measured in the same manner as the emission beam described above. The above scheme using the timing marks 150, 152, sources 154a and 154b, and photodetectors 156a and 156b may also be used for controlling the timing of the taking of samples in other embodiments of this invention.

In reference to Figs. 10A, 10B, the same timing marks and radiation source/photodetector system (not shown) described above may be employed in analyzer 100 for performing the encoding of the excitation beam and of the emission/scattered beam by reference to the same timing marks on the same disc.

5        Fig. 11A is a schematic view of a spectrum analyzer where the position of a folding mirror may be controlled to compensate for alignment and other errors in the system. Thus as shown in Fig. 11A, where the folding mirror is in position 34(1), the input beam 202 is not properly focused onto the modulator 22. For this purpose, the folding mirror 34 is connected to a translation-rotation stage controlled  
10 by a controller for moving the folding mirror to position 34(2), so that input beam 202' is properly focused onto the modulator 22. Fig. 11B is a schematic view of an analyzer with the translation stage and the feedback mechanism used for controlling the position of the folding mirror to dynamically align the system. The folding mirror is mounted on a stage which facilitates translations along the x axis and  
15 rotations about the y axis. The background dielectric spectra for various configurations of the folding mirror has been previously analyzed and recorded into software. The real-time background dielectric spectrum is compared with the stored spectra to gauge the misalignment of the dispersed image on the modulator. This information is transformed into a command set which is sent to the translation stage  
20 to reposition the folding mirror. The process is repeated as necessary for a given application. In reference to Figs. 1A, 10B, the output of the detector 26 is sampled and then demodulated by decoding algorithm 400a, compared to background dielectric spectrum 400b by comparator 400c, and then analyzed by analyzer 400c. Hardware driver 401 positions the folding mirror 34 in response to instructions from  
25 computer 28. Fig. 11B also shows a dedicated radiation source 154c and an analog detector 156c which is partially interrupted by the timing marks 150 to detect platter wobble or a misaligned pattern on the modulator. The amplitude of the modulated signal generated by the analog detector 156c in response to the rotation of modulator 22 is used as input to the hardware driver 401 to control the position of  
30 34 to keep the diffracted image 52 centered on the modulator pattern.

Fig. 12A is a schematic view illustrating an instrument for making the spatial radiation modulators 22, such as modulators 22a-22c described above. For this purpose, a curing/ablation laser 250 is used. A photoresist 252 is placed on top of a gold coated substrate. The photoresist and the substrate are both rotated and the signal supplied to the laser is controlled in accordance with a predetermined laser intensity data shown in Fig. 12B. After the photoresist has been so exposed, the gold coated substrate may be processed in a conventional manner to form the patterns of alternating high and low reflectance and/or transmissivity on the gold coated substrate to form the spatial radiation modulators.

Fig. 13A is a schematic view of a second type of fluorescence excitation/emission analyzer 300. In this example, two distinct excitation sources, Nexa and Nexb, are independently directed toward the sample along optical paths Npatha and Npathb, respectively. The excitation sources may be gas lasers, glass lasers, laser diodes, light-emitting diodes, optical fibers, or lamp/filter combinations. Both Npatha and Npathb include transmission (or, in the alternative, reflection) through modulator 22fluoro at different radii, Rexa and Rexb, respectively. As shown in Fig. 13B, a series of staggered optical gates centered at Rexa and Rexb alternately permit the transmission of radiation from either Nexa or Nexb, respectively, such that radiation from only one of the excitation sources is incident on the sample Nsamp at any given time. The radiation 112 emitted, transmitted or scattered by the sample in response to the alternating excitation beams is reflected by mirror 34 toward a focusing grating 36 which disperses and focuses the beam towards dispersed radiation filters Ni, Nj, Nk and Nl on modulator 22fluoro adapted for fluorescence where the encoding is achieved by spatially varying the transmission properties of the modulator. The two-dimensional spatial modulator positioned in the plane modulates amplitudes of the wavelength components emitted, scattered or transmitted by the sample in response to Nexa or Nexb to encode each component, such that the amplitude of each encoded component is a function of time. The encoded fluorescent beam 112' is collected by lens Nlens and directed towards detector Ndet. The time-based signal generated by the detector is separated into two sub-signals in a staggered repeating pattern

such that each staggered sub-signal corresponds to the emitted or scattered radiation resulting from either Nexa or Nexb. The sub-signals are then independently analyzed to yield the amplitudes of the wavelength components of the emitted or scattered beam of radiation as a function of the excitation sources. Preferably, the binary amplitude modulation of the two excitation sources is synchronized with the modulation of the emitted or scattered radiation. More preferably, the binary amplitude modulation of the two excitation sources is performed by the same two-dimensional spatial modulator which modulates amplitudes of the wavelength components of the emitted or scattered radiation. Most preferably, the binary amplitude modulation of the two excitation sources is performed by two annular regions comprised of a series of gates having the same resolution as the timing marks, where every other gate is open. The phase of the open gates in the two annular regions are staggered such that only one gate is open at a time. The gates may simply be transmissive areas in an opaque substrate. The number of excitation sources and dispersed light filters was chosen for clarity, it being understood that arbitrary numbers of excitation sources and dispersed radiation filters are within the scope of the invention. The transmission mode of modulator 22fluoro was chosen for clarity, it being understood that a similar device with a reflective modulator is within the scope of the invention.

The arrangement in Figs. 13A, 13B permits the emitted or scattered radiation or sample to be detected substantially simultaneously by means of two or more different excitation sources. This may be advantageous where radiation from either one of the two sources causes a change in the sample, so that where the sample is measured completely using one source and then measured using the other source in a sequence, the results of the measurements may differ depending on which source is first used in the sequential measurements. In such event, the arrangement of Figs. 13A, 13B may be useful.

Each of the modulation functions of 22fluoro used to encode the emitted, transmitted or scattered radiation preferably has three or more distinct levels of contrast. The encoded beam is collected and directed towards a detector. Preferably, at least two of the modulation functions for encoding two corresponding

wavelength components have the optimum 50% duty cycle and are substantially orthogonal, so that the amplitudes of the two encoded components present in the total detector signal may be distinguished from each other without solving a system of simultaneous equations.

5

In Fig. 14 is a top view of another embodiment 22DWDM of the spatial radiation modulator of Fig. 1A, which is used as in a method for providing feedback to simultaneously control the center wavelengths of a number of tunable radiation sources. Spatial radiation modulator 22DWDM is comprised of two pairs of  
10 dispersed radiation filters, a first pair Ne, Nf and a second pair Ng, Nh. The filters in each pair are out of phase in a manner similar to filters Na, Nb described above in reference to Fig. 9 such that the signal resulting from either filter pair can be nulled, or made to vanish, by balancing the intensity of the light which is incident on the two filters. Preferably, one or more of the filter pairs has a modulation  
15 function that encodes the amplitude of the corresponding component difference so that the encoded component difference has three or more distinct levels of contrast over time when the modulator is rotated about the axis. Modulation functions of the type described above are suitable. More preferably, the modulation function of each of the filters in the two pairs of filters for modulating two different wavelength  
20 component differences is a smooth function or a digitized replica thereof, obtained by rounding up or rounding down to a finite number of levels of contrast, has the optimum 50% duty cycle, and the functions of the filter pairs in each pair are substantially orthogonal to each other.

The filter pairs are arranged on the surface of the modulator such that, when  
25 the tunable radiation sources are in the preferred configuration, there is no signal from any of the filter pairs. Any deviation of a given tunable source from the preferred configuration results in a signal in which the sign and amplitude of the decoded signal indicates the direction and magnitude of the displacement of the center wavelength, respectively. In such manner, the decoded signal can be used  
30 as a feedback mechanism to preserve the tunable sources in the optimum configuration. Thus, where temperature or other environmental changes cause the

center wavelength to drift, the decoded signal may be used for tuning the tunable radiation source in order to maintain a stable and constant center wavelength, such as by changing the temperature of the source.

Fig. 15A is a schematic view of another embodiment of the invention used  
5 as an image analyzer for analyzing the spatial properties of an extended source of radiation, comprises an extended source 24' providing radiation. Source 24' is not a point source so that the radiation provided by different parts (e.g. S1, S2 shown in Fig. 15B) of the source comprises a plurality of spatial components which are spatially separated from one another. Radiation from the source 24' is collected  
10 such that the image of the source is preserved and focused along a first spatial axis with an image-preserving focusing element Ma to form a one-dimensional spatial image onto a plane. A two-dimensional spatial modulator 22 positioned in the plane modulates amplitudes of the spatial components to encode each component, such that the amplitude of each encoded component is a function of time. Each of  
15 the functions has three or more distinct levels of contrast. Preferably, at least two of the modulation functions for encoding two corresponding spatial components have the optimum 50% duty cycle, and preferably are substantially orthogonal to each other, so that the amplitudes of the two encoded components present in the total detector signal may be distinguished from each other without solving a system  
20 of simultaneous equations. The spatially encoded beam is collected by a second optical element Ma' and focused onto detector 26 and a time-based signal generated by the detector is analyzed by computer 28 which includes an application-specific algorithm 400e for interpreting spatial information.

Fig. 15B shows a top-down view of the image analyzer. The source 24' is  
25 depicted to have two spatial components from portions S1 and S2 of the source 24'. Radiation emitted by S1 and S2 is collected and focused onto the radial axis of modulator 22 using image-preserving focusing element Ma to form two images S1' and S2' respectively. Modulator 22 includes a number of spatial radiation filters (not shown but similar to those described above) which encode the reflected  
30 intensity from S1 and S2. The spatially encoded beam is collected by a second optical element Ma' and focused onto detector 26. Preferably, Ma, Ma' would be

designed such that images S1" and S2" overlap at the detector plane 26. An extra lens Lensb may be used for this purpose, if necessary. Fig. 15C shows a side view of the image analyzer to illustrate the radial separation of images S1 and S2 along the radial axis of modulator 22. Where modulator have filters with modulation  
5 functions of the form  $\sin^2(m\theta + p\pi/4)$ , the output from mirror Ma' is spatially encoded by the same function.

Where one or more of the spatial components of an extended source has different wavelength components, the image analyzer described above can be combined with the spectrum analyzer shown in Fig. 1A using a geometry similar to  
10 that depicted in Figs. 10A, 10B to facilitate simultaneous spectral-image analysis. In this embodiment, the detector 26 shown in Fig. 15A would be replaced with the aperture 32 of Fig. 1A, so that the spatially-encoded radiation  $\sin^2(m\theta + p\pi/4)$  exiting the aperture would then be collected, dispersed and focused onto a second modulator to encode the beam with spectral information using modulation functions  
15 of the form  $\sin^2(n\theta + q\pi/4)$  in a manner similar to that described above for analyzing radiation from source 24 through aperture 32 in Fig. 1A. The spatially-encoded and spectrally-encoded radiation would then be collected and focused onto detector 26. The signal generated by detector 26 would then be analyzed by computer 28 to determine the sum and difference frequency components  $(m \pm n)$  resulting from  
20 phase-locked cross modulation according to the general trigonometric relation in equation (14) above.

In the various embodiments described above, the two-dimensional spatial radiation modulator is rotated by means of a motor device or motorized platter 42. Preferably, the motor device or motorized platter 42 includes a precision spindle  
25 (not shown) which is coaxial with the rotation axis 40, a motor (not shown) and a belt (not shown) connecting the motor and the spindle, so that the motor may be replaced when it is worn out without affecting the alignment of the systems of this application.

Where the modulator 22 of Fig. 1A and the modulators of the various other  
30 embodiments in the other figures are designed to be rotated about axis 40 during the spectrum analysis, the filters on the modulators occupy annular regions of the disk

as shown in the various figures of this application. This invention, however, is not limited to such implementation. Instead of annular regions, the filters, such as filters 50a, 50d may form four linear rows on the surface of the modulator, and the modulator may be reciprocated linearly along a direction substantially parallel to the rows of filters. The elongated image 52 is then projected in a direction with its length transverse (preferably perpendicular) to the direction of the rows of filters so that the image overlaps preferably all four rows of the filters. Such and other variations are within the scope of the invention.

While the invention has been described above by reference to various embodiments, it will be understood that different changes and modifications may be made without departing from the scope of the invention which is to be defined only by the appended claims and their equivalents. Thus, instead of using the specific optical elements as described, including the specific placement of a sample cell in the beam path, other optical elements may be used to collect, diffract, image and focus the radiation.



REPLACED BY  
ART 34 A-INTWHAT IS CLAIMED IS:

1. An radiation spectrum analyzer comprising:
  - a source providing radiation of a plurality of selected wavelength
  - 5 components;
  - first means for collecting and focusing a beam of radiation from the source to form an elongated image dispersed by wavelength along a length of the image onto a plane;
  - rotation means;
  - 10 a two dimensional spatial radiation modulator rotated about an axis by the rotation means and positioned in said plane so that said image is dispersed along a radial direction, said modulator having radiation filters at different radii from said axis, said filters modulating the amplitudes of said wavelength components to provide encoded components, wherein said amplitudes of the encoded components
  - 15 changes between three or more distinct levels of contrast when the modulator is rotated about the axis;
  - a detector;
  - second means for collecting and directing said encoded beam onto said detector, causing the detector to provide an output; and
  - 20 means for analyzing signals generated by said detector in response to the encoded beam.
2. The analyzer of claim 1, wherein said amplitudes of the encoded components are functions of time, said analyzing means analyzing said signals to
- 25 determine the amplitude of each encoded component.
3. The analyzer of claim 1, wherein at least one of said filters has a modulation function along an azimuthal axis, said function modulating the amplitude of a corresponding component according to a function of time having
- 30 three or more distinct levels of contrast when the modulator is rotated about said axis.

4. The analyzer of claim 3, wherein said function is a substantially smooth function or a digitized replica thereof.

5. The analyzer of claim 3, wherein at least two of said filters having substantially orthogonal modulation functions along azimuthal axes.

6. The analyzer of claim 1, wherein said analyzing means computes said amplitudes of the encoded components from the signals generated by said detector in response to the encoded beam.

10

7. The analyzer of claim 1, wherein each filter defines a separate modulated channel and has a duty cycle which is independent of the number of modulated channels.

8. The analyzer of claim 1, wherein at least one of the filters has a duty cycle equal to about 50%.

9. The analyzer of claim 1, said first or second means including a folding mirror for projecting said dispersed image onto the modulator.

20

10. The analyzer of claim 9, said folding mirror including a diffraction grating for correcting chromatic aberration

11. The analyzer of claim 9, said folding mirror including a conical section for correcting spherical aberration

25

12. The analyzer of claim 1, further comprising a translation-rotation stage for moving the mirror.

13. The analyzer of claim 12, further comprising control means for controlling the stage to move the folding mirror.

30

14. The analyzer of claim 1, said control means analyzing a background dielectric spectrum, said control means including feedback means for controlling the stage so as to position the mirror in response to said spectrum.
- 5 15. The analyzer of claim 1, said modulator including a disc, said first means focusing two incident radiation beams directed to opposite sides of the disc, said dispersed filters disposed on both sides of the disc for modulating the two incident radiation beams directed to opposite sides of the disc.
- 10 16. The analyzer of claim 1, said filters including annular segments at different radii from said axis
- 15 17. The analyzer of claim 1, wherein at least one of said filters modulates the amplitude of the component according to a function given by a combination of one or more terms of the form  $\sin^2(m*\theta + p\pi/4)$  or digital replicas thereof, where  $\theta$  is the rotational angle of said modulator about the axis,  $m$  is an integer corresponding to a particular wavelength component within said plurality of wavelength components and  $p$  has the value of 0, 1, 2 or 3.
- 20 18. The analyzer of claim 17, wherein at least one of said filters modulates the amplitude of the component at a first wavelength according to the function  $\sin^2(m*\theta + p_1\pi/4)$ , and another one of said filters modulates the amplitude of the component at a second wavelength according to the function  $\sin^2(m*\theta + p_2\pi/4)$ , where  $p_1 - p_2$  is an even integer,  $p_1$  and  $p_2$  having the value of 0, 1, 2 or 3, said
- 25 analyzing means deriving the difference between the modulated amplitudes of the first and second wavelength components to obtain a first derivative value at an intermediate wavelength.
- 30 19. The analyzer of claim 17, wherein said filters comprise  $N$  sets of filters for  $N$  channels,  $N$  being a positive integer, where  $m$  ranges from 1 to  $N$ .

20. The analyzer of claim 19, wherein the detector obtains M measurements at M values of  $\theta$  when the modulator is rotated from 0 to 360 degrees, M being a positive integer, said analyzing means including a N row by M column matrix look up table, said analyzing means deriving the amplitude of each  
5 of the wavelength components in the N channels by summing the products of the M measurements with entries in a corresponding row in the table for such channel.

21. The analyzer of claim 1, further comprising an entrance aperture for filtering radiation from the source and providing filtered radiation from the source  
10 to the first means.

22. The analyzer of claim 1, said modulator further comprising timing marks, said analyzer further including a first light source and a first photodetector for detecting the passing of the timing marks when the modulator is rotated.  
15

23. The analyzer of claim 22, said timing marks being at regular angular intervals and at least one timing mark not indicating regular angular intervals, said analyzing means responsive to an output of the photodetector indicative of light from the first light source and the timing marks at regular angular intervals for  
20 triggering the sampling of the signals generated by the detector, and responsive to an output of the first photodetector indicative of the timing marks at non-regular angular intervals for marking a zero rotational angle.

24. The analyzer of claim 23, said analyzing means including an analog-  
25 to-digital converter converting and sampling the signals in response to the output of the photodetector indicative of the timing marks.

25. The analyzer of claim 24, said analyzing means including a second light source and a second photodetector detecting said at least one mark, said  
30 analyzing means responsive to an output from the second photodetector for synchronizing the converter and a decoding algorithm.

26. The analyzer of claim 1, said modulator including a disc, said source providing two incident radiation beams directed to opposite sides of the disc, said dispersed filters disposed on both sides of the disc for modulating the two incident radiation beams, said analyzer comprising two detectors, each of the two detectors  
5 detecting an encoded beam from a corresponding side of the disc.

27. The analyzer of claim 26, further comprising means for locking the relative phase of the modulation functions on both sides of the disc for encoding the two beams.  
10

28. The analyzer of claim 26, said phase locking means including matching timing marks on both sides of the disc to identify zero degree rotation of the filters on both sides of the disc relative to the two incident radiation beams.

29. The analyzer of claim 28, said phase locking means further including means for synchronizing measurements of the two detectors by reference to the matching timing marks.  
15

30. The modulator of claim 26, said phase locking means including  
20 timing marks accessible from either side of the disc to identify zero degree rotation of the filters on both sides of the disc relative to two incident radiation beams.

31. A two dimensional spatial radiation modulator adapted to be rotated about an axis, said modulator having a plurality of filters at different radii from said  
25 axis for modulating amplitudes of different wavelength components of an incident radiation beam to encode said beam, the amplitude of each component being a smooth function or a digitized replica of a smooth function of a rotational angle of the modulator about the axis.

32. The modulator of claim 31, wherein at least one of said filters has a modulation function along an azimuthal axis, said function modulating the  
30

amplitude of a corresponding component according to a function of time having three or more distinct levels of contrast when the modulator is rotated about said axis.

5           33.     The modulator of claim 32, wherein said function is a substantially periodic or smooth function or a digitized replica thereof.

          34.     The modulator of claim 32, wherein at least two of said filters have substantially orthogonal modulation functions along azimuthal axes.

10

          35.     The analyzer of claim 1, wherein at least one of the filters has a duty cycle equal to about 50%.

15           36.     The modulator of claim 31, said filters including annular segments at different radii from said axis.

          37.     The modulator of claim 31, wherein at least one of said filters modulates the amplitude of the component according to a function given by a  
20 combination of one or more terms of the form  $\sin^2(m*\theta + p\pi/4)$  or digital replicas thereof, where  $\theta$  is the rotational angle of said modulator,  $m$  is an integer corresponding to a particular wavelength component within said plurality of wavelength components and  $p$  has the value of 0, 1, 2 or 3.

25           38.     The modulator of claim 37, wherein said filters comprise  $N$  sets of filters for  $N$  channels,  $N$  being a positive integer, where  $m$  ranges from 1 to  $N$ .

          39.     The modulator of claim 31, wherein at least one of said filters includes a two dimensional pattern of alternating high transmittance and  
30 substantially opaque areas.

40. The modulator of claim 31, wherein at least one of said dispersed filters includes two dimensional patterns of alternating high reflectance areas and substantially non-reflective areas.

5 41. The modulator of claim 31, each of said filters comprising an array of differentially reflective or transmissive pixels.

42. The modulator of claim 41, said array comprising a substrate with low reflectivity or transmissivity and a patterned layer of high reflectivity or  
10 transmissivity material on the substrate.

43. The modulator of claim 41, said array comprising a substrate with moderate reflectivity or transmissivity, a patterned layer of low reflectivity or transmissivity material and a patterned layer of high reflectivity or transmissivity  
15 material on the substrate.

44. The modulator of claim 31, said modulator including at least one grating whose diffraction characteristics are functions of radius of the grating and the rotational position of the grating.  
20

45. The modulator of claim 31, said modulator further comprising timing marks at regular angular intervals and non-regular angular intervals.

46. The modulator of claim 45, said modulator including matching  
25 timing marks on both sides of the disc to identify zero degree rotation of the filters on both sides of the disc relative to two incident radiation beams.

47. The modulator of claim 45, said modulator including timing marks accessible from either side of the disc to identify zero degree rotation of the filters  
30 on both sides of the disc relative to two incident radiation beams.

48. A two dimensional spatial radiation modulator adapted to be rotated about an axis, said modulator having at least one filter between two different radii from said axis modulating amplitudes of components of an incident radiation beam at or between two different wavelengths when the modulator is rotated about said axis.

49. The modulator of claim 48, said at least one filter being in the shape of a serpentine band surrounding said axis.

50. The modulator of claim 49, said at least one filter having a radius from the axis given by:

$$r(\theta) = r_1 + (r_2 - r_1) \sin^2(m \cdot \theta)$$

where  $\theta$  is the rotational angle of said disc,  $r_1$ ,  $r_2$  are the two different radii and  $m$  is an integer.

51. A two dimensional spatial radiation modulator adapted to be rotated about an axis, said modulator having at least one filter, said at least one filter in the shape of a continuous band around the axis, wherein said band has a width that varies as a function of a rotational angle of the modulator about the axis.

52. The modulator of claim 51, said band having a width given by:

$$\Delta r(\theta) = \Delta r_1 + (\Delta r_2 - \Delta r_1) \sin^2(m \theta)$$

where  $\theta$  is the rotational angle of said modulator about the axis,  $r_1$ ,  $r_2$  are two different radii from the axis,  $m$  is an integer and  $p$  has the value of 0, 1, 2 or 3.

53. A method for analyzing an radiation spectrum, comprising:  
providing radiation of a plurality of selected wavelength components;  
collecting and focusing a beam of radiation from the source to form an elongated image dispersed by wavelength along a length of the image onto a plane,  
positioning a two dimensional spatial radiation modulator in said plane and rotating the modulator about an axis so that said image is dispersed along a radial



direction, said modulator having filters at different radii from said axis, said filters modulating the amplitudes of said wavelength components to provide encoded components of an encoded beam, wherein said amplitudes of the encoded components changes between three or more distinct levels of contrast when the  
5 modulator is rotated about the axis;  
collecting and directing said encoded beam onto a detector; and  
analyzing signals generated by said detector.

54. The method of claim 53, wherein said amplitudes of the encoded  
10 components are functions of time, wherein said analyzing said signals to determine the amplitude of each encoded component.

55. The method of claim 53, wherein said collecting and focusing or  
collecting and directing includes projecting an image from or onto the modulator  
15 by means of a folding mirror.

56. The method of claim 55, further comprising moving said mirror by  
a translation-rotation stage to adjust focus of said beam onto the modulator or re-  
collimation of the encoded beam onto the detector.

20

57. The method of claim 55, further comprising analyzing a background  
dielectric spectrum, and positioning the mirror in response to said spectrum.

58. The method of claim 53, said modulator including a disc, said  
25 dispersed filters disposed on both sides of the disc, wherein said collecting and focusing focuses two incident radiation beams directed to opposite sides of the disc, so that the two beams are modulated by the filters on opposite sides of the disc.

59. The method of claim 58, said modulator further comprising timing  
30 marks, said method further including:

providing a light source and photodetector for detecting the passing of the timing marks when the modulator is rotated; and

generating timing signals corresponding to angular rotational angles of the modulator relative to the timing marks.

5

60. The method of claim 59, further comprising sampling the signals generated by the detector in synchronism with the timing signals.

61. The method of claim 60, said timing marks being at regular angular  
10 intervals and non-regular angular intervals, further comprising determining a zero rotational angle from output of the photodetector indicative of the timing marks at non-regular angular intervals.

62. The method of claim 53, wherein at least one of said filters  
15 modulates the amplitude of the component at a first wavelength according to the function  $\sin^2(m*\theta + p_1\pi/4)$ , and another one of said filters modulate the amplitude of the component at a second wavelength  $\lambda_2$  according to the function  $\sin^2(m*\theta + p_2\pi/4)$ , where  $p_1 - p_2$  is an even integer,  $p_1$  and  $p_2$  having the value of 0, 1, 2 or 3, wherein said analyzing derives the difference between the modulated amplitudes of  
20 the first and second wavelength components to obtain a first derivative value at an intermediate wavelength.

63. The analyzer of claim 62, further comprising using the detector to obtain M measurements at M values of  $\theta$  when the modulator is rotated from 0 to  
25 360 degrees, M being a positive integer, said analyzing means including a N row by M column matrix look up table, wherein said analyzing derives the amplitude of each of the wavelength components in the N channels by summing the products of the M measurements with entries in a corresponding row in the table for such channel.

30

64. The method of claim 63, further comprising:

sequentially altering each of the wavelength components of said beam from the modulator or said encoded beam of radiation from the detector to obtain N altered signals from the detector;

comparing each of the N altered signals to the signal generated by the  
5 detector without altering any of the components to derive interference correction terms; and

correcting a detector output using said interference correction terms.

65. A spectrum analyzer for analyzing a sample, comprising:
- 10 a source to provide excitation radiation comprised of a first plurality of wavelength components,
- means for collecting and focusing a beam of radiation from said excitation source to form a first image dispersed by wavelength onto a first plane,
- a first two dimensional spatial radiation modulator positioned in said first  
15 plane,
- said modulator modulating the amplitude of said first plurality wavelength components to encode said excitation beam,
- said amplitudes being periodically modulated using three or more distinct levels of contrast;
- 20 means for collecting and refocusing said encoded excitation beam onto said sample,
- means for collecting and focusing an emission or scattered beam of radiation from said sample comprised of a second plurality of wavelength components caused by the excitation beam to form a second image dispersed by wavelength onto a  
25 second plane,
- a second two dimensional spatial radiation modulator positioned in said second plane,
- said modulator modulating the amplitude of said second plurality of wavelength components to encode said emission or scattered beam, said amplitudes being  
30 periodically modulated using three or more distinct levels of contrast;
- a detector,

means for collecting and focusing said encoded emission or scattered beam onto said optical detector, and

means for analyzing a time-based signal generated by said detector.

5           66.     The spectrum analyzer of claim 65, wherein the excitation beam and the emission or scattered beam are encoded with the same spatial radiation modulator to facilitate phase-locked cross-modulation analysis.

10           67.     The spectrum analyzer of claim 66, wherein the modulator is a differentially reflective disc which is patterned on the first side to encode the excitation beam and patterned on the second side to encode the emission or scattered beam.

15           68.     The spectrum analyzer of claim 65, wherein said patterns encoding the excitation beam are continuation tone or digitized replicas of  $\sin^2(m\theta + p\pi/4)$  and said patterns encoding the emission beam are continuation tone or digitized replicas of  $\sin^2(n\theta + q\pi/4)$ , where m, n, p, q are positive integers.

20           69.     The spectrum analyzer of claim 65, wherein said analyzing means analyzes said signal to determine the amplitude of sum and difference frequency terms resulting from phase-locked cross modulation according to:

$$\sin^2(M\theta + \pi/4) * \sin^2(n\theta + q\pi/4) = (1/8) * \{\cos[2(m+n)\theta + (p+q)\pi/2] + \cos[2(m-n)\theta + (p-q)\pi/2]\}$$

25           70.     The method of claim 53, wherein at least two of said wavelength components are collectively modulated according to the function  $\sin^2(m\theta + p\pi/4)$ , where  $p_1 - p_2$  is an even integer,  $p_1$  and  $p_2$  having the value of 0, 1, 2 or 3, using filters with identical azimuthal modulation patterns at different radii.

71. A spectrum analyzer comprising:

a source to provide radiation comprised of a plurality of wavelength components,

5 a means for collecting and focusing a beam of radiation from said source to form an image dispersed by wavelength onto a plane,

a two dimensional spatial radiation modulator positioned in said plane, said modulator modulating the amplitude of said wavelength components to encode said beam, said amplitudes being modulated with smooth functions or digitized replicas of smooth functions having three or more distinct levels of contrast,

10 a detector,

means for collecting and refocusing said encoded beam onto said detector,

and

means for analyzing a time-based signal generated by said detector.

15 72. The analyzer of claim 71, wherein said analyzing means analyzing said signals to determine the amplitude of each encoded component.

73. The analyzer of claim 71, wherein at least one of the filters has a duty cycle equal to about 50%.

20

74. The analyzer of claim 71, wherein said smooth periodic functions are phase-locked to one another.

25 75. The analyzer of claim 71, wherein said smooth periodic functions are orthogonal to one another.

76. The analyzer of claim 71 wherein said digitized replicas of smooth periodic functions are substantially orthogonal to one another.

30

77. A two dimensional spatial radiation modulator adapted to be rotated about an axis, said modulator having a pair of filters at different radii from said axis for modulating amplitudes of different wavelength components of an incident radiation beam to encode said beam, the pair of filters having modulating functions  
5 that are out of phase with respect to each other so that the intensity of the encoded beam is proportional to a difference of intensities of radiation from the beam incident on the two filters.

78. The modulator of claim 77, the pair of filters modulating the incident  
10 beam at a first frequency, said modulator further comprising a third filter modulating the incident beam at a second frequency different from the first frequency.

79. The modulator of claim 78, said third filter located at a radius  
15 between the pair of the filters.

80. The modulator of claim 77, said modulating functions being smooth functions or digitized replicas of smooth functions having three or more distinct levels of contrast.

20

81. The modulator of claim 77, wherein said functions are substantially smooth functions or digitized replicas thereof.

82. The modulator of claim 77, wherein at least one of the filters has a  
25 duty cycle equal to about 50%.

83. A method for analyzing an radiation spectrum, comprising:  
providing radiation of a plurality of selected wavelength components;  
collecting and focusing a beam of radiation from the source to form an  
30 elongated image dispersed by wavelength along a length of the image onto a plane,

positioning a two dimensional spatial radiation modulator substantially in said plane and rotating the modulator about an axis so that said image is dispersed along a radial direction, said modulator having a pair of filters at different radii from said axis for modulating amplitudes of different wavelength components of the beam to encode said beam, the pair of filters having modulating functions that are out of phase with respect to each other so that the intensity of the encoded beam is proportional to a difference of intensities of radiation from the beam incident on the two filters;

collecting and directing said encoded beam onto a detector; and  
analyzing signals generated by said detector.

84. The method of claim 83, said pair of filters modulating the beam at a first frequency, said modulator further comprising a third filter modulating the beam at a second frequency different from the first frequency, wherein said analyzing includes separating wavelength components of the encoded beam modulated at the first frequency from the wavelength components of the encoded beam modulated at the second frequency in the signals and combining said components to derive a signal related to the difference of intensities of radiation from the beam incident on the two filters.

85. The method of claim 84, wherein said separating includes low pass filtering the signals generated by the detector at two different cutoff frequencies.

86. A spectrum analyzer for analyzing a sample, comprising:  
two or more excitation sources that provide radiation comprised of wavelength components in two or more corresponding ranges of wavelengths;  
means for directing radiation in the two or more ranges from the two or more sources to said sample, so that radiation in not more than one of the ranges is directed to the sample at the same time;

first means for collecting and focusing radiation emitted, transmitted or scattered by the sample in response to the radiation directed to it onto a plane;

a two dimensional spatial radiation modulator positioned in said plane, said modulator modulating the radiation emitted, transmitted or scattered by the sample to encode said radiation;

a detector,

5 second means for collecting and refocusing said encoded radiation onto said detector, and

means for analyzing a time-based signal generated by said detector corresponding to radiation from each of the sources.

10 87. The analyzer of claim 86, said modulator having modulating functions that are smooth functions or digitized replicas of smooth functions having three or more distinct levels of contrast.

88. The analyzer of claim 86, wherein said directing means causes  
15 radiation in the two or more ranges from the one or more sources to be directed to the sample alternately.

89. The analyzer of claim 86, said modulator having modulating functions that are substantially orthogonal modulation functions along an axis.  
20

90. The analyzer of claim 86, said modulator having a modulating function that has a duty cycle equal to about 50%.

91. The analyzer of claim 86, further comprising means for rotating the  
25 modulator about an axis, said modulator comprising a disk with two or more sets of radiation transmissive regions arranged in two or more annular rings around the disk, said regions in one set arranged in a staggered configuration with respect to the regions in the other set or sets, the disk positioned so that the directing means passes radiation in only one of the ranges through a corresponding one of the sets  
30 of radiation transmissive regions at any instance, and passes radiation in the different ranges sequentially through the corresponding sets of radiation



transmissive regions, so that radiation in the different ranges is directed sequentially to the sample.

92. A method for monitoring radiation from at least one source,  
5 comprising:

    diffracting a beam of radiation from the at least one source to form at least one image onto a plane so that a change in wavelength of the radiation source will cause its image to move;

    positioning a two dimensional spatial radiation modulator substantially in  
10 said plane and rotating the modulator about an axis, said modulator having a pair of filters at different radii from said axis for modulating amplitudes of wavelength components of the beam to encode said beam, the pair of filters having modulating functions that are out of phase with respect to each other so that the intensity of the encoded beam is proportional to a difference of intensities of radiation from the  
15 beam incident on the two filters;

    collecting and directing said encoded beam onto a detector; and  
    analyzing signals generated by said detector to monitor the source.

93. The method of claim 92, further comprising adjusting the source in  
20 response to the signals generated by the detector to tune the source.

94. The method of claim 93, wherein said adjusting includes controlling a temperature of or a drive current for the source, or tuning of an etalon.

25 95. The method of claim 92, wherein said diffracting diffracts radiation from two or more sources onto two or more images on the modulator, where the images are separated radially from one another with respect to the axis, wherein the collecting and focusing collects and focuses radiation from all the sources after encoding by the modulator onto the detector, and the analyzing analyzes the signals  
30 generated by the detector so that encoded radiation from any one of the sources is distinguishable from that from the other sources.

96. A method for monitoring radiation from an extended source having at least a first and a second portion that emit radiation, comprising:

imaging radiation in at least two beams from the at least the first and second portions onto corresponding first and second images on a plane;

5 positioning a first two dimensional spatial radiation modulator having filters with first modulating functions substantially in said plane to encode radiation in the at least two beams;

detecting said encoded radiation or radiation derived therefrom; and

analyzing signals generated by said detector so that encoded radiation from  
10 the each portion is distinguishable from that from another portion.

97. The method of claim 96, wherein said imaging includes directing radiation from different spots having different radiation emission characteristics onto the plane.

15

98. The method of claim 96, wherein said detecting includes directing the encoded radiation to a second two dimensional spatial radiation modulator having filters with second modulating functions to further encode the encoded radiation in the at least two beams; wherein said analyzing analyzes the further  
20 encoded radiation.

99. The method of claim 98, wherein said filters of the first and second modulators have substantially orthogonal modulation functions along an axis, wherein said analyzing derives amplitudes of at least some spectral components of  
25 radiation from each of the portions.

100. The method of claim 98, further comprising combining radiation from the two encoded beams after encoding by the first modulator but before encoding by the second modulator.

30

101. A system for monitoring radiation from an extended source having at least a first and a second portion that emit radiation, comprising:

first optics imaging radiation in at least two beams from the at least the first and second portions onto corresponding first and second images on a plane;

5 a first two dimensional spatial radiation modulator having filters with first modulating functions substantially in said plane to encode radiation in the at least two beams;

a detector detecting said encoded radiation or radiation derived therefrom; and

10 means for analyzing signals generated by said detector so that encoded radiation from the each portion is distinguishable from that from another portion.

102. The system of claim 101, wherein said first optics directs radiation from different spots having different radiation emission characteristics onto the  
15 plane.

103. The system of claim 101, further comprising a diffractive element and an optical device directing the encoded radiation to the diffraction element which disperses the encoded radiation onto a second two dimensional spatial  
20 radiation modulator having filters with second modulating functions to further encode the dispersed encoded radiation in the at least two beams; wherein said analyzing means analyzes the further encoded radiation.

104. The system of claim 103, wherein said filters of the first and second  
25 modulators have substantially orthogonal modulation functions along an axis, wherein said analyzing means derives amplitudes of at least some spectral components of radiation from each of the portions.

105. The system of claim 103, further comprising an optical element  
30 combining radiation from the two encoded beams after encoding by the first modulator but before encoding by the second modulator.

106. The system of claim 103, wherein said modulation functions of the filters of the first and second modulators are substantially smooth functions or digitized replicas thereof.

5        107. The system of claim 103, wherein at least one of the filters of the first and second modulators has a duty cycle equal to about 50%.

108. A method for analyzing an radiation spectrum, comprising:  
providing radiation of a plurality of selected wavelength components;  
10        collecting and focusing a beam of radiation to form an elongated image dispersed by wavelength along a length of the image onto a plane,  
positioning a two dimensional spatial radiation modulator in said plane and rotating the modulator about an axis so that said image is dispersed along a radial direction, said modulator having filters at different radii from said axis, said filters  
15        modulating the amplitudes of said wavelength components to provide encoded components of an encoded beam;  
collecting and directing said encoded beam onto a detector so that the detector provides an output; and  
calculating an expected detector output; and  
20        comparing the expected output and the detector output and adjusting the speed of rotation in response to the comparison.

109. A system for monitoring radiation from at least one excitation source, comprising:  
25        means for diffracting a beam of radiation from the at least one source to form an image onto a plane so that a change in wavelength of the radiation will cause the image to move;  
a two dimensional spatial radiation modulator substantially in said plane and rotating the modulator about an axis, said modulator having a pair of filters at  
30        different radii from said axis for modulating amplitudes of wavelength components of the beam to encode said beam, the pair of filters having modulating functions that

are out of phase with respect to each other so that the intensity of the encoded beam is proportional to a difference of intensities of radiation from the beam incident on the two filters;

an optical element collecting and directing said encoded beam onto a  
5 detector; and

means for analyzing signals generated by said detector to monitor the source.

110. The system of claim 109, further comprising means for adjusting the  
10 source in response to the signals generated by the detector to tune the source.

111. The system of claim 109, wherein said diffracting means diffracts radiation from two or more excitation sources onto images on the modulator, where the images are separated radially from one another with respect to the axis, wherein  
15 the element collects and focuses radiation from all the sources after encoding by the modulator onto the detector, and the analyzing means analyzes the signals generated by the detector so that encoded radiation from any one of the sources is distinguishable from that from the other sources.

20 112. A system for analyzing an radiation spectrum, comprising:  
a source providing radiation of a plurality of selected wavelength components;

first optics collecting and focusing a beam of radiation from the source to form an elongated image dispersed by wavelength along a length of the image onto  
25 a plane,

a two dimensional spatial radiation modulator substantially in said plane and rotating the modulator about an axis so that said image is dispersed along a radial direction, said modulator having a pair of filters at different radii from said axis for modulating amplitudes of different wavelength components of the beam to encode  
30 said beam, the pair of filters having modulating functions that are out of phase with

respect to each other so that the intensity of the encoded beam is proportional to a difference of intensities of radiation from the beam incident on the two filters;

second collecting and directing said encoded beam onto a detector; and  
means for analyzing signals generated by said detector.

5

113. The system of claim 112, said pair of filters modulating the beam at a first frequency, said modulator further comprising a third filter modulating the beam at a second frequency different from the first frequency, wherein said analyzing means includes means for separating wavelength components of the  
10 encoded beam modulated at the first frequency from the wavelength components of the encoded beam modulated at the second frequency in the signals and combining said components to derive a signal related to the difference of intensities of radiation from the beam incident on the two filters.

15 114. The system of claim 113, wherein said separating means includes one or more low pass filters that filter the signals generated by the detector at two different cutoff frequencies.

115. A method for analyzing a sample, comprising:  
20 providing two or more excitation sources that provide radiation comprised of wavelength components in two or more corresponding ranges of wavelengths;  
directing radiation in the two or more ranges from the two or more sources to said sample, so that radiation in not more than one of the ranges is directed to the sample at the same time;  
25 collecting and focusing radiation emitted, transmitted or scattered by the sample in response to the radiation directed to it onto a plane;  
positioning a two dimensional spatial radiation modulator positioned in said plane, said modulator modulating the radiation emitted, transmitted or scattered by the sample to encode said radiation;  
30 collecting and refocusing said encoded radiation onto a detector, and

analyzing a time-based signal generated by said detector corresponding to radiation from each of the sources.

116. The method of claim 115, wherein said directing causes radiation in  
5 the two or more ranges from the one or more sources to be directed to the sample alternatingly.

117. The method of claim 116, further comprising rotating the modulator  
about an axis, said modulator comprising a disk with two or more sets of radiation  
10 transmissive regions arranged in two or more annular rings around the disk, said  
regions in one set arranged in a staggered configuration with respect to the regions  
in the other set or sets, the disk positioned so that the directing passes radiation in  
only one of the ranges through a corresponding one of the sets of radiation  
transmissive regions at any instance, and passes radiation in the different ranges  
15 sequentially through the corresponding sets of radiation transmissive regions, so  
that radiation in the different ranges is directed sequentially to the sample.

118. The method of claim 115, wherein said analyzing analyzes the time-  
based signal into staggered sub-signals, each sub-signal corresponding to the  
20 radiation emitted, transmitted or scattered by the sample in response to only one of  
the two or more excitation sources.

**AMENDED CLAIMS**

[received by the International Bureau on 11 March 2000 (11.03.00);  
original claims 1-118 replaced by new claims 1-101 (26 pages)]

1. A radiation spectrum analyzer comprising:
  - at least one source providing radiation having at least one selected
  - 5 spectral component, said spectral component having an intensity, a center wavelength and a bandwidth;
  - first optics collecting, dispersing and focusing said radiation to form an image dispersed by wavelength along a dispersion axis onto a plane;
  - a two dimensional spatial radiation modulator rotated about a
  - 10 rotation axis and positioned in said plane so that said dispersion axis is substantially along a radial axis, said modulator having at least one radiation filter at a radius from said rotation axis, said filter having a radial width substantially defining the bandwidth of a corresponding spectral component of said radiation, said filter modulating the intensity of said corresponding spectral component substantially
  - 15 independent of said bandwidth to provide an encoded beam comprising at least one encoded component, wherein the amplitude of said encoded component changes between three or more substantially distinct levels of contrast as said modulator is rotated about said rotation axis;
  - a detector;
  - 20 second optics collecting and directing said encoded beam onto said detector, causing the detector to provide an output; and
  - computer analyzing signals generated by said detector in response to said encoded beam.
- 25 2. The analyzer of claim 1, wherein said computer computes the amplitude of at least one encoded component from the signals generated by said detector in response to the encoded beam.
- 30 3. The analyzer of claim 1, wherein at least one of said filters has a modulation function substantially along an azimuthal axis as said modulator is rotated about said rotation axis.



4. The analyzer of claim 3, wherein at least two of said filters having substantially orthogonal modulation functions along an azimuthal axis.

5. The analyzer of claim 3, wherein at least two of said filters  
5 having substantially complementary modulation functions along their corresponding azimuthal axes so that the amplitude of the resulting encoded component is determined by the relative proportion of said radiation incident on the two filters.

10 6. The analyzer of claim 3, wherein said modulation function is a digitized replica of a substantially smooth function, said digitized replica having three or more substantially distinct levels of contrast.

7. The analyzer of claim 6, wherein at least one of said filters  
15 modulates the intensity of a spectral component substantially according to said digitized replica of a function of the form  $\sin^2(m*\theta + p\pi/4)$ , where  $\theta$  is the rotation angle of said modulator about the axis, and m and p are integers.

8. The analyzer of claim 7, wherein at least one of said filters  
20 modulates the intensity of a first spectral component substantially according to said digitized replica of the function  $\sin^2(m*\theta + p_1\pi/4)$ , and another one of said filters modulates the intensity of a second spectral component substantially according to said digitized replica of the function  $\sin^2(m*\theta + p_2\pi/4)$ , where  $p_1-p_2$  is an even integer, said computer analyzes said signals to determine the difference between the  
25 modulated amplitudes of the first and second spectral components.

9. The analyzer of claim 7, wherein at least one of said filters  
modulates the intensity of a first spectral component substantially according to said digitized replica of the function  $\sin^2(m*\theta + p_1\pi/4)$ , and another one of said filters  
30 modulates the intensity of a second spectral component substantially according to said digitized replica of the function  $\sin^2(m*\theta + p_2\pi/4)$ , where  $p_1-p_2$  is an odd

integer, said computer analyzes said signals to determine the modulated amplitudes of the first and second spectral components.

10                    10.     The analyzer of claim 7, wherein at least two of said filters  
5   collectively modulates the intensities of at least two different spectral components substantially according to digitized replicas of the function  $\sin^2(m*\theta + p_1\pi/4)$ , said computer analyzes said signals to determine the collective modulated amplitudes of the first and second spectral components.

10                    11.     The analyzer of claim 1, said second optics including a diffraction grating to correct aberrations.

15                    12     The analyzer of claim 1, said second optics including a conical section to correct aberrations.

15                    13.     The analyzer of claim 1, said first or second optics including at least one folding mirror projecting said dispersed image onto said modulator or collecting said encoded beam from said modulator.

20                    14.     The analyzer of claim 13, further comprising a moveable stage to position said folding mirror.

25                    15.     The analyzer of claim 14, further comprising control algorithms and actuators to move the stage to position said folding mirror.

25                    16.     The analyzer of claim 15, said control algorithms analyzing at least two of said encoded components to provide a background spectrum, and controlling said actuators to position said mirror in response to said background spectrum.

30

17. A two dimensional spatial radiation modulator adapted to be rotated about a rotation axis to modulate at least one component of an incident radiation beam to encode said beam, said modulator comprising a substrate and at least one radiation filter located at a radius from said rotation axis, said filter  
5 comprising an annular region substantially encompassing a plurality of pixels having optical characteristics substantially different from said substrate, said pixels being patterned substantially within said annular region to modulate the intensity of a corresponding component substantially only along an azimuthal axis to provide an encoded component, wherein the amplitude of said encoded component changes  
10 between three or more substantially distinct levels of contrast as the substrate is rotated about said rotation axis.

18. The modulator of claim 17, wherein at least one of said filters has a modulation function substantially along an azimuthal axis as said modulator  
15 is rotated about said rotation axis.

19. The modulator of claim 18, wherein at least two of said filters have substantially orthogonal modulation functions along their corresponding azimuthal axes.  
20

20. The modulator of claim 18, wherein at least two of said filters having substantially complementary modulation functions along their corresponding azimuthal axes so that the amplitude of the resulting encoded component is determined by the relative proportion of radiation from said beam  
25 incident on the two filters.

21. The modulator of claim 18, wherein said modulation functions are digitized replicas of substantially smooth functions, said digitized replicas having three or more substantially distinct levels of contrast.  
30

22. The modulator of claim 21, wherein at least one of said filters modulates the intensity of a component substantially according to said digitized replica of the function  $\sin^2(m*\theta + p\pi/4)$ , where  $\theta$  is the rotation angle of said modulator, and  $m$  and  $p$  are integers.

5

23. The modulator of claim 22, wherein at least one of said filters modulates the intensity of a first component substantially according to said digitized replica of the function  $\sin^2(m*\theta + p_1\pi/4)$ , and another one of said filters modulates the intensity of a second component substantially according to said digitized replica  
10 of the function  $\sin^2(m*\theta + p_2\pi/4)$ , where  $p_1 - p_2$  is an even integer.

24. The modulator of claim 22, wherein at least one of said filters modulates the intensity of a first component substantially according to said digitized replica of the function  $\sin^2(m*\theta + p_1\pi/4)$ , and another one of said filters modulates  
15 the intensity of a second component substantially according to said digitized replica of the function  $\sin^2(m*\theta + p_2\pi/4)$ , where  $p_1 - p_2$  is an odd integer.

25. The modulator of claim 22, wherein at least two of said filters collectively modulates the intensities of at least two different components  
20 substantially according to digitized replicas of the function  $\sin^2(m*\theta + p_1\pi/4)$ .

26. A method for analyzing a radiation spectrum, comprising:  
providing radiation having at least one selected spectral component,  
said component having an intensity, a center wavelength and bandwidth;  
25 collecting, dispersing and focusing said radiation to form an image dispersed by wavelength along a dispersion axis onto a plane;  
positioning a two dimensional spatial radiation modulator in said plane and rotating said modulator about a rotation axis so that said dispersion axis is substantially along a radial axis, said modulator having at least one radiation filter  
30 at a radius from said rotation axis, said filter having a radial width substantially defining the bandwidth of a corresponding spectral component of said radiation,

said filter modulating the intensity of said corresponding spectral component substantially independent of said bandwidth to provide an encoded beam comprising at least one encoded component, wherein the amplitude of said encoded component changes between three or more substantially distinct levels of contrast  
5 as said modulator is rotated about said rotation axis;  
collecting and directing said encoded beam onto a detector; and  
analyzing signals generated by said detector in response to said encoded beam.

10 27. The method of claim 26, wherein said analyzing determines the amplitude of at least one encoded component.

28. The method of claim 26, wherein said collecting, dispersing and focusing or collecting and directing includes projecting said dispersed image  
15 onto or collecting said encoded beam from the modulator using at least one folding mirror.

29. The method of claim 28, further comprising positioning said folding mirror by a moveable stage to adjust focus and location of said dispersed  
20 image onto said modulator or said encoded beam onto said detector.

30. The method of claim 29, further comprising analyzing at least two of said encoded components to provide a background spectrum, and controlling said actuators to position said mirror in response to said background spectrum  
25

31. The method of claim 26, wherein said spectral components are modulated as digitized replicas of substantially smooth functions, said digitized replicas having three or more substantially distinct levels of contrast.

30 32. The method of claim 31, wherein at least one of said filters modulates the intensity of a spectral component substantially according to said

digitized replica of the function  $\sin^2(m*\theta + p\pi/4)$ , where  $\theta$  is the rotation angle of said modulator,  $m$  and  $p$  are integers.

33. The method of claim 32, wherein at least one of said filters  
5 modulates the intensity of a first spectral component substantially according to said digitized replica of the function  $\sin^2(m*\theta + p_1\pi/4)$ , and another one of said filters modulates the intensity of a second spectral component substantially according to said digitized replica of the function  $\sin^2(m*\theta + p_2\pi/4)$ , where  $p_1-p_2$  is an even integer, said analyzing determines the difference between the modulated amplitudes  
10 of the first and second spectral components.

34. The method of claim 32, wherein at least one of said filters modulates the intensity of a first spectral component substantially according to said digitized replica of the function  $\sin^2(m*\theta + p_1\pi/4)$ , and another one of said filters  
15 modulates the intensity of a second spectral component substantially according to said digitized replica of the function  $\sin^2(m*\theta + p_2\pi/4)$ , where  $p_1-p_2$  is an odd integer, said analyzing determines the modulated amplitudes of the first and second spectral components.

20 35. The method of claim 32, wherein at least two of said filters collectively modulates the intensities of at least two different spectral components substantially according to two different digitized replicas of the function  $\sin^2(m*\theta + p_1\pi/4)$ , said analyzing determines the collective modulated amplitudes of the first and second spectral components.

25

36. A spectrum analyzer for analyzing a sample, comprising:  
at least one source to provide an excitation beam of radiation comprising at least one excitation spectral component, said excitation component having an intensity, a center wavelength and a bandwidth;

first optics collecting, dispersing and focusing said excitation beam to form an excitation image dispersed by wavelength along a first dispersion axis onto a first plane;

a first two dimensional spatial radiation modulator rotated about a  
5 first rotation axis and positioned in said first plane so that said first dispersion axis is substantially along a radial axis, said first modulator having at least one radiation filter at a radius from said first rotation axis, said filter modulating the intensity of a corresponding excitation component to provide an encoded excitation beam comprising at least one encoded excitation component, wherein  
10 the amplitude of said encoded excitation component is a substantially smooth function or changes between three or more substantially distinct levels of contrast as said first modulator is rotated about said first rotation axis;

second optics collecting, dispersing and focusing said encoded excitation beam onto a sample;

15 third optics collecting, dispersing and focusing a response beam of radiation from said sample to form a second image dispersed by wavelength along a second dispersion axis onto a second plane, said response beam comprising at least one response spectral component emitted or scattered in response to said encoded excitation beam, said response component having an  
20 intensity, a center wavelength and a bandwidth;

a second two dimensional spatial radiation modulator rotated about a second rotation axis and positioned in said second plane so that said second dispersion axis is substantially along a radial axis, said second modulator having at least one radiation filter at a radius from said second rotation axis, said  
25 filter modulating the intensity of a corresponding response component to provide an encoded response beam comprising at least one encoded response component, wherein the amplitude of said encoded response component is a substantially smooth function or changes between three or more substantially distinct levels of contrast as said second modulator is rotated about said second rotation axis;

30 a detector,

fourth optics collecting, dispersing and focusing said encoded response beam onto said detector, and

computer analyzing signals generated by said detector in response to said encoded response beam.

5

37. The spectrum analyzer of claim 36, wherein at least one of said encoded excitation components is modulated substantially according to a function of the form  $\sin^2(m\theta + p\pi/4)$ , and wherein at least one of said encoded response components is modulated substantially according to a function of the form  $\sin^2(n\theta + q\pi/4)$ , where m, n, p, q are integers.

10

38. The spectrum analyzer of claim 37, wherein said computer computes the amplitude of at least one sum or difference frequency component resulting from the cross modulation of the excitation beam and the response beam.

15

39. The spectrum analyzer of claim 36, wherein the excitation beam and the response beam are encoded with the same spatial radiation modulator to provide a phase-locked cross modulation of the excitation beam and the response beam.

20

40. The spectrum analyzer of claim 39, wherein the modulator is a differentially transmissive or reflective disc which is patterned on a first surface to encode the excitation beam and patterned on a second surface to encode the response beam.

25

41. A method for analyzing a response beam of radiation from a sample resulting from an excitation beam of radiation, comprising:

providing an excitation beam of radiation comprising at least one excitation spectral component, said excitation component having an intensity, a center wavelength and bandwidth;

30



collecting, dispersing and focusing said excitation beam to form an excitation image dispersed by wavelength along a first dispersion axis onto a first plane;

5 positioning a first two dimensional spatial radiation modulator in said first plane and rotating said first modulator about a first rotation axis so that said first dispersion axis is substantially along a radial axis, said first modulator having at least one radiation filter at a radius from said first rotation axis, said filter modulating the intensity of a corresponding excitation spectral component to provide an encoded excitation beam comprising at least one encoded  
10 excitation component, wherein the amplitude of said encoded excitation component is a substantially smooth function or changes between three or more substantially distinct levels of contrast as said first modulator is rotated about said first rotation axis;

collecting and refocusing said encoded excitation beam onto a  
15 sample;

collecting, dispersing and focusing a response beam of radiation from said sample to form a second image dispersed by wavelength along a second dispersion axis onto a second plane, said response beam comprising at least one response spectral components emitted or scattered in response to said  
20 encoded excitation beam, said response component having an intensity, a center wavelength and a bandwidth;

positioning a second two dimensional spatial radiation modulator in said second plane and rotating said second modulator about a second rotation axis so that said second dispersion axis is substantially along a radial axis, said second  
25 modulator having at least one radiation filter at a radius from said second rotation axis, said filter modulating the intensity of a corresponding response component to provide encoded response beam comprising at least one encoded response component, wherein the amplitude of said encoded response component is a substantially smooth function or changes between three or more substantially  
30 distinct levels of contrast as said second modulator is rotated about said second rotation axis;

collecting, dispersing and focusing said encoded response beam onto a detector; and

analyzing signals generated by said detector in response to said encoded response beam.

5

42. The method of claim 41, wherein at least one of said encoded excitation components is modulated substantially according to a function of the form  $\sin^2(m\theta + p\pi/4)$ , and wherein at least one of said encoded response components is modulated substantially according to a function of the form  $\sin^2(n\theta + q\pi/4)$ , where  
10 m, n, p, q are integers.

43. The method of claim 42, wherein said analyzing determines the amplitude of at least one sum or difference frequency component resulting from the cross modulation of the excitation beam and the response beam.

15

44. The method of claim 41, wherein the excitation beam and the response beam are encoded with the same spatial radiation modulator to provide a phase-locked cross modulation of the excitation beam and the response beam.

20 45. The method of claim 44, wherein the modulator is a differentially transmissive or reflective disc which is patterned on a first surface to encode the excitation beam and patterned on a second surface to encode the response beam.

25 46. A radiation spectrum analyzer comprising:  
at least one source providing radiation having at least one selected spectral component, said spectral component having an intensity, a center wavelength and a bandwidth;

30 first optics collecting, dispersing and focusing said radiation to form an image dispersed by wavelength along a dispersion axis onto a plane;

a two dimensional spatial radiation modulator rotated about a rotation axis and positioned in said plane so that said dispersion axis is substantially along a radial axis, said modulator having at least one radiation filter at a radius from said rotation axis, said filter having a radial width substantially defining the  
5 bandwidth of a corresponding spectral component of said radiation, said filter modulating the intensity of said corresponding spectral component substantially independent of said bandwidth to provide an encoded beam comprising at least one encoded component, wherein the amplitude of said encoded component is modulated as a substantially smooth function of the rotation angle of the modulator  
10 about said rotation axis;  
a detector;  
second optics collecting and directing said encoded beam onto said detector, causing the detector to provide an output; and  
computer analyzing signals generated by said detector in response  
15 to said encoded beam.

47. The analyzer of claim 46, wherein said computer analyzes said signals to determine the amplitude of at least one encoded component.

20 48. The analyzer of claim 46, wherein at least one of said filters has a modulation function substantially along an azimuthal axis as said modulator is rotated about said rotation axis.

49. The analyzer of claim 48, wherein at least one of said filters  
25 modulates the intensity of a spectral component substantially according to the function  $\sin^2(m \cdot \theta + p\pi/4)$ , where  $\theta$  is the rotation angle of said modulator,  $m$  and  $p$  are integers.

50. The analyzer of claim 49, wherein at least one of said filters  
30 modulates the intensity of a first spectral component substantially according to the function  $\sin^2(m \cdot \theta + p_1\pi/4)$ , and another one of said filters modulates the intensity

of a second spectral component substantially according to the function  $\sin^2(m*\theta + p_2\pi/4)$ , where  $p_1 - p_2$  is an even integer, said computer analyzes said signals to determine the difference between the modulated amplitudes of the first and second spectral components.

5

51. The analyzer of claim 49, wherein at least one of said filters modulates the intensity of a first spectral component substantially according to the function  $\sin^2(m*\theta + p_1\pi/4)$ , and another one of said filters modulates the intensity of a second spectral component substantially according to the function  $\sin^2(m*\theta + p_2\pi/4)$ , where  $p_1 - p_2$  is an odd integer, said computer analyzes said signals to determine the modulated amplitudes of the first and second spectral components.

10

52. The analyzer of claim 49, wherein at least two of said filters collectively modulates the intensities of at least two different spectral components substantially according to the function  $\sin^2(m*\theta + p_1\pi/4)$ , said computer analyzes said signals to determine the collective modulated amplitudes of the first and second spectral components.

15

53. A two dimensional spatial radiation modulator adapted to be rotated about a rotation axis to modulate at least one component of an incident radiation beam to encode said beam, said modulator comprising a substrate and at least one radiation filter located at a radius from said rotation axis and having radial width, said filter having substantially continuously variable optical characteristics along an azimuthal axis, said optical characteristics being continuously varied to modulate the intensity of said component as a substantially smooth function of a rotation angle of the modulator about said rotation axis.

20

25

54. The modulator of claim 53, wherein at least one of said filters modulates the intensity of a component substantially according to the function  $\sin^2(m*\theta + p\pi/4)$ , where  $\theta$  is the rotation angle of said modulator, and  $m$  and  $p$  are integers.

30

55. The modulator of claim 54, wherein at least one of said filters modulates the intensity of a first component substantially according to the function  $\sin^2(m*\theta + p_1\pi/4)$ , and another one of said filters modulates the intensity of a second component substantially according to the function  $\sin^2(m*\theta + p_2\pi/4)$ , where  $p_1-p_2$  is an even integer.

56. The modulator of claim 54, wherein at least one of said filters modulates the intensity of a first component substantially according to the function  $\sin^2(m*\theta + p_1\pi/4)$ , and another one of said filters modulates the intensity of a second component substantially according to the function  $\sin^2(m*\theta + p_2\pi/4)$ , where  $p_1-p_2$  is an odd integer.

57. The modulator of claim 54, wherein at least two of said filters collectively modulates the intensities of at least two different components substantially according to the function  $\sin^2(m*\theta + p\pi/4)$ .

58. A method for analyzing a radiation spectrum, comprising:  
providing radiation having at least one selected spectral component, said spectral component having an intensity, a center wavelength and a bandwidth;  
collecting, dispersing and focusing said radiation to form an image dispersed by wavelength along a dispersion axis onto a plane;  
positioning a two dimensional spatial radiation modulator in said plane and rotating said modulator about a rotation axis so that said dispersion axis is substantially along a radial axis, said modulator having at least one radiation filter at a radius from said rotation axis, said filter having a radial width substantially defining the bandwidth of a corresponding spectral component of said radiation, said filter modulating the intensity of said corresponding spectral component substantially independent of said bandwidth to provide an encoded beam comprising at least one encoded component, wherein the amplitude of said encoded component is modulated as a substantially smooth function of the rotation angle of the modulator about said rotation axis;

collecting and directing said encoded beam onto said detector; and  
analyzing signals generated by said detector in response to the  
encoded beam.

5                    59.     The method of claim 58, wherein said analyzing determines  
the amplitude of at least one encoded component.

60.     The method of claim 58, wherein at least one of said filters  
has a modulation function substantially along an azimuthal axis as said modulator  
10 is rotated about said rotation axis.

61.     The method of claim 60, wherein at least one of said filters  
modulates the intensity of a spectral component substantially according to the  
function  $\sin^2(m*\theta + p\pi/4)$ , where  $\theta$  is the rotation angle of said modulator, and  $m$   
15 and  $p$  are integers.

62.     The method of claim 61, wherein at least one of said filters  
modulates the intensity of a first spectral component substantially according to the  
function  $\sin^2(m*\theta + p_1\pi/4)$ , and another one of said filters modulates the intensity  
20 of a second spectral component substantially according to the function  $\sin^2(m*\theta +$   
 $p_2\pi/4)$ , where  $p_1 - p_2$  is an even integer, said analyzing determines the difference  
between the modulated amplitudes of the first and second spectral components.

63.     The method of claim 61, wherein at least one of said filters  
25 modulates the intensity of a first spectral component substantially according to the  
function  $\sin^2(m*\theta + p_1\pi/4)$ , and another one of said filters modulates the intensity  
of a second spectral component substantially according to the function  $\sin^2(m*\theta +$   
 $p_2\pi/4)$ , where  $p_1 - p_2$  is an odd integer, said analyzing determines the modulated  
amplitudes of the first and second spectral components.

30

64. The method of claim 61, wherein at least two of said filters collectively modulates the intensities of at least two different spectral components substantially according to the function  $\sin^2(m*\theta + p_1\pi/4)$ , said analyzing determines the collective modulated amplitudes of the first and second spectral components.

5

65. A method for analyzing a radiation spectrum, comprising:  
providing radiation of at least two selected spectral or spatial components;

forming a dispersed image or an extended image along an encoding  
10 axis onto an encoding plane;

positioning a two dimensional spatial radiation modulator in said  
encoding plane and rotating the modulator about a rotation axis so that said  
encoding axis is substantially along a radial axis, said modulator having at least two  
radiation filters at different radii from said rotation axis, said filters modulating the  
15 amplitudes of their corresponding components to provide an encoded beam  
comprising at least two encoded components, wherein the amplitudes of said  
encoded components are modulated with imperfect orthogonal modulation  
functions, wherein at least one of said encoded components having residual  
interference with another of said encoded components;

20 collecting and directing said encoded beam onto a detector so that  
the detector provides an output; and

analyzing signals generated by said detector to determine first  
zeroth-order amplitudes of encoded components, said first zeroth-order amplitudes  
having decoding errors resulting from said residual interference;

25 increasing or decreasing the radiation incident on at least one of said  
filters to provide a modified encoded beam;

analyzing signals generated by said detector in response to said  
modified encoded beam to determine modified amplitudes of encoded components;

comparing at least two of said modified amplitudes to said first  
30 zeroth-order amplitudes to determine interference coefficients;

using said interference coefficients to compensate subsequent zeroth-order amplitudes for said decoding errors.

5        66.     The method of claim 65, wherein said increasing or decreasing includes sequentially obscuring the radiation incident on at least one of said filters.

67.     A method for analyzing radiation, comprising:  
providing radiation of at least one selected spectral or spatial  
10    component;  
forming a dispersed image or an extended image along an encoding axis onto an encoding plane;  
positioning a two dimensional spatial radiation modulator in said encoding plane and rotating the modulator about a rotation axis so that said  
15    encoding axis is substantially along a radial axis, said modulator having at least one radiation filter at a radius from said rotation axis, said filter modulating the intensity of a corresponding radiation component to provide an encoded beam comprising at least one encoded component;  
collecting and directing said encoded beam onto a detector so that  
20    said detector provides an output; and  
analyzing signals generated by said detector, said analyzing including subtracting the detector output from an expected detector output as a function of the rotation angle of said modulator about said rotation axis to provide an output difference function, said analyzing further comprising analyzing said  
25    output difference function to detect sub-rotational period transients in the amplitude of one or more encoded components.

68.     The method of claim 67, wherein said expected detector output comprising the detector output from one or more previous rotational periods.  
30



69. The method of claim 67, further comprising adjusting the speed of said rotation in response to said output difference function.

70. The method of claim 67, said analyzing further comprising  
5 determining the  
decoded amplitudes of at least one encoded component and correcting said decoded  
amplitudes for decoding errors resulting from sub-rotational period transients.

71. A method for analyzing radiation, comprising:  
10 providing radiation of at least one selected spectral or spatial  
component;  
forming a dispersed image or an extended image along an encoding  
axis onto an encoding plane,  
positioning a two dimensional spatial radiation modulator in said  
15 encoding plane and rotating the modulator about a rotation axis so that said  
encoding axis is substantially along a radial axis, said modulator comprising a  
pattern on a rotating substrate, said pattern having at least one radiation filter at a  
radius from said rotation axis, said filter modulating the intensity of a corresponding  
component to provide an encoded beam comprising at least one encoded  
20 component, said pattern further comprising a series of marks at a substantially  
constant radius, said marks having optical characteristics substantially different  
from said substrate;  
collecting and directing said encoded beam onto a detector so that  
the detector provides a data signal in response to said encoded beam;  
25 analyzing said data signals, said analyzing including determining the  
modulated amplitude of at least one encoded component;  
positioning a dedicated light source and second detector so that said  
marks modulate beam from said light source to said second detector to generate an  
alignment signal;  
30 analyzing said alignment signal to detect substrate wobble or a  
misaligned pattern on said substrate.

72. The method of claim 71, said analyzing including an analytical function which uses at least one of said modulated amplitudes as inputs, said function further using said alignment signal to compensate said modulated amplitudes for the undesired effects of said substrate wobble or a misaligned pattern.  
5

73. The method of claim 71, further comprising dynamically positioning one or more optical elements in response to said alignment signal to minimize the undesired effects of said substrate wobble or a misaligned pattern.  
10

74. A system for monitoring radiation from at least one radiation source, comprising:

a beam comprising at least one radiation component corresponding to a distinct radiation source, said component having an intensity and a center wavelength;  
15

first optics collecting, dispersing and focusing said beam to form at least one image along an encoding axis onto an encoding plane, said image corresponding to said component;

a two dimensional spatial radiation modulator rotated about a rotation axis and positioned in said encoding plane so that said encoding axis is substantially along a radial axis such that a change in the center wavelength of said component will cause said corresponding image to move substantially along said radial axis, said modulator having at least one radiation filter pair for modulating the intensity of a corresponding component to provide an encoded beam comprising  
20 at least one encoded component, said pair comprising two radiation filters located at different radii from said rotation axis and having modulation functions that are complementary to each other so that the amplitude and phase of said encoded component is determined by the relative proportion of radiation incident on the two filters;  
25

second optics collecting and directing said encoded beam onto a detector; and  
30

computer analyzing signals generated by said detector in response to said encoded beam.

75. The system of claim 74, wherein said computer computes  
5 said amplitudes and phases of at least one encoded component from the signals generated by said detector in response to the encoded beam.

76. The system of claim 74, further comprising at least one  
control signal for adjusting said center wavelength of at least one source in response  
10 to the signals generated by the detector to tune said sources.

77. The system of claim 74, wherein said radiation filters  
comprising said pair are substantially adjacent to one another.

78. The system of claim 77, wherein the border between said  
15 adjacent radiation filters is substantially located at the radius which correspond to the nominal or desired center wavelengths for said radiation source.

79. The system of claim 74, wherein at least two of said encoded  
20 components are encoded with substantially orthogonal modulation functions, wherein said analyzing computer computes the amplitude and phase of at least one of said encoded component.

80. A method for monitoring radiation from at least one source,  
25 comprising:

collecting a beam comprising at least one radiation component  
corresponding to a distinct radiation source, said component having an intensity and  
a center wavelength;

dispersing and focusing said beam to form at least one image along  
30 an encoding axis onto an encoding plane, said image corresponding to said component;

positioning a two dimensional spatial radiation modulator in said encoding plane and rotating the modulator about a rotation axis so that said encoding axis is substantially along a radial axis such that a change in the center wavelength of said component will cause said corresponding image to move substantially along said radial axis, said modulator having at least one radiation filter pair for modulating incident radiation to provide an encoded beam comprising at least one encoded component, said pair comprising two radiation filters located at different radii from said rotation axis and having modulation functions that are complementary to each other so that the amplitude and phase of said encoded component are determined by the relative proportion of radiation from the beam incident on the two filters;

collecting and directing said encoded beam onto a detector; and  
analyzing signals generated by said detector in response to said encoded beam.

15

81. The method of claim 80, wherein said analyzing determines the amplitude and phase of at least one encoded component.

82. The method of claim 80, further comprising adjusting said center wavelength of at least one source in response to signals generated by said detector.

20

83. The method of claim 80, wherein said radiation filters comprising said pair are substantially adjacent to one another.

25

84. The method of claim 83, wherein the border between said adjacent radiation filters is substantially located at the radius which correspond to the nominal or desired center wavelengths for said radiation source.

30

85. The method of claim 80, wherein at least two of said encoded components are encoded with substantially orthogonal modulation functions,

wherein said analyzing computes the amplitude and phase of at least one of said encoded component.

86. A spectrum analyzer for analyzing a sample, comprising:
- 5 one or more excitation sources providing excitation radiation, said excitation radiation comprising two or more distinct excitation components;
- first optics directing said excitation components to the sample substantially in sequence;
- 10 second optics collecting a response beam of radiation from said sample and forming a dispersed image or an extended image along an encoding axis, said response beam comprising at least one spectral or spatial component emitted, transmitted or scattered by the sample in response to said excitation radiation;
- 15 a two dimensional spatial radiation modulator rotated about a rotation axis and positioned in said plane so that said encoding axis is along a radial axis, said modulator having at least one radiation filter at a radius from said rotation axis, said filter modulating the intensity of a corresponding response component to provide an encoded response beam comprising at least one encoded response component;
- 20 a detector;
- third optics collecting and directing said encoded response beam onto said detector, causing the detector to provide an output; and
- computer analyzing signals generated by said detector in response to said encoded response beam.

25

87. The analyzer of claim 86, wherein said computer computes the amplitudes of at least one encoded response component as a function of said excitation components.

88. The analyzer of claim 86, wherein said sequence substantially precludes more than one of said excitation components from reaching the sample at any given time.

5 89. The analyzer of claim 86, said modulator further comprising two or more annular regions for modulating the intensity of said components of excitation radiation, said annular regions comprising alternating radiation transmissive areas and radiation blocking areas, said areas in said annular regions patterned such that said excitation  
10 components are directed to the sample substantially in sequence.

90. A method for analyzing a sample, comprising:  
providing one or more excitation sources to provide excitation radiation, said excitation radiation comprising two or more distinct excitation  
15 components;

directing said excitation components to the sample substantially in sequence;

collecting a response beam of radiation from said sample and forming a dispersed image or an extended image along an encoding axis, said  
20 response beam comprising at least one spectral or spatial component emitted, transmitted or scattered by the sample in response to said excitation radiation;

positioning a two dimensional spatial radiation modulator in said encoding plane and rotating said modulator about a rotation axis so that said encoding axis is along a radial axis, said modulator having at least one radiation  
25 filter at a radius from said rotation axis, said filter modulating the intensity of a corresponding response component to provide an encoded response beam comprising at least one encoded response component;

collecting and directing said encoded response beam onto said detector; and

30 analyzing time-based signals generated by said detector in response to said encoded response beam.

91. The method of claim 90, wherein said analyzing determines said amplitudes of the encoded components from the signals generated by said detector in response to the encoded beam as a function of said excitation  
5 components.

92. The method of claim 90, wherein said sequence substantially precludes more than one of said excitation components from reaching the sample at any given time.

10

93. The method of claim 90, wherein said analyzing separates said time-based signal into sub-signals, wherein each said sub-signal corresponding to the encoded response components corresponding to only one of said excitation components, and analyzes said sub-signals to determine the amplitude of at least  
15 one encoded response component as a function of said corresponding excitation component.

94. A system for monitoring radiation from an extended source having at least two spatial components that emit radiation, comprising:

20 first optics collecting and focusing radiation from said extended source to form at least two images along an encoding axis onto an encoding plane, said images corresponding to said spatial components;

a two dimensional spatial radiation modulator rotated about a rotation axis and positioned in said plane so that said encoding axis is along a radial  
25 axis, said modulator having radiation filters at different radii from said rotation axis, said filters modulating the intensity of the radiation emitted by said spatial components to provide an encoded beam comprising at least one encoded component, wherein the amplitude of said encoded component is a smooth function or changes between three or more substantially distinct levels of contrast as said  
30 modulator is rotated about said rotation axis;

a detector;

second optics for collecting and directing said encoded beam onto said detector, causing the detector to provide an output; and  
computer analyzing signals generated by said detector in response to said encoded beam.

5

95. The analyzer of claim 94, wherein said extended source is an assembly of different samples.

96. The analyzer of claim 94, wherein said computer computes  
10 the amplitude of at least one encoded component from the signals generated by said detector in response to the encoded beam.

97. The system of claim 94, further comprising a third optics for collecting the encoded beam and directing said encoded beam to a spectrometer to  
15 determine the encoded beams spectral properties.

98. A method for monitoring radiation from an extended source having at least two spatial components that emit radiation, comprising:

providing radiation from an extended source having at least two  
20 spatial components that emit radiation;

collecting and focusing radiation from said extended source to form at least two images along an encoding axis onto an encoding plane, said images corresponding to said spatial components;

positioning a two dimensional spatial radiation modulator in said  
25 encoding plane and rotating said modulator about a rotation axis so that said encoding axis is along a radial axis, said modulator having radiation filters at different radii from said rotation axis, said filters modulating the intensity of the radiation emitted by said spatial components to provide an encoded beam comprising at least one encoded component, wherein the amplitude of said encoded  
30 component is a smooth function or changes between three or more substantially distinct levels of contrast as said modulator is rotated about said rotation axis;



collecting and directing said encoded beam onto said detector; and  
analyzing signals generated by said detector in response to said  
encoded beam.

5                    99.    The method of claim 98, wherein said extended source is an  
assembly of different samples.

100.    The method of claim 98, wherein said analyzing determines  
the amplitude of at least one encoded component from the signals generated by said  
10    detector in response to the encoded beam.

101.    The method of claim 98, further comprising directing said  
encoded beam to a spectrometer to determine the encoded beams spectral  
properties.

Fig. 1B

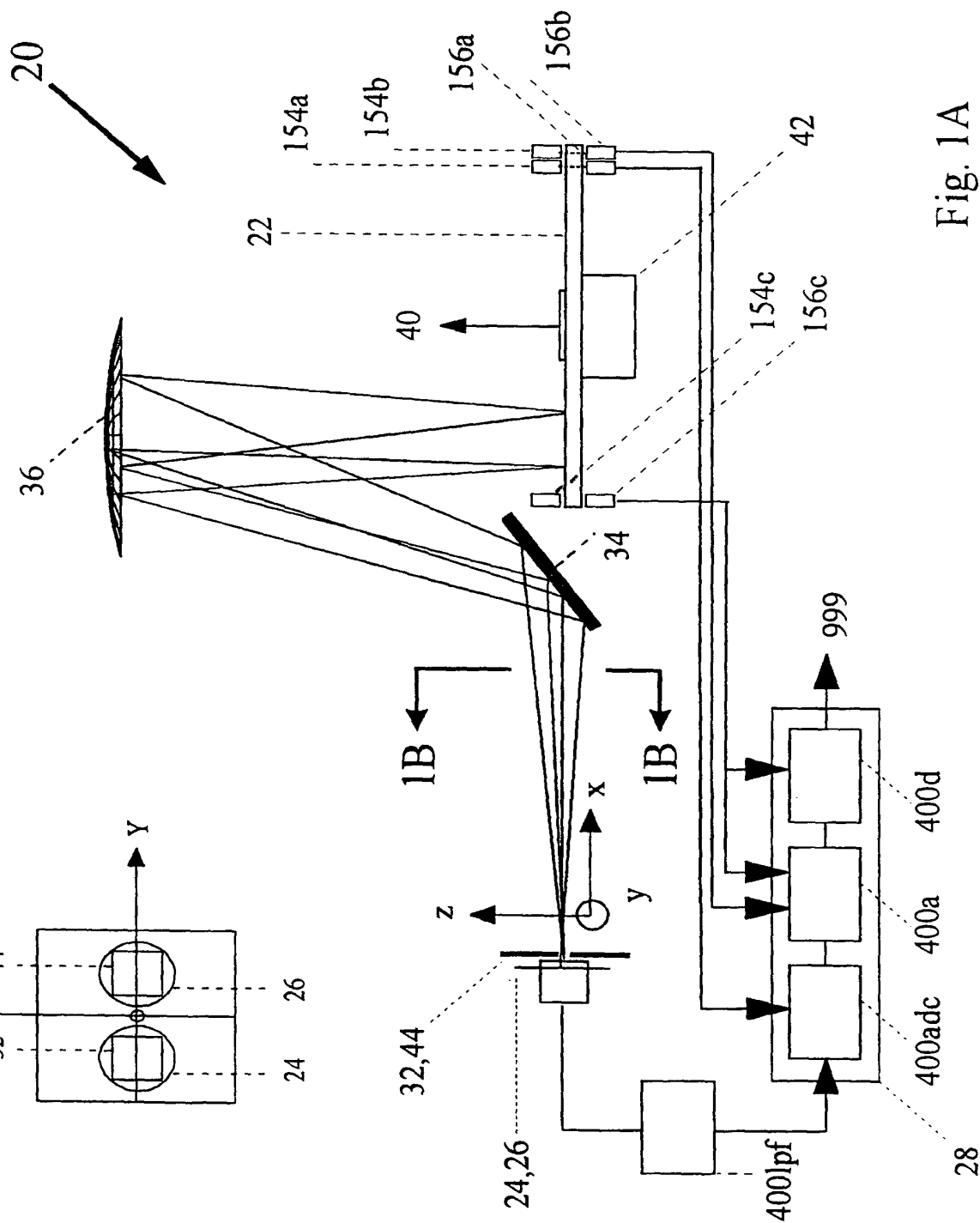
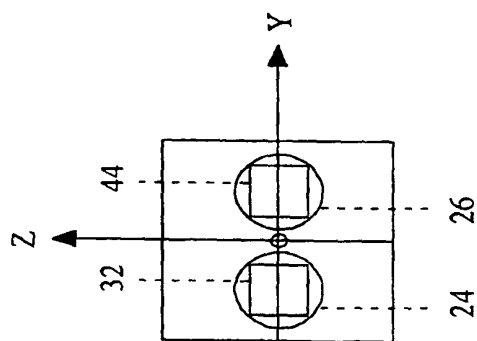
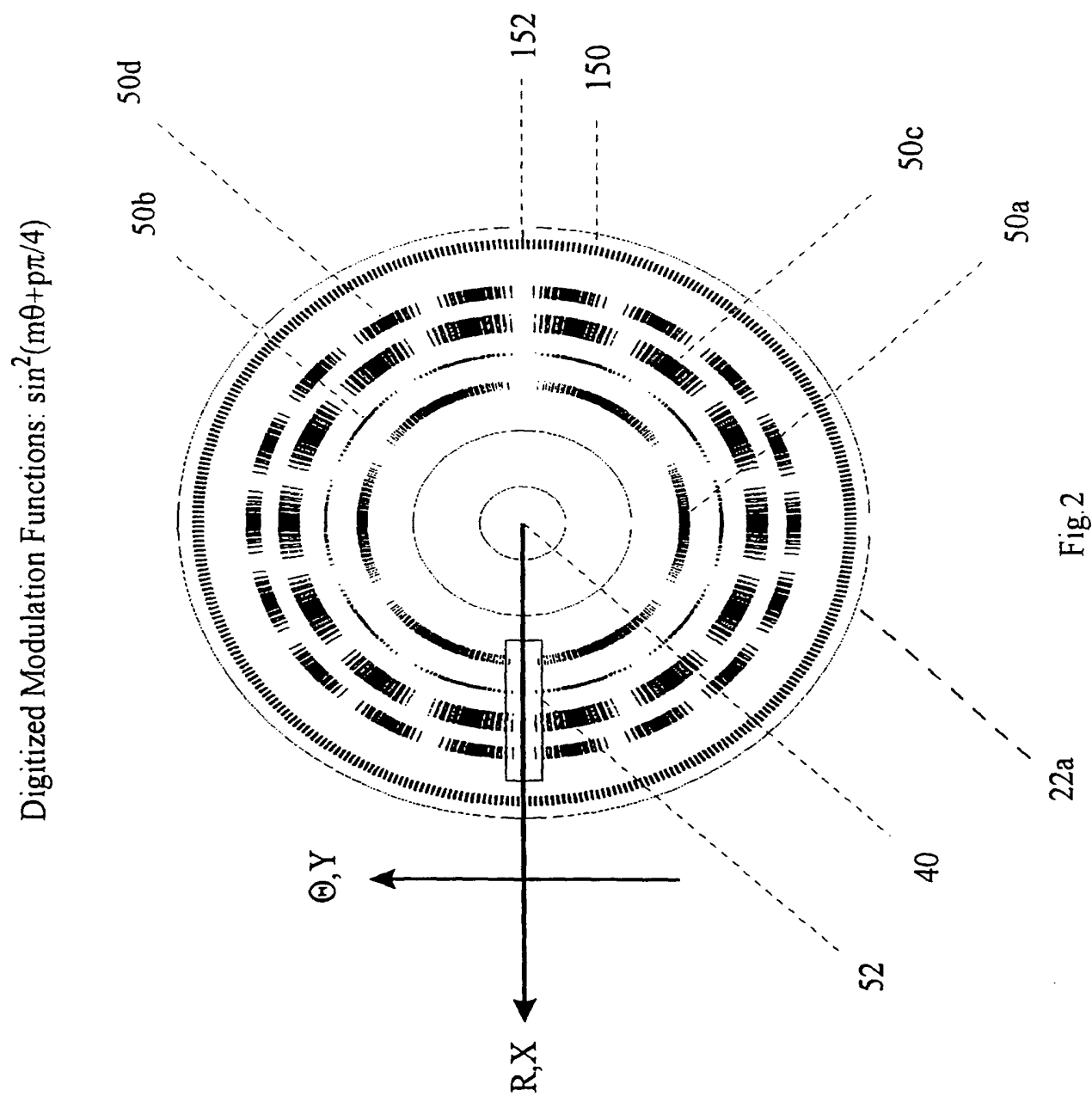


Fig. 1A



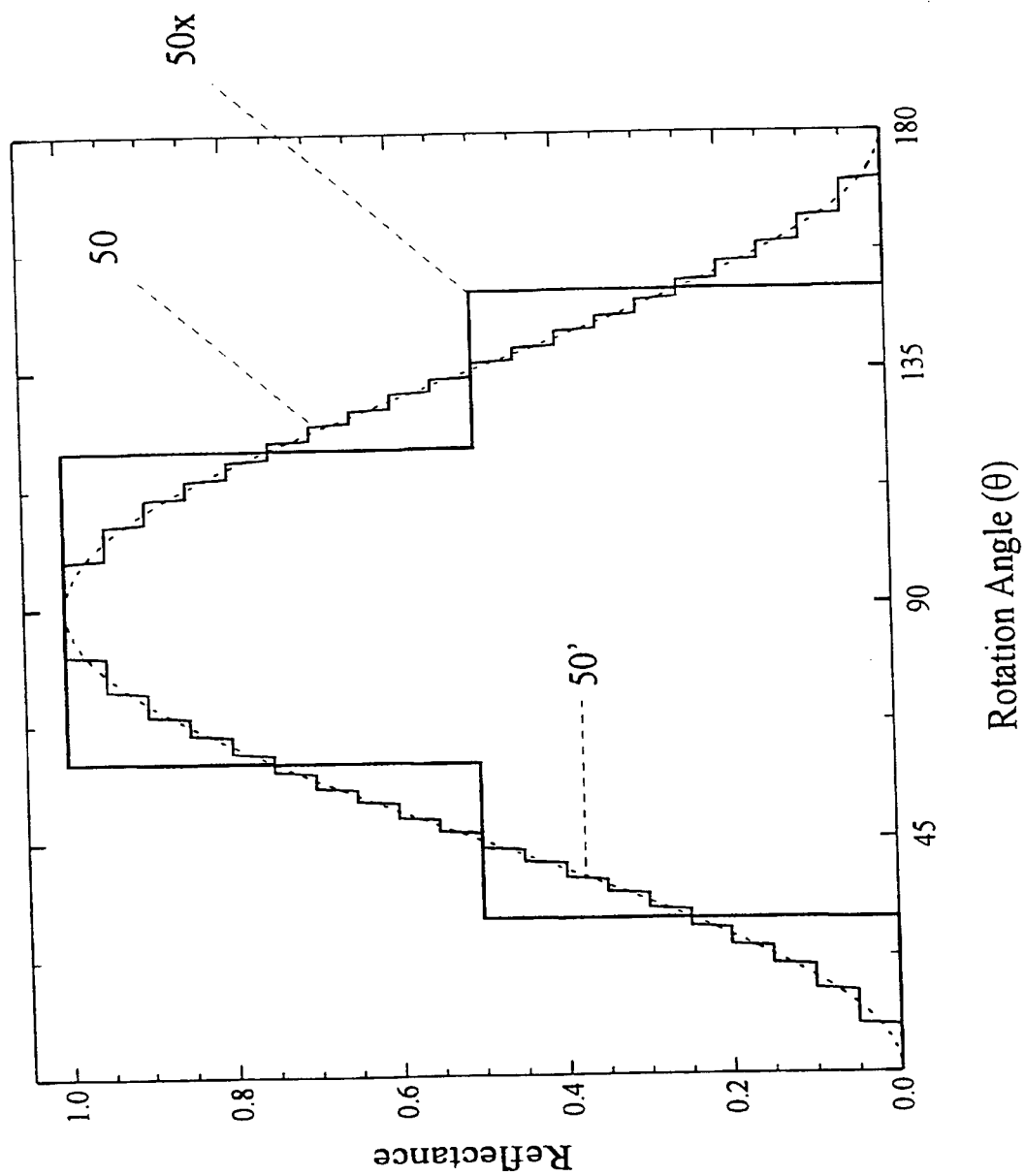


Fig.3A

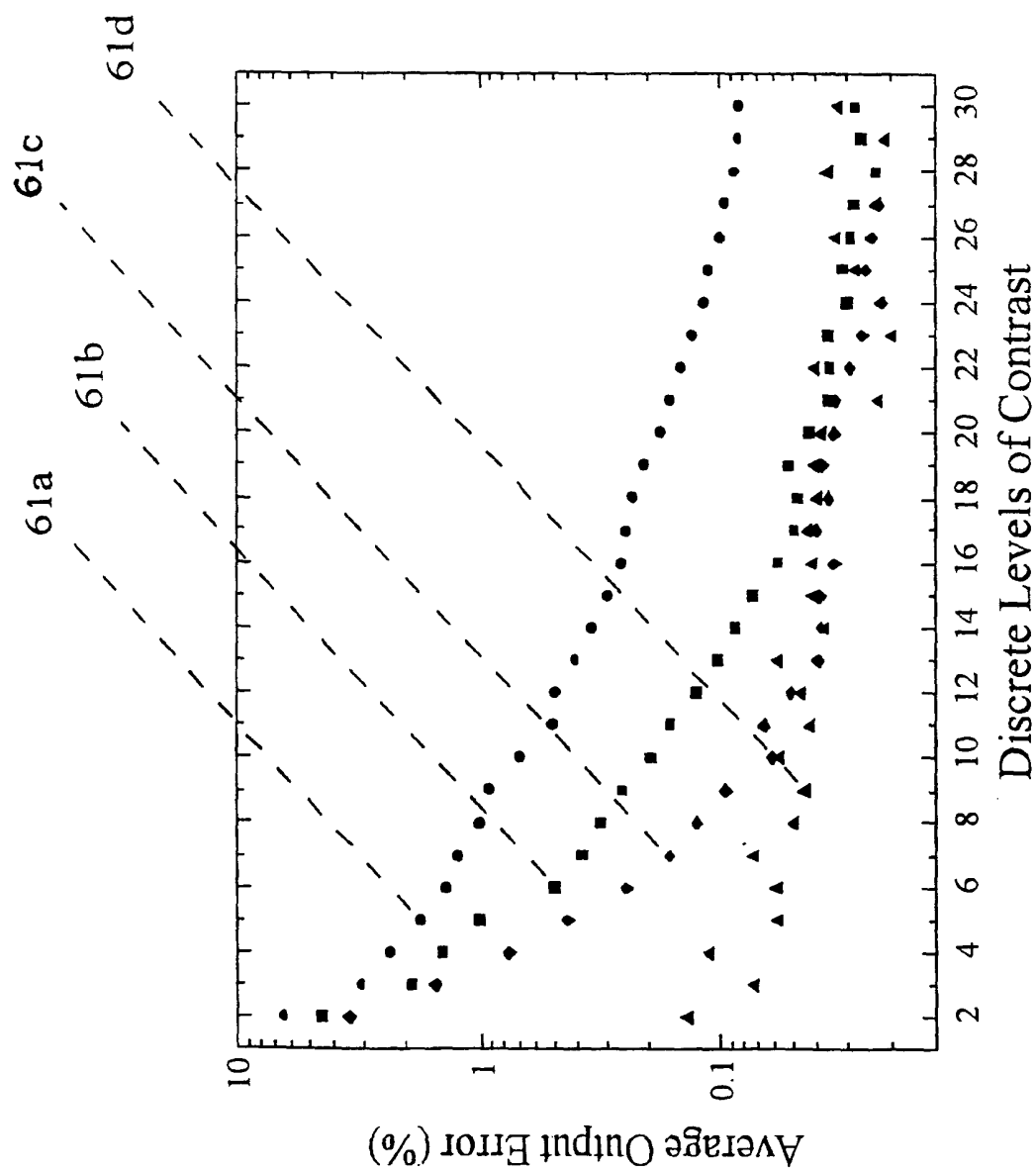


Fig.3B

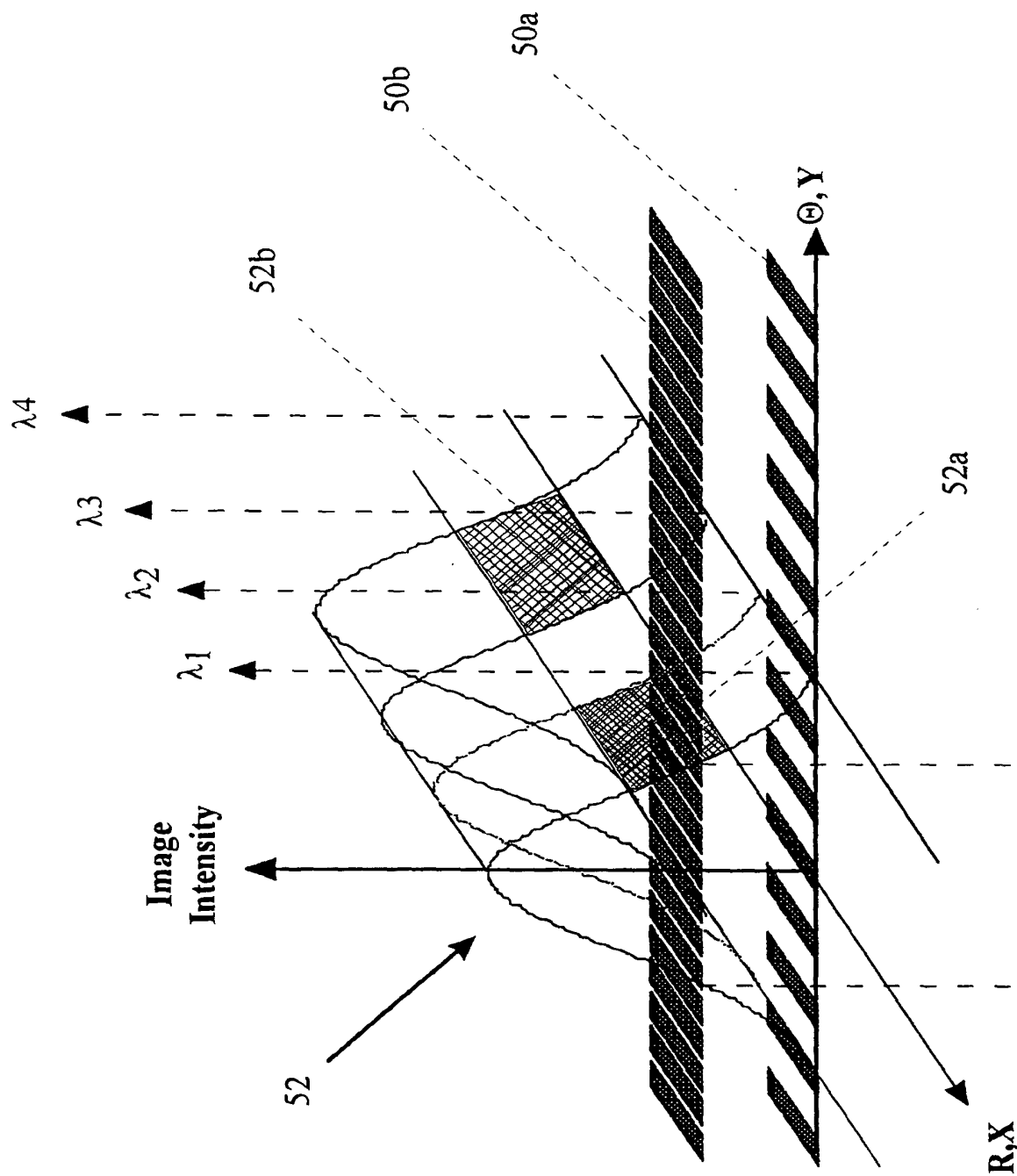


Fig. 4A

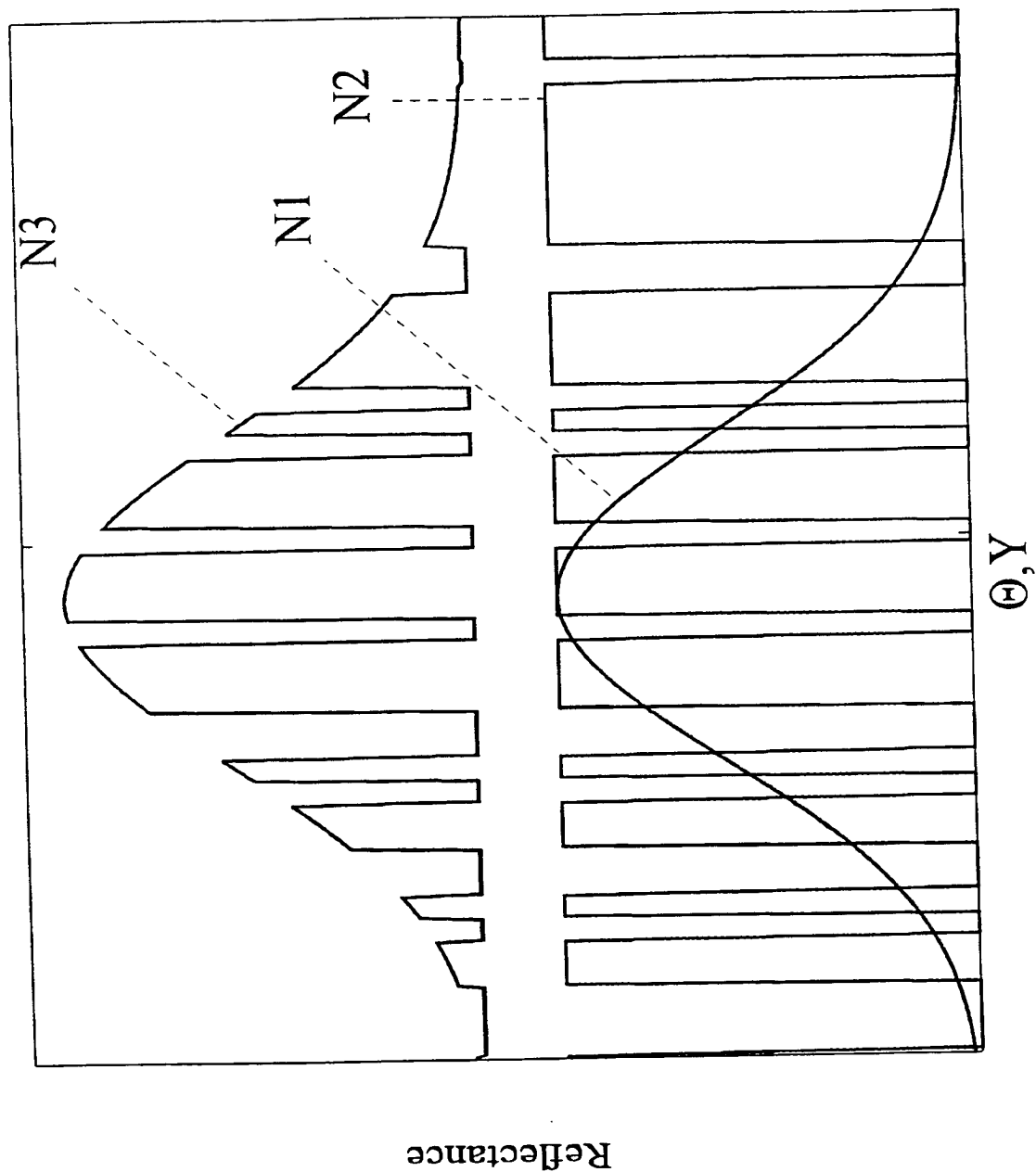


Fig. 4B

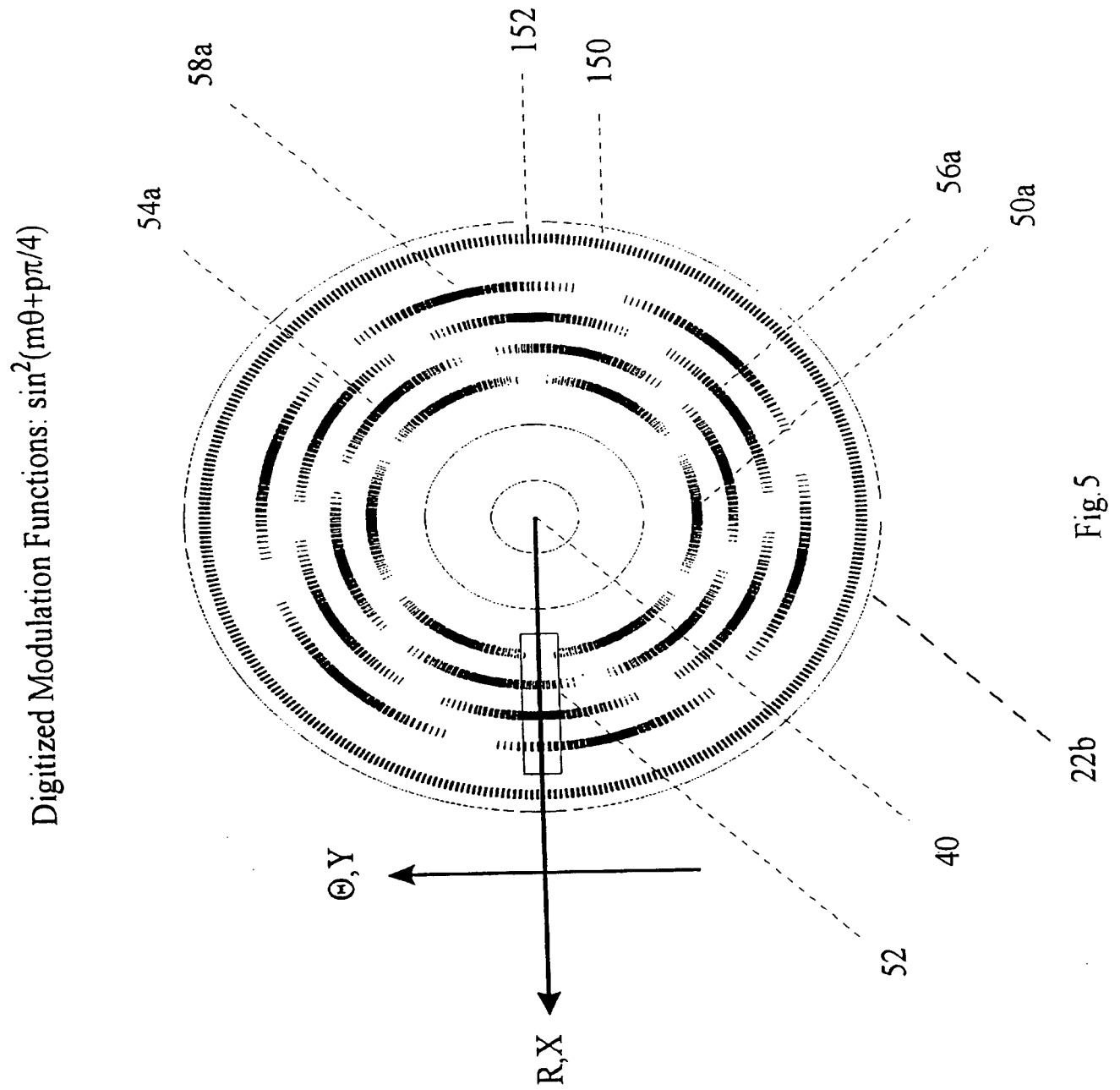


Fig. 5



Digitized Modulation Functions:  $\sin^2(m\theta + p\pi/4)$

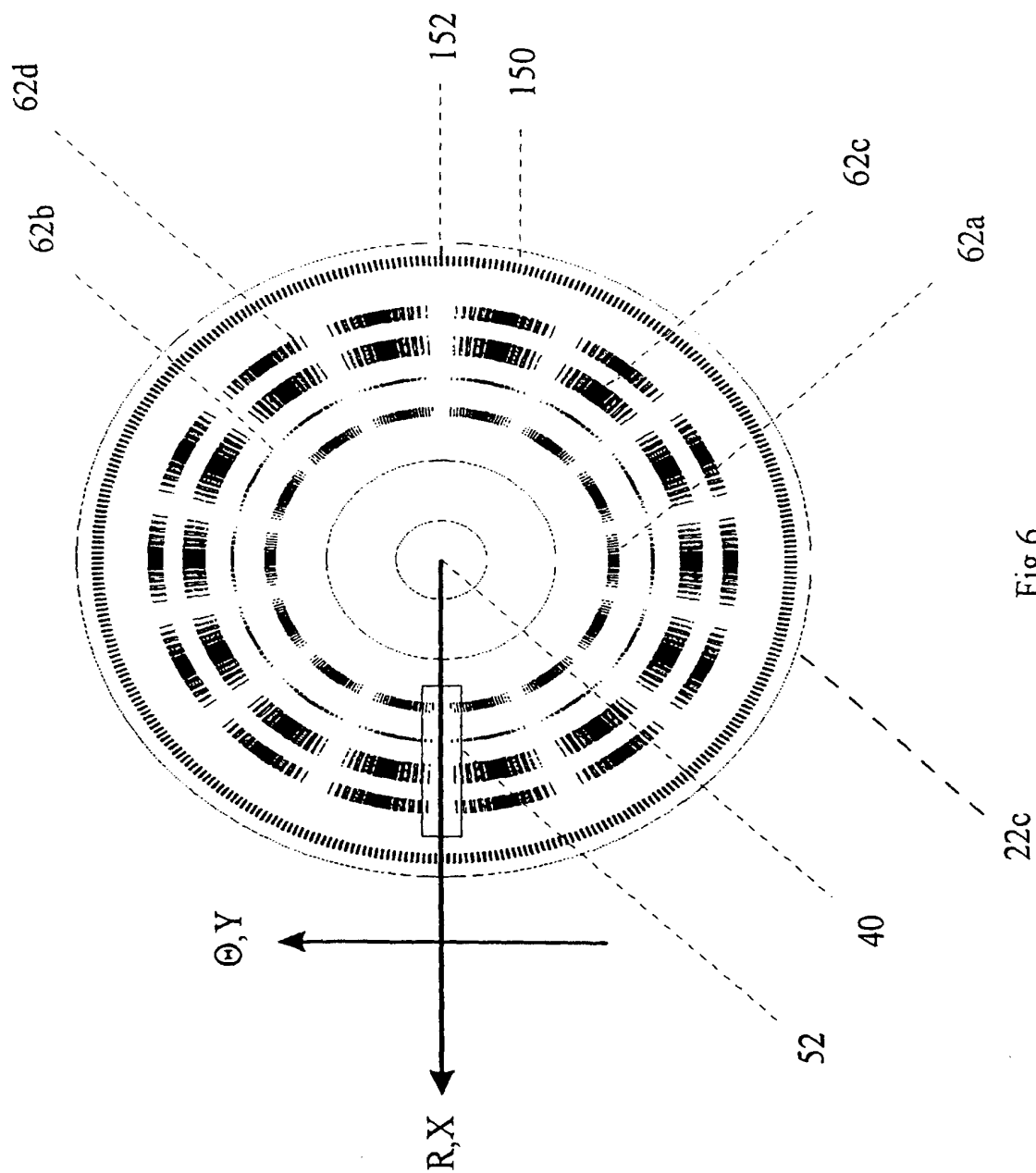


Fig.6

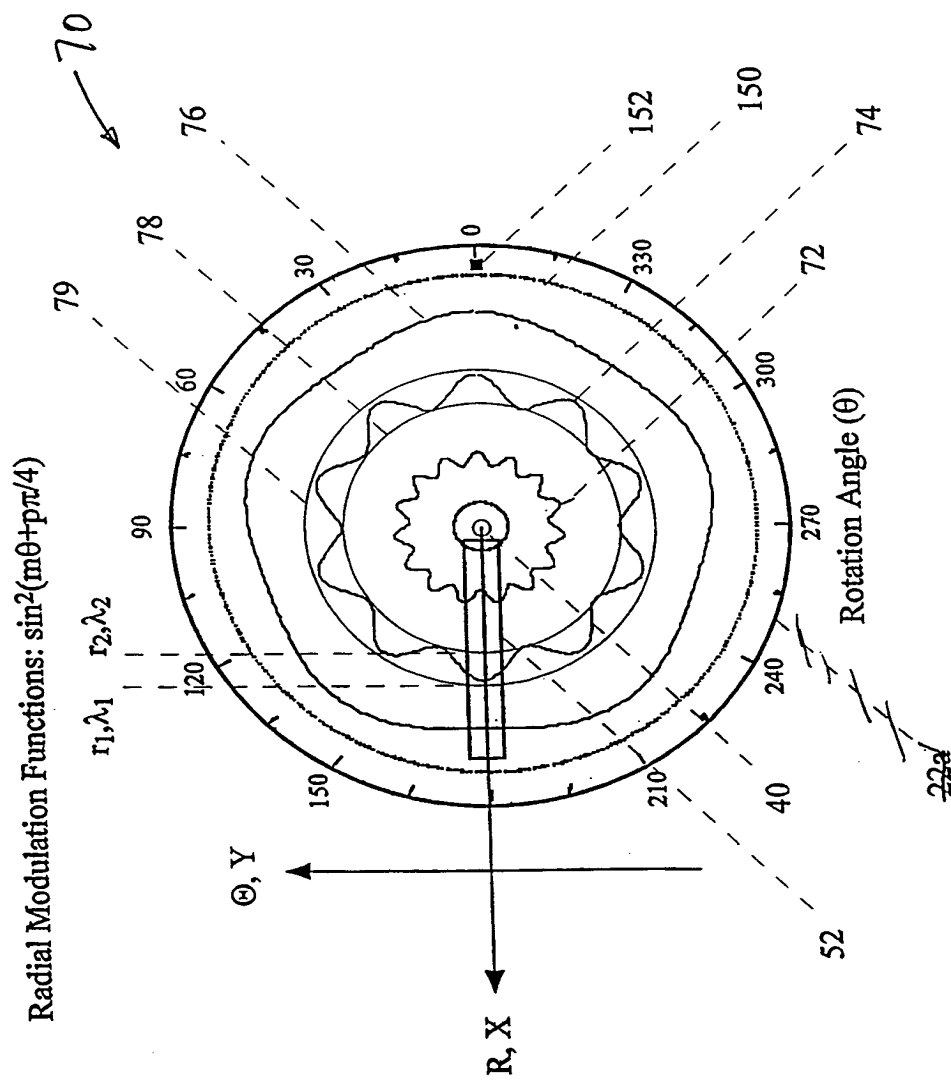


Fig. 7

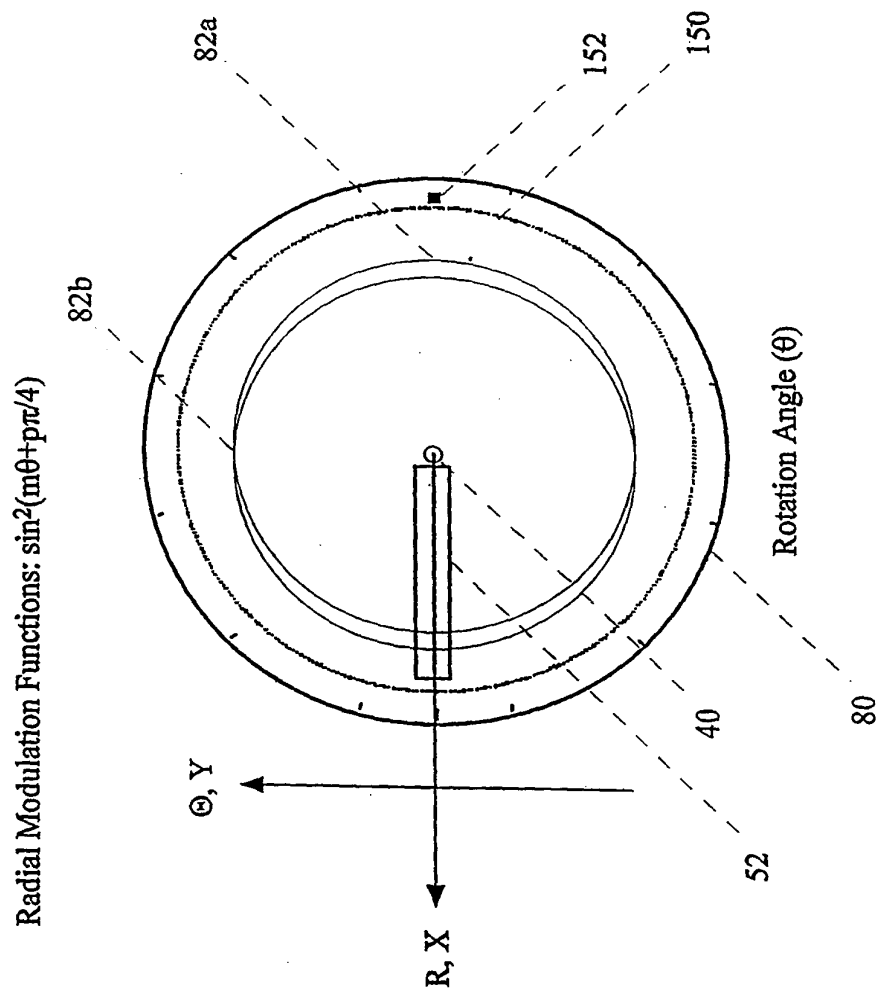
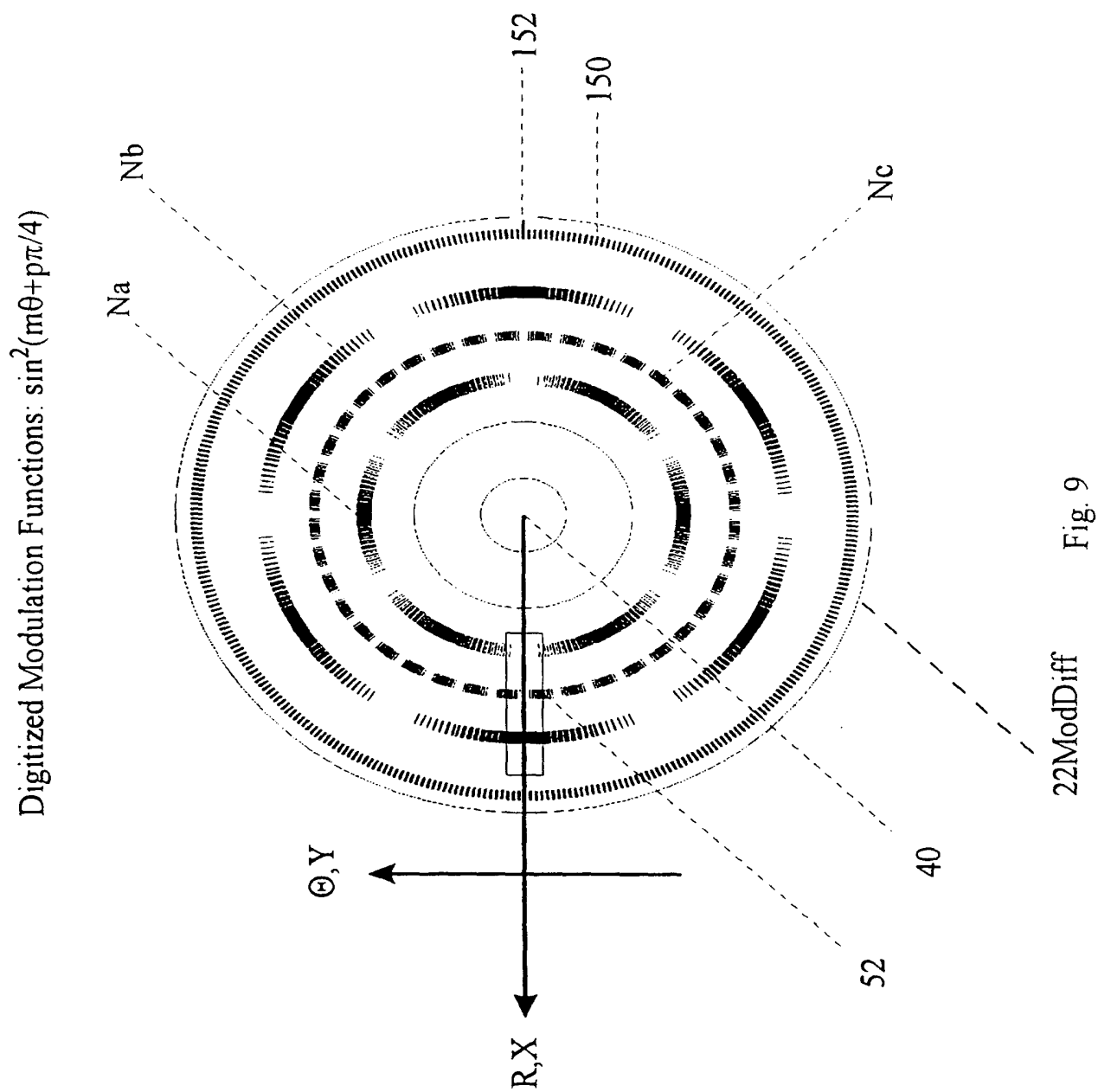


Fig.8



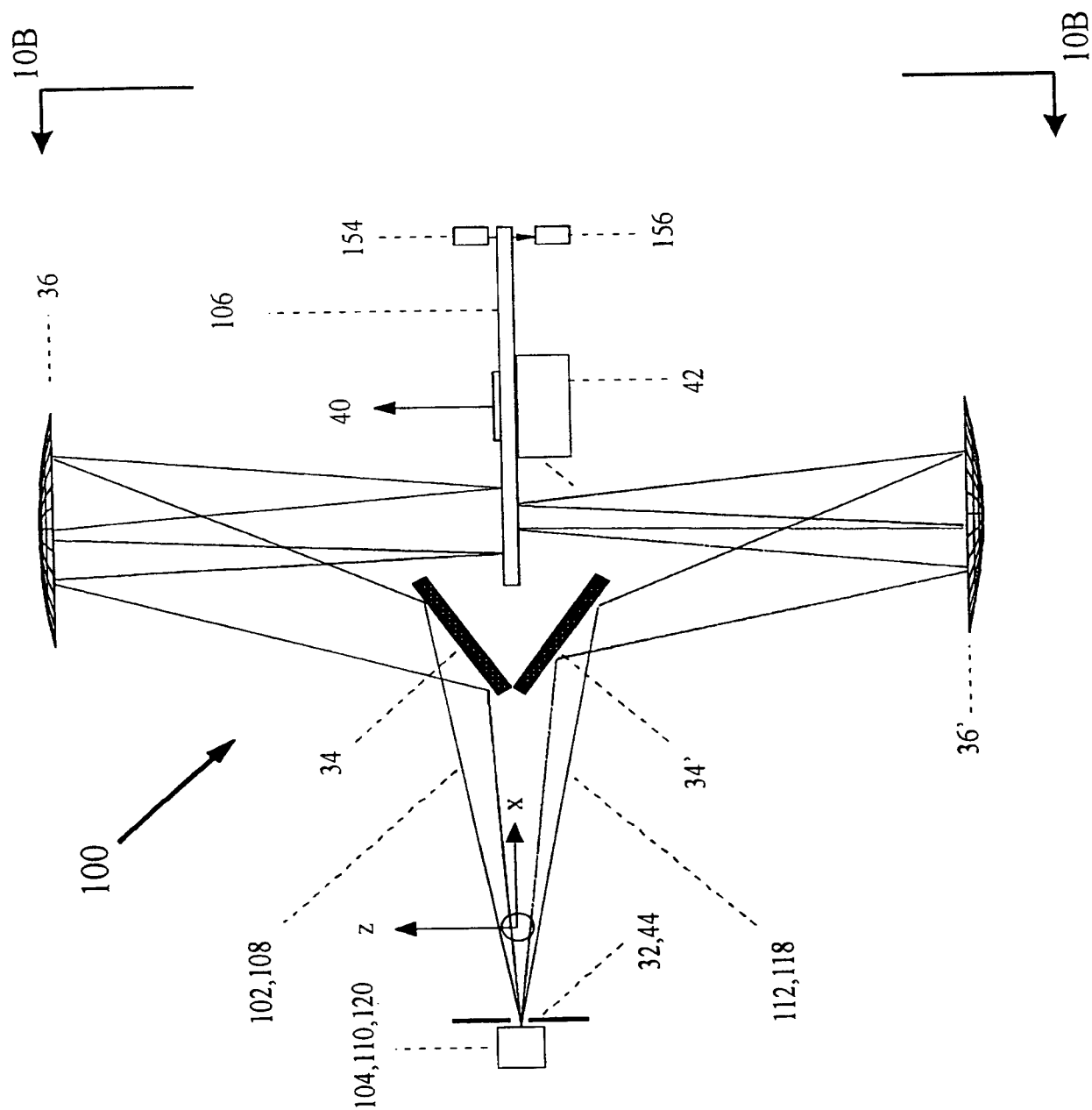


Fig. 10A

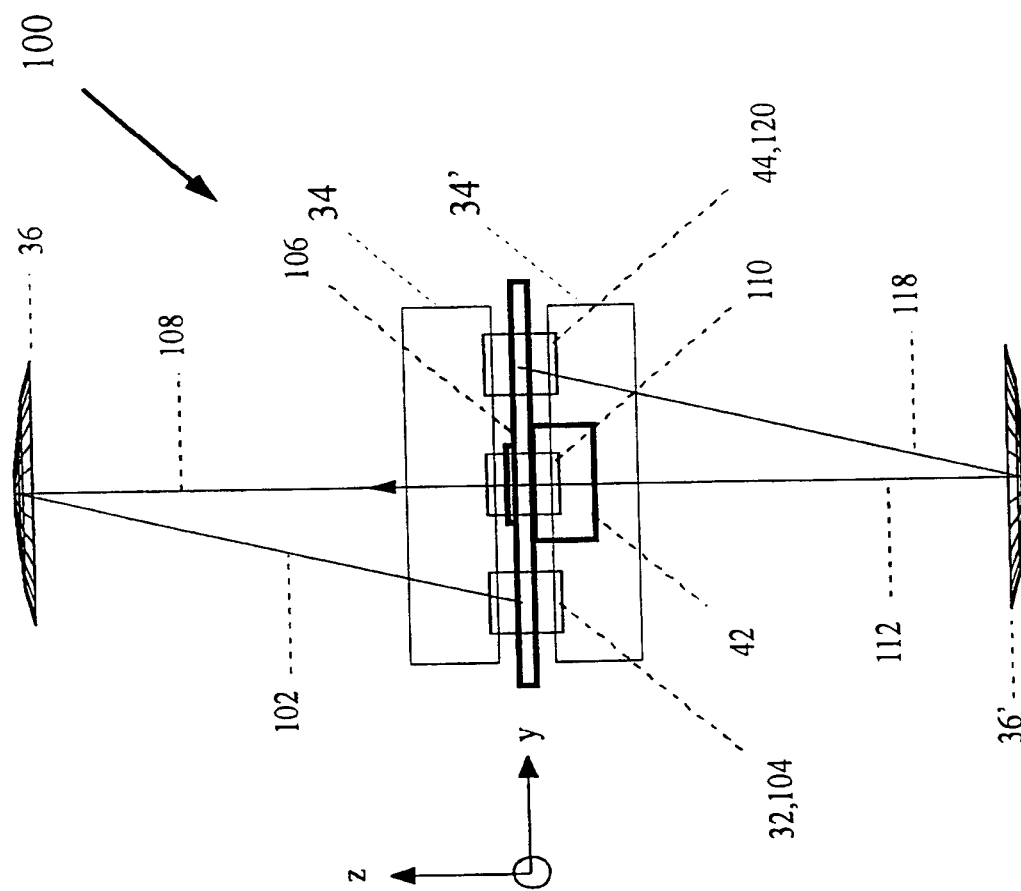


Fig. 10B

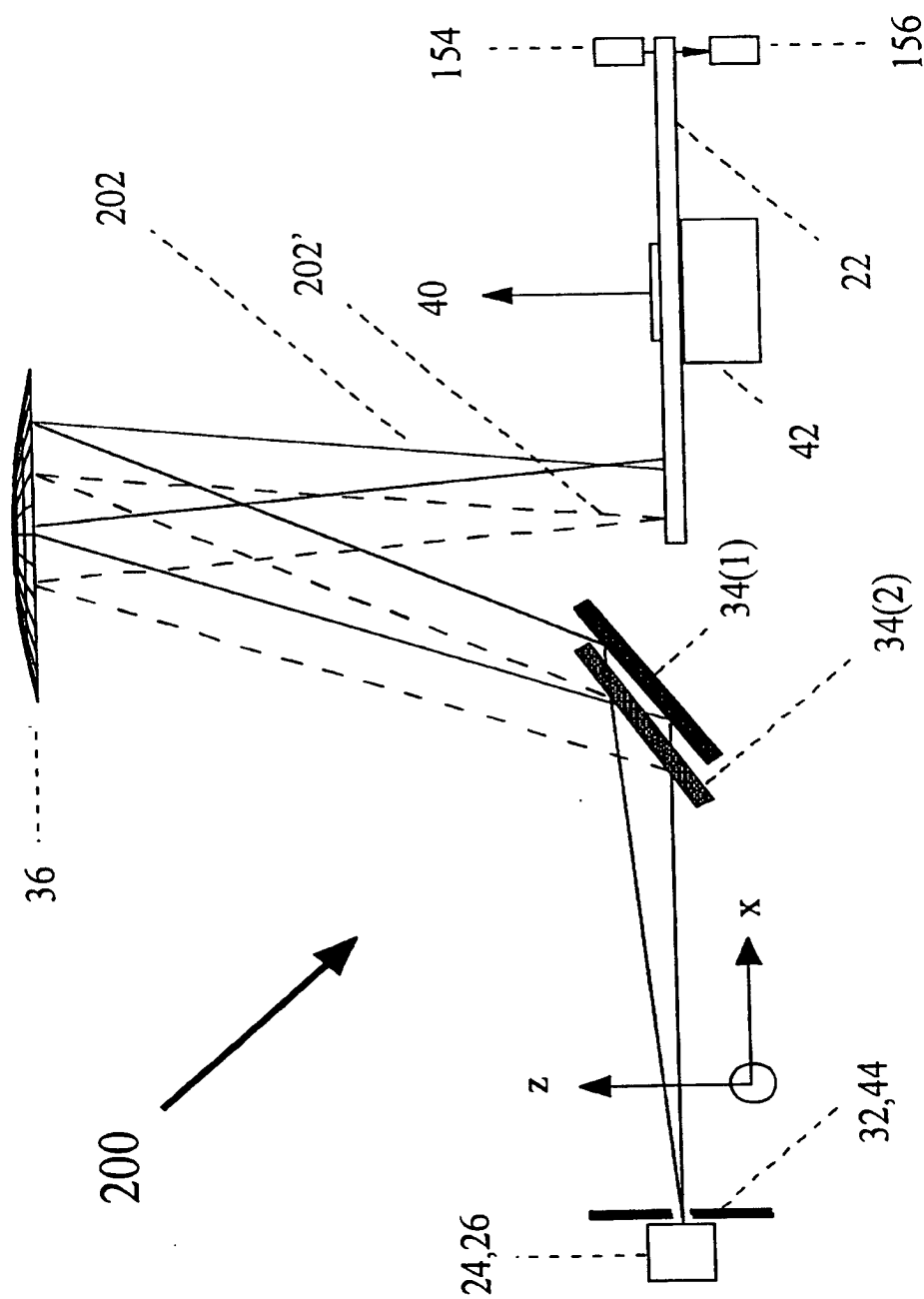
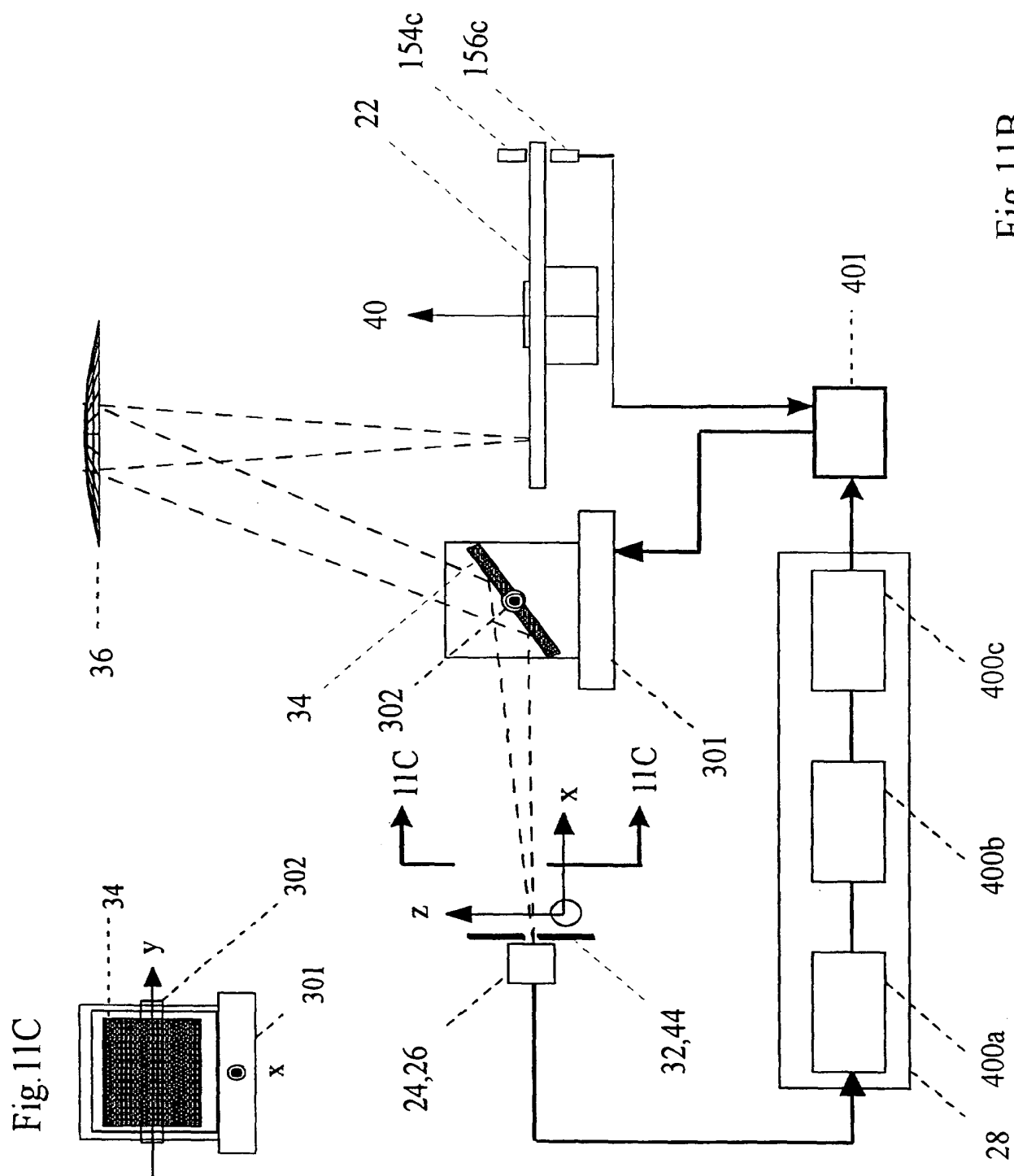


Fig.11A





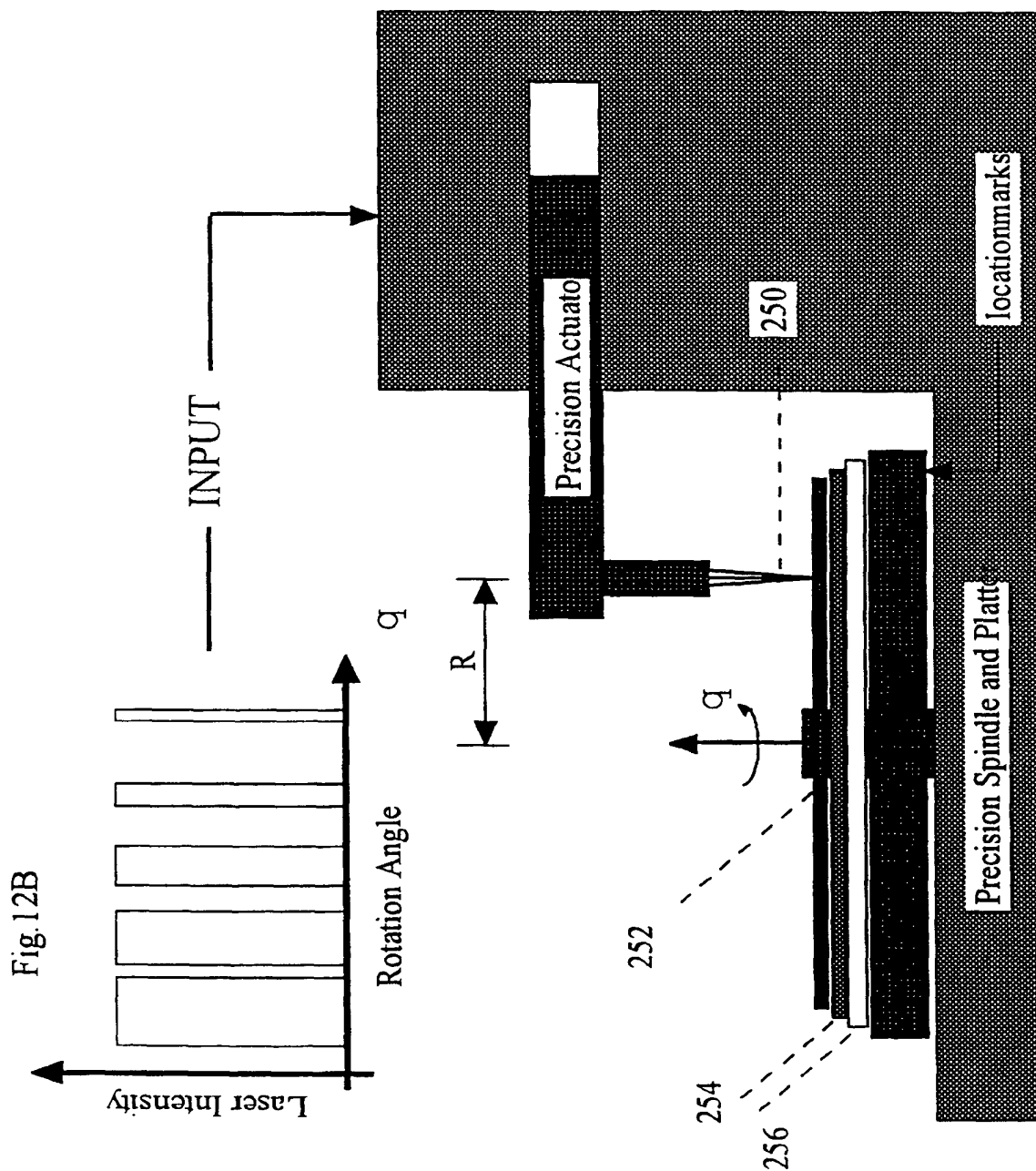
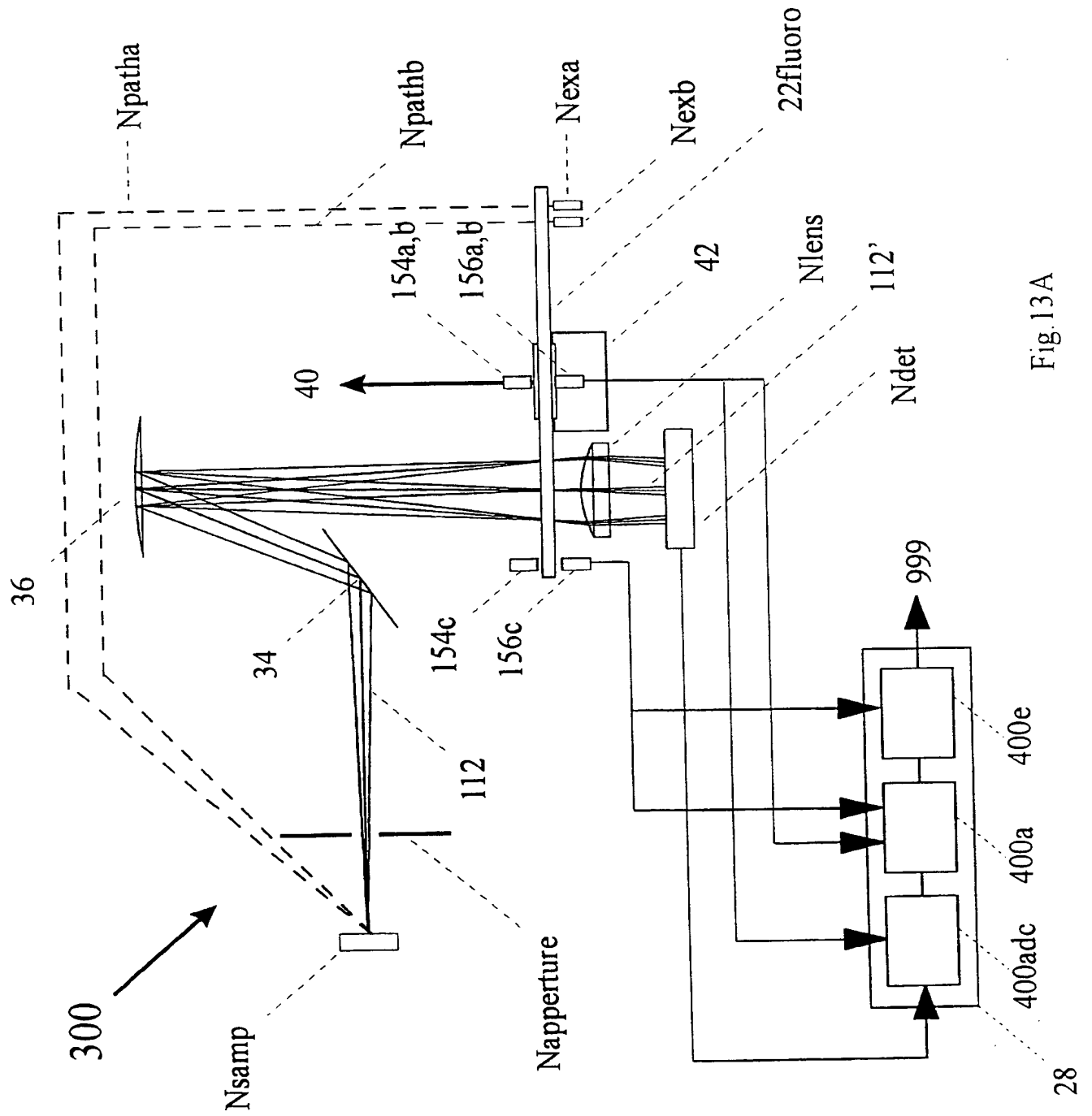


Fig12A



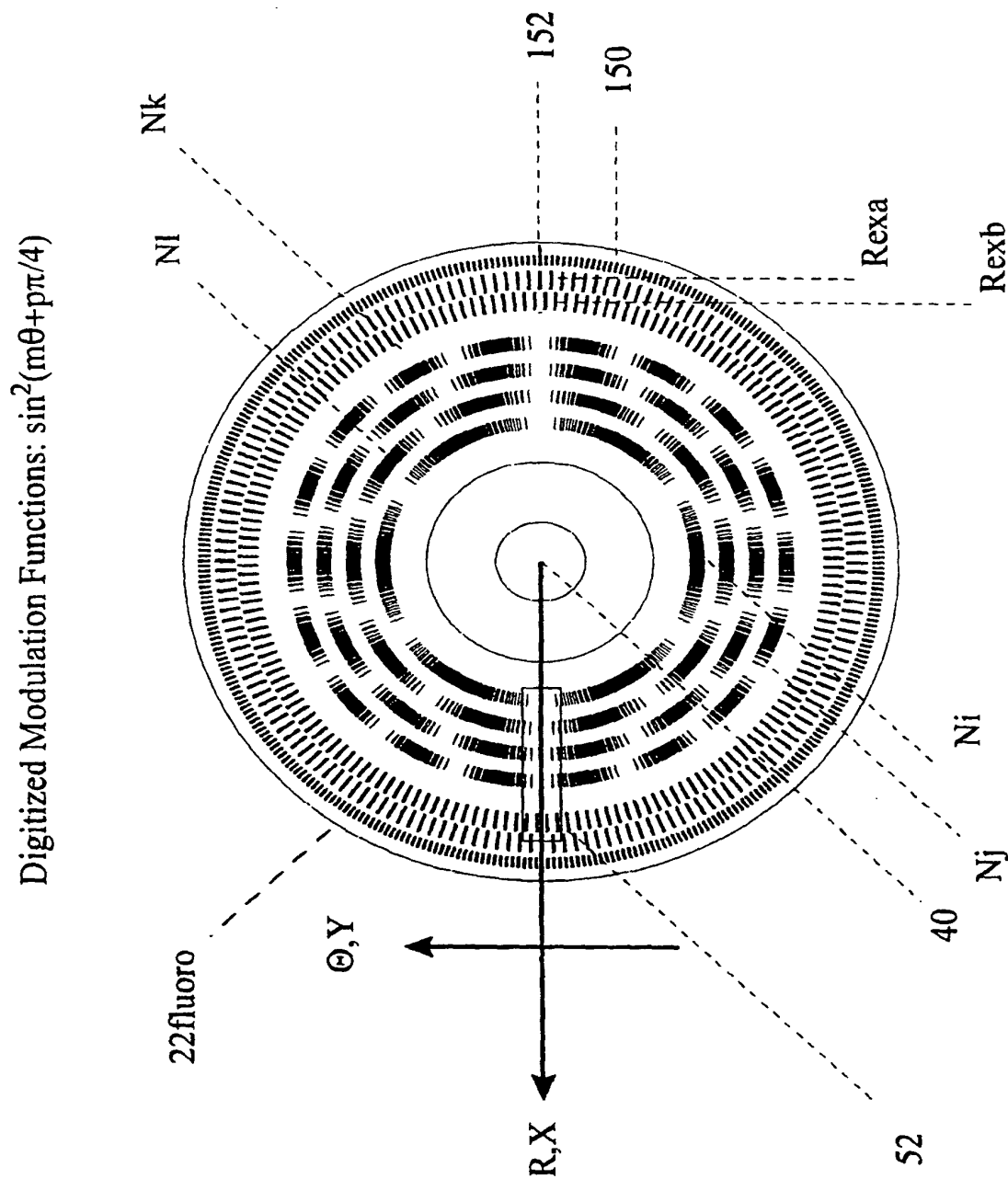


Fig.13B

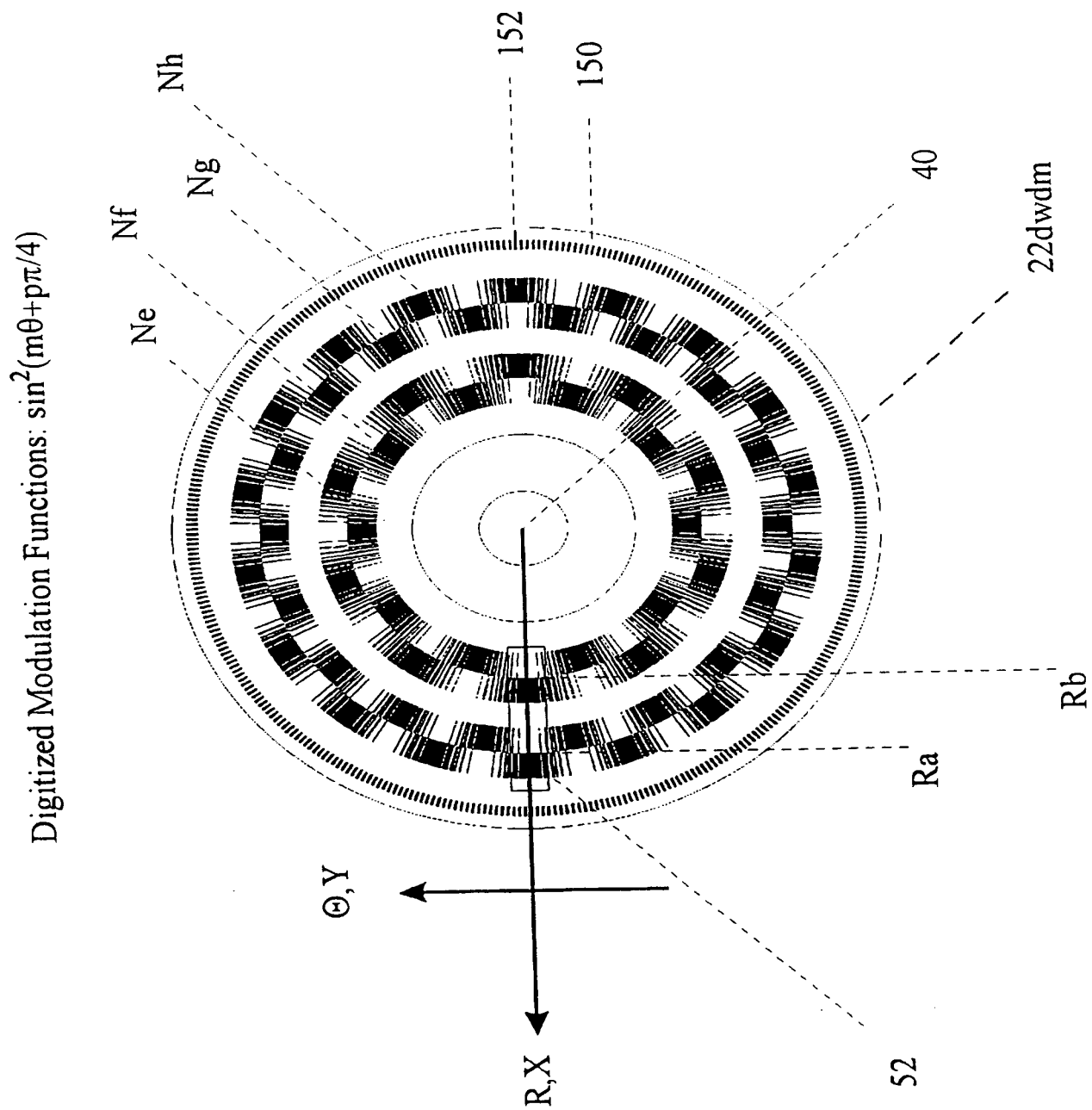


Fig.14

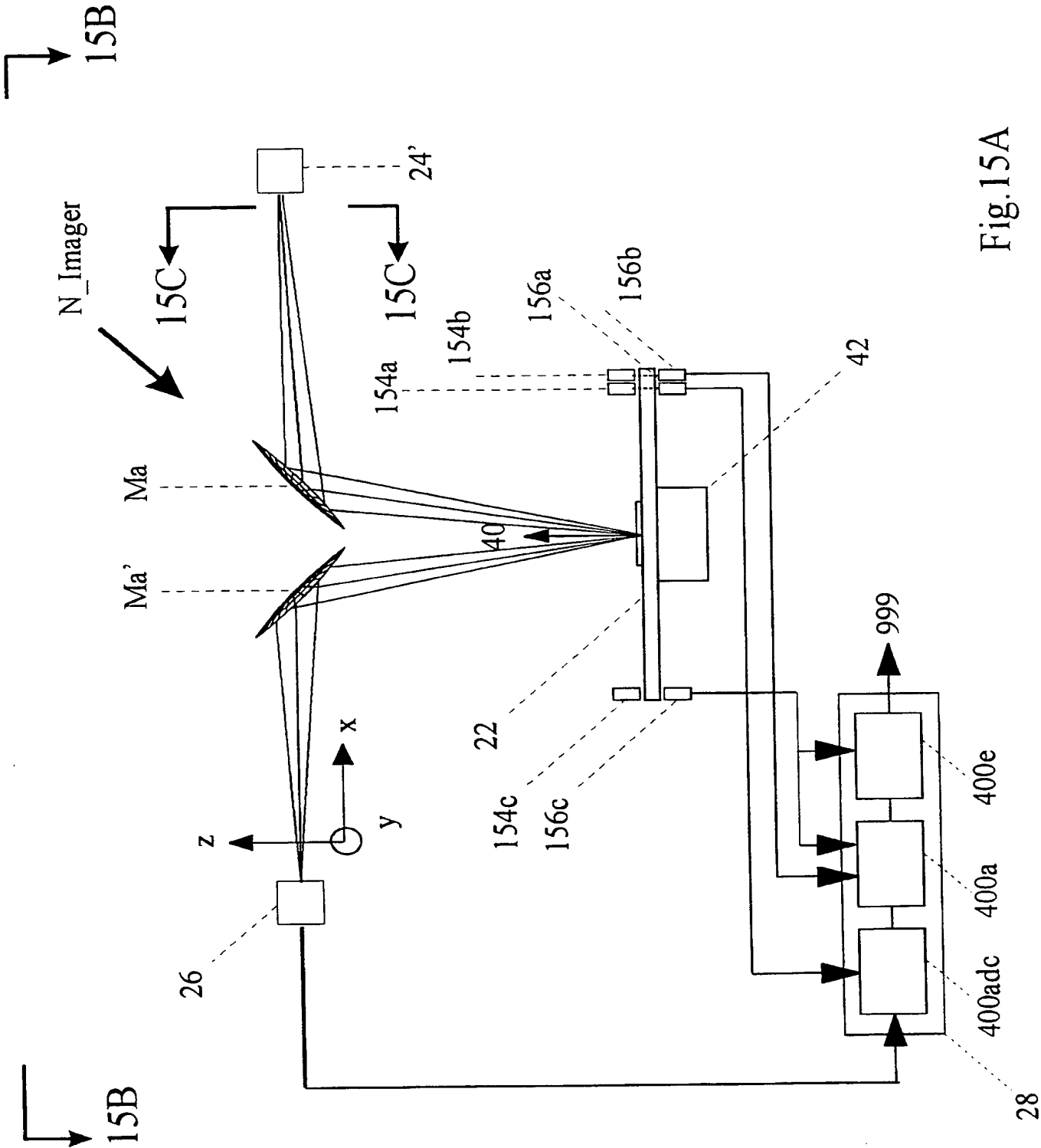


Fig.15A

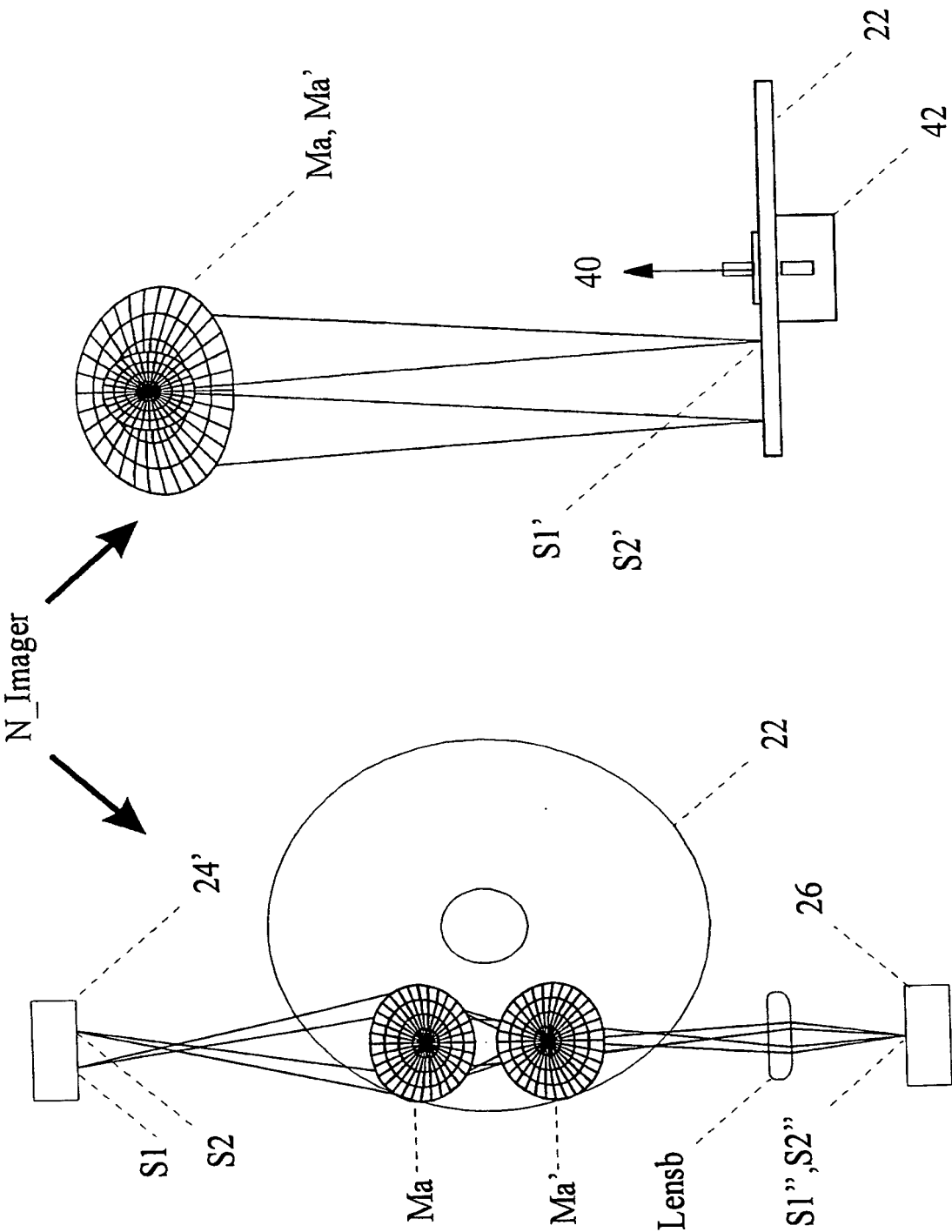


Fig.15C

Fig.15B

**AMENDED CLAIMS**

[received by the International Bureau on 11 March 2000 (11.03.00);  
original claims 1-118 replaced by new claims 1-101 (26 pages)]

1. A radiation spectrum analyzer comprising:
  - at least one source providing radiation having at least one selected
  - 5 spectral component, said spectral component having an intensity, a center wavelength and a bandwidth;
  - first optics collecting, dispersing and focusing said radiation to form an image dispersed by wavelength along a dispersion axis onto a plane;
  - a two dimensional spatial radiation modulator rotated about a
  - 10 rotation axis and positioned in said plane so that said dispersion axis is substantially along a radial axis, said modulator having at least one radiation filter at a radius from said rotation axis, said filter having a radial width substantially defining the bandwidth of a corresponding spectral component of said radiation, said filter modulating the intensity of said corresponding spectral component substantially
  - 15 independent of said bandwidth to provide an encoded beam comprising at least one encoded component, wherein the amplitude of said encoded component changes between three or more substantially distinct levels of contrast as said modulator is rotated about said rotation axis;
  - a detector;
  - 20 second optics collecting and directing said encoded beam onto said detector, causing the detector to provide an output; and
  - computer analyzing signals generated by said detector in response to said encoded beam.
- 25 2. The analyzer of claim 1, wherein said computer computes the amplitude of at least one encoded component from the signals generated by said detector in response to the encoded beam.
3. The analyzer of claim 1, wherein at least one of said filters
- 30 has a modulation function substantially along an azimuthal axis as said modulator is rotated about said rotation axis.

4. The analyzer of claim 3, wherein at least two of said filters having substantially orthogonal modulation functions along an azimuthal axis.

5. The analyzer of claim 3, wherein at least two of said filters  
5 having substantially complementary modulation functions along their corresponding azimuthal axes so that the amplitude of the resulting encoded component is determined by the relative proportion of said radiation incident on the two filters.

10 6. The analyzer of claim 3, wherein said modulation function is a digitized replica of a substantially smooth function, said digitized replica having three or more substantially distinct levels of contrast.

7. The analyzer of claim 6, wherein at least one of said filters  
15 modulates the intensity of a spectral component substantially according to said digitized replica of a function of the form  $\sin^2(m*\theta + p\pi/4)$ , where  $\theta$  is the rotation angle of said modulator about the axis, and  $m$  and  $p$  are integers.

8. The analyzer of claim 7, wherein at least one of said filters  
20 modulates the intensity of a first spectral component substantially according to said digitized replica of the function  $\sin^2(m*\theta + p_1\pi/4)$ , and another one of said filters modulates the intensity of a second spectral component substantially according to said digitized replica of the function  $\sin^2(m*\theta + p_2\pi/4)$ , where  $p_1 - p_2$  is an even integer, said computer analyzes said signals to determine the difference between the  
25 modulated amplitudes of the first and second spectral components.

9. The analyzer of claim 7, wherein at least one of said filters  
modulates the intensity of a first spectral component substantially according to said digitized replica of the function  $\sin^2(m*\theta + p_1\pi/4)$ , and another one of said filters  
30 modulates the intensity of a second spectral component substantially according to said digitized replica of the function  $\sin^2(m*\theta + p_2\pi/4)$ , where  $p_1 - p_2$  is an odd



integer, said computer analyzes said signals to determine the modulated amplitudes of the first and second spectral components.

10                    10.    The analyzer of claim 7, wherein at least two of said filters  
5   collectively modulates the intensities of at least two different spectral components substantially according to digitized replicas of the function  $\sin^2(m\theta + p, \pi/4)$ , said computer analyzes said signals to determine the collective modulated amplitudes of the first and second spectral components.

10                    11.    The analyzer of claim 1, said second optics including a diffraction grating to correct aberrations.

                      12    The analyzer of claim 1, said second optics including a conical section to correct aberrations.

15                    13.    The analyzer of claim 1, said first or second optics including at least one folding mirror projecting said dispersed image onto said modulator or collecting said encoded beam from said modulator.

20                    14.    The analyzer of claim 13, further comprising a moveable stage to position said folding mirror.

                      15.    The analyzer of claim 14, further comprising control algorithms and actuators to move the stage to position said folding mirror.

25                    16.    The analyzer of claim 15, said control algorithms analyzing at least two of said encoded components to provide a background spectrum, and controlling said actuators to position said mirror in response to said background spectrum.

30

17. A two dimensional spatial radiation modulator adapted to be rotated about a rotation axis to modulate at least one component of an incident radiation beam to encode said beam, said modulator comprising a substrate and at least one radiation filter located at a radius from said rotation axis, said filter  
5 comprising an annular region substantially encompassing a plurality of pixels having optical characteristics substantially different from said substrate, said pixels being patterned substantially within said annular region to modulate the intensity of a corresponding component substantially only along an azimuthal axis to provide an encoded component, wherein the amplitude of said encoded component changes  
10 between three or more substantially distinct levels of contrast as the substrate is rotated about said rotation axis.

18. The modulator of claim 17, wherein at least one of said filters has a modulation function substantially along an azimuthal axis as said modulator  
15 is rotated about said rotation axis.

19. The modulator of claim 18, wherein at least two of said filters have substantially orthogonal modulation functions along their corresponding azimuthal axes.  
20

20. The modulator of claim 18, wherein at least two of said filters having substantially complementary modulation functions along their corresponding azimuthal axes so that the amplitude of the resulting encoded component is determined by the relative proportion of radiation from said beam  
25 incident on the two filters.

21. The modulator of claim 18, wherein said modulation functions are digitized replicas of substantially smooth functions, said digitized replicas having three or more substantially distinct levels of contrast.  
30

22. The modulator of claim 21, wherein at least one of said filters modulates the intensity of a component substantially according to said digitized replica of the function  $\sin^2(m*\theta + p\pi/4)$ , where  $\theta$  is the rotation angle of said modulator, and m and p are integers.

5

23. The modulator of claim 22, wherein at least one of said filters modulates the intensity of a first component substantially according to said digitized replica of the function  $\sin^2(m*\theta + p_1\pi/4)$ , and another one of said filters modulates the intensity of a second component substantially according to said digitized replica  
10 of the function  $\sin^2(m*\theta + p_2\pi/4)$ , where  $p_1 - p_2$  is an even integer.

24. The modulator of claim 22, wherein at least one of said filters modulates the intensity of a first component substantially according to said digitized replica of the function  $\sin^2(m*\theta + p_1\pi/4)$ , and another one of said filters modulates  
15 the intensity of a second component substantially according to said digitized replica of the function  $\sin^2(m*\theta + p_2\pi/4)$ , where  $p_1 - p_2$  is an odd integer.

25. The modulator of claim 22, wherein at least two of said filters collectively modulates the intensities of at least two different components  
20 substantially according to digitized replicas of the function  $\sin^2(m*\theta + p_1\pi/4)$ .

26. A method for analyzing a radiation spectrum, comprising:  
providing radiation having at least one selected spectral component,  
said component having an intensity, a center wavelength and bandwidth;  
25 collecting, dispersing and focusing said radiation to form an image dispersed by wavelength along a dispersion axis onto a plane;  
positioning a two dimensional spatial radiation modulator in said plane and rotating said modulator about a rotation axis so that said dispersion axis is substantially along a radial axis, said modulator having at least one radiation filter  
30 at a radius from said rotation axis, said filter having a radial width substantially defining the bandwidth of a corresponding spectral component of said radiation,

said filter modulating the intensity of said corresponding spectral component substantially independent of said bandwidth to provide an encoded beam comprising at least one encoded component, wherein the amplitude of said encoded component changes between three or more substantially distinct levels of contrast  
5 as said modulator is rotated about said rotation axis;  
collecting and directing said encoded beam onto a detector; and  
analyzing signals generated by said detector in response to said encoded beam.

10 27. The method of claim 26, wherein said analyzing determines the amplitude of at least one encoded component.

28. The method of claim 26, wherein said collecting, dispersing and focusing or collecting and directing includes projecting said dispersed image  
15 onto or collecting said encoded beam from the modulator using at least one folding mirror.

29. The method of claim 28, further comprising positioning said folding mirror by a moveable stage to adjust focus and location of said dispersed  
20 image onto said modulator or said encoded beam onto said detector.

30. The method of claim 29, further comprising analyzing at least two of said encoded components to provide a background spectrum, and controlling said actuators to position said mirror in response to said background spectrum  
25

31. The method of claim 26, wherein said spectral components are modulated as digitized replicas of substantially smooth functions, said digitized replicas having three or more substantially distinct levels of contrast.

30 32. The method of claim 31, wherein at least one of said filters modulates the intensity of a spectral component substantially according to said

digitized replica of the function  $\sin^2(m*\theta + p\pi/4)$ , where  $\theta$  is the rotation angle of said modulator,  $m$  and  $p$  are integers.

33. The method of claim 32, wherein at least one of said filters  
5 modulates the intensity of a first spectral component substantially according to said digitized replica of the function  $\sin^2(m*\theta + p_1\pi/4)$ , and another one of said filters modulates the intensity of a second spectral component substantially according to said digitized replica of the function  $\sin^2(m*\theta + p_2\pi/4)$ , where  $p_1 - p_2$  is an even integer, said analyzing determines the difference between the modulated amplitudes  
10 of the first and second spectral components.

34. The method of claim 32, wherein at least one of said filters modulates the intensity of a first spectral component substantially according to said digitized replica of the function  $\sin^2(m*\theta + p_1\pi/4)$ , and another one of said filters  
15 modulates the intensity of a second spectral component substantially according to said digitized replica of the function  $\sin^2(m*\theta + p_2\pi/4)$ , where  $p_1 - p_2$  is an odd integer, said analyzing determines the modulated amplitudes of the first and second spectral components.

20 35. The method of claim 32, wherein at least two of said filters collectively modulates the intensities of at least two different spectral components substantially according to two different digitized replicas of the function  $\sin^2(m*\theta + p_1\pi/4)$ , said analyzing determines the collective modulated amplitudes of the first and second spectral components.

25

36. A spectrum analyzer for analyzing a sample, comprising:  
at least one source to provide an excitation beam of radiation comprising at least one excitation spectral component, said excitation component having an intensity, a center wavelength and a bandwidth;

first optics collecting, dispersing and focusing said excitation beam to form an excitation image dispersed by wavelength along a first dispersion axis onto a first plane;

5 a first two dimensional spatial radiation modulator rotated about a first rotation axis and positioned in said first plane so that said first dispersion axis is substantially along a radial axis, said first modulator having at least one radiation filter at a radius from said first rotation axis, said filter modulating the intensity of a corresponding excitation component to provide an encoded excitation beam comprising at least one encoded excitation component, wherein  
10 the amplitude of said encoded excitation component is a substantially smooth function or changes between three or more substantially distinct levels of contrast as said first modulator is rotated about said first rotation axis;

second optics collecting, dispersing and focusing said encoded excitation beam onto a sample;

15 third optics collecting, dispersing and focusing a response beam of radiation from said sample to form a second image dispersed by wavelength along a second dispersion axis onto a second plane, said response beam comprising at least one response spectral component emitted or scattered in response to said encoded excitation beam, said response component having an  
20 intensity, a center wavelength and a bandwidth;

a second two dimensional spatial radiation modulator rotated about a second rotation axis and positioned in said second plane so that said second dispersion axis is substantially along a radial axis, said second modulator having at least one radiation filter at a radius from said second rotation axis, said  
25 filter modulating the intensity of a corresponding response component to provide an encoded response beam comprising at least one encoded response component, wherein the amplitude of said encoded response component is a substantially smooth function or changes between three or more substantially distinct levels of contrast as said second modulator is rotated about said second rotation axis;

30 a detector,

fourth optics collecting, dispersing and focusing said encoded response beam onto said detector, and

computer analyzing signals generated by said detector in response to said encoded response beam.

5

37. The spectrum analyzer of claim 36, wherein at least one of said encoded excitation components is modulated substantially according to a function of the form  $\sin^2(m\theta + p\pi/4)$ , and wherein at least one of said encoded response components is modulated substantially according to a function of the form  $\sin^2(n\theta + q\pi/4)$ , where m, n, p, q are integers.

10

38. The spectrum analyzer of claim 37, wherein said computer computes the amplitude of at least one sum or difference frequency component resulting from the cross modulation of the excitation beam and the response beam.

15

39. The spectrum analyzer of claim 36, wherein the excitation beam and the response beam are encoded with the same spatial radiation modulator to provide a phase-locked cross modulation of the excitation beam and the response beam.

20

40. The spectrum analyzer of claim 39, wherein the modulator is a differentially transmissive or reflective disc which is patterned on a first surface to encode the excitation beam and patterned on a second surface to encode the response beam.

25

41. A method for analyzing a response beam of radiation from a sample resulting from an excitation beam of radiation, comprising:

providing an excitation beam of radiation comprising at least one excitation spectral component, said excitation component having an intensity, a center wavelength and bandwidth;

30

collecting, dispersing and focusing said excitation beam to form an excitation image dispersed by wavelength along a first dispersion axis onto a first plane;

5 positioning a first two dimensional spatial radiation modulator in said first plane and rotating said first modulator about a first rotation axis so that said first dispersion axis is substantially along a radial axis, said first modulator having at least one radiation filter at a radius from said first rotation axis, said filter modulating the intensity of a corresponding excitation spectral component to provide an encoded excitation beam comprising at least one encoded  
10 excitation component, wherein the amplitude of said encoded excitation component is a substantially smooth function or changes between three or more substantially distinct levels of contrast as said first modulator is rotated about said first rotation axis;

collecting and refocusing said encoded excitation beam onto a  
15 sample;

collecting, dispersing and focusing a response beam of radiation from said sample to form a second image dispersed by wavelength along a second dispersion axis onto a second plane, said response beam comprising at least one response spectral components emitted or scattered in response to said  
20 encoded excitation beam, said response component having an intensity, a center wavelength and a bandwidth;

positioning a second two dimensional spatial radiation modulator in said second plane and rotating said second modulator about a second rotation axis so that said second dispersion axis is substantially along a radial axis, said second  
25 modulator having at least one radiation filter at a radius from said second rotation axis, said filter modulating the intensity of a corresponding response component to provide encoded response beam comprising at least one encoded response component, wherein the amplitude of said encoded response component is a substantially smooth function or changes between three or more substantially  
30 distinct levels of contrast as said second modulator is rotated about said second rotation axis;



collecting, dispersing and focusing said encoded response beam onto a detector; and

analyzing signals generated by said detector in response to said encoded response beam.

5

42. The method of claim 41, wherein at least one of said encoded excitation components is modulated substantially according to a function of the form  $\sin^2(m\theta + p\pi/4)$ , and wherein at least one of said encoded response components is modulated substantially according to a function of the form  $\sin^2(n\theta + q\pi/4)$ , where  
10 m, n, p, q are integers.

43. The method of claim 42, wherein said analyzing determines the amplitude of at least one sum or difference frequency component resulting from the cross modulation of the excitation beam and the response beam.

15

44. The method of claim 41, wherein the excitation beam and the response beam are encoded with the same spatial radiation modulator to provide a phase-locked cross modulation of the excitation beam and the response beam.

20 45. The method of claim 44, wherein the modulator is a differentially transmissive or reflective disc which is patterned on a first surface to encode the excitation beam and patterned on a second surface to encode the response beam.

25 46. A radiation spectrum analyzer comprising:  
at least one source providing radiation having at least one selected spectral component, said spectral component having an intensity, a center wavelength and a bandwidth;

first optics collecting, dispersing and focusing said radiation to form  
30 an image dispersed by wavelength along a dispersion axis onto a plane;

a two dimensional spatial radiation modulator rotated about a rotation axis and positioned in said plane so that said dispersion axis is substantially along a radial axis, said modulator having at least one radiation filter at a radius from said rotation axis, said filter having a radial width substantially defining the bandwidth of a corresponding spectral component of said radiation, said filter modulating the intensity of said corresponding spectral component substantially independent of said bandwidth to provide an encoded beam comprising at least one encoded component, wherein the amplitude of said encoded component is modulated as a substantially smooth function of the rotation angle of the modulator about said rotation axis;

a detector;

second optics collecting and directing said encoded beam onto said detector, causing the detector to provide an output; and

computer analyzing signals generated by said detector in response to said encoded beam.

47. The analyzer of claim 46, wherein said computer analyzes said signals to determine the amplitude of at least one encoded component.

48. The analyzer of claim 46, wherein at least one of said filters has a modulation function substantially along an azimuthal axis as said modulator is rotated about said rotation axis.

49. The analyzer of claim 48, wherein at least one of said filters modulates the intensity of a spectral component substantially according to the function  $\sin^2(m*\theta + p\pi/4)$ , where  $\theta$  is the rotation angle of said modulator,  $m$  and  $p$  are integers.

50. The analyzer of claim 49, wherein at least one of said filters modulates the intensity of a first spectral component substantially according to the function  $\sin^2(m*\theta + p_1\pi/4)$ , and another one of said filters modulates the intensity

of a second spectral component substantially according to the function  $\sin^2(m*\theta + p_2\pi/4)$ , where  $p_1 - p_2$  is an even integer, said computer analyzes said signals to determine the difference between the modulated amplitudes of the first and second spectral components.

5

51. The analyzer of claim 49, wherein at least one of said filters modulates the intensity of a first spectral component substantially according to the function  $\sin^2(m*\theta + p_1\pi/4)$ , and another one of said filters modulates the intensity of a second spectral component substantially according to the function  $\sin^2(m*\theta +$   
10  $p_2\pi/4)$ , where  $p_1 - p_2$  is an odd integer, said computer analyzes said signals to determine the modulated amplitudes of the first and second spectral components.

52. The analyzer of claim 49, wherein at least two of said filters collectively modulates the intensities of at least two different spectral components  
15 substantially according to the function  $\sin^2(m*\theta + p_1\pi/4)$ , said computer analyzes said signals to determine the collective modulated amplitudes of the first and second spectral components.

53. A two dimensional spatial radiation modulator adapted to be  
20 rotated about a rotation axis to modulate at least one component of an incident radiation beam to encode said beam, said modulator comprising a substrate and at least one radiation filter located at a radius from said rotation axis and having radial width, said filter having substantially continuously variable optical characteristics along an azimuthal axis, said optical characteristics being continuously varied to  
25 modulate the intensity of said component as a substantially smooth function of a rotation angle of the modulator about said rotation axis.

54. The modulator of claim 53, wherein at least one of said filters modulates the intensity of a component substantially according to the function  
30  $\sin^2(m*\theta + p\pi/4)$ , where  $\theta$  is the rotation angle of said modulator, and  $m$  and  $p$  are integers.

55. The modulator of claim 54, wherein at least one of said filters modulates the intensity of a first component substantially according to the function  $\sin^2(m*\theta + p_1\pi/4)$ , and another one of said filters modulates the intensity of a second component substantially according to the function  $\sin^2(m*\theta + p_2\pi/4)$ , where  $p_1-p_2$  is an even integer.

56. The modulator of claim 54, wherein at least one of said filters modulates the intensity of a first component substantially according to the function  $\sin^2(m*\theta + p_1\pi/4)$ , and another one of said filters modulates the intensity of a second component substantially according to the function  $\sin^2(m*\theta + p_2\pi/4)$ , where  $p_1-p_2$  is an odd integer.

57. The modulator of claim 54, wherein at least two of said filters collectively modulates the intensities of at least two different components substantially according to the function  $\sin^2(m*\theta + p\pi/4)$ .

58. A method for analyzing a radiation spectrum, comprising:  
providing radiation having at least one selected spectral component, said spectral component having an intensity, a center wavelength and a bandwidth;  
collecting, dispersing and focusing said radiation to form an image dispersed by wavelength along a dispersion axis onto a plane;  
positioning a two dimensional spatial radiation modulator in said plane and rotating said modulator about a rotation axis so that said dispersion axis is substantially along a radial axis, said modulator having at least one radiation filter at a radius from said rotation axis, said filter having a radial width substantially defining the bandwidth of a corresponding spectral component of said radiation, said filter modulating the intensity of said corresponding spectral component substantially independent of said bandwidth to provide an encoded beam comprising at least one encoded component, wherein the amplitude of said encoded component is modulated as a substantially smooth function of the rotation angle of the modulator about said rotation axis;

collecting and directing said encoded beam onto said detector; and  
analyzing signals generated by said detector in response to the  
encoded beam.

5                    59.     The method of claim 58, wherein said analyzing determines  
the amplitude of at least one encoded component.

60.     The method of claim 58, wherein at least one of said filters  
has a modulation function substantially along an azimuthal axis as said modulator  
10 is rotated about said rotation axis.

61.     The method of claim 60, wherein at least one of said filters  
modulates the intensity of a spectral component substantially according to the  
function  $\sin^2(m\theta + p\pi/4)$ , where  $\theta$  is the rotation angle of said modulator, and  $m$   
15 and  $p$  are integers.

62.     The method of claim 61, wherein at least one of said filters  
modulates the intensity of a first spectral component substantially according to the  
function  $\sin^2(m\theta + p_1\pi/4)$ , and another one of said filters modulates the intensity  
20 of a second spectral component substantially according to the function  $\sin^2(m\theta +$   
 $p_2\pi/4)$ , where  $p_1 - p_2$  is an even integer, said analyzing determines the difference  
between the modulated amplitudes of the first and second spectral components.

63.     The method of claim 61, wherein at least one of said filters  
25 modulates the intensity of a first spectral component substantially according to the  
function  $\sin^2(m\theta + p_1\pi/4)$ , and another one of said filters modulates the intensity  
of a second spectral component substantially according to the function  $\sin^2(m\theta +$   
 $p_2\pi/4)$ , where  $p_1 - p_2$  is an odd integer, said analyzing determines the modulated  
amplitudes of the first and second spectral components.

30

64. The method of claim 61, wherein at least two of said filters collectively modulates the intensities of at least two different spectral components substantially according to the function  $\sin^2(m\theta + p_1\pi/4)$ , said analyzing determines the collective modulated amplitudes of the first and second spectral components.

5

65. A method for analyzing a radiation spectrum, comprising:  
providing radiation of at least two selected spectral or spatial components;

forming a dispersed image or an extended image along an encoding  
10 axis onto an encoding plane;

positioning a two dimensional spatial radiation modulator in said  
encoding plane and rotating the modulator about a rotation axis so that said  
encoding axis is substantially along a radial axis, said modulator having at least two  
radiation filters at different radii from said rotation axis, said filters modulating the  
15 amplitudes of their corresponding components to provide an encoded beam  
comprising at least two encoded components, wherein the amplitudes of said  
encoded components are modulated with imperfect orthogonal modulation  
functions, wherein at least one of said encoded components having residual  
interference with another of said encoded components;

20 collecting and directing said encoded beam onto a detector so that  
the detector provides an output; and

analyzing signals generated by said detector to determine first  
zeroth-order amplitudes of encoded components, said first zeroth-order amplitudes  
having decoding errors resulting from said residual interference;

25 increasing or decreasing the radiation incident on at least one of said  
filters to provide a modified encoded beam;

analyzing signals generated by said detector in response to said  
modified encoded beam to determine modified amplitudes of encoded components;

comparing at least two of said modified amplitudes to said first  
30 zeroth-order amplitudes to determine interference coefficients;

using said interference coefficients to compensate subsequent zeroth-order amplitudes for said decoding errors.

66. The method of claim 65, wherein said increasing or  
5 decreasing includes sequentially obscuring the radiation incident on at least one of said filters.

67. A method for analyzing radiation, comprising:  
providing radiation of at least one selected spectral or spatial  
10 component;  
forming a dispersed image or an extended image along an encoding axis onto an encoding plane;  
positioning a two dimensional spatial radiation modulator in said encoding plane and rotating the modulator about a rotation axis so that said  
15 encoding axis is substantially along a radial axis, said modulator having at least one radiation filter at a radius from said rotation axis, said filter modulating the intensity of a corresponding radiation component to provide an encoded beam comprising at least one encoded component;  
collecting and directing said encoded beam onto a detector so that  
20 said detector provides an output; and  
analyzing signals generated by said detector, said analyzing including subtracting the detector output from an expected detector output as a function of the rotation angle of said modulator about said rotation axis to provide an output difference function, said analyzing further comprising analyzing said  
25 output difference function to detect sub-rotational period transients in the amplitude of one or more encoded components.

68. The method of claim 67, wherein said expected detector output comprising the detector output from one or more previous rotational periods.  
30

69. The method of claim 67, further comprising adjusting the speed of said rotation in response to said output difference function.

70. The method of claim 67, said analyzing further comprising  
5 determining the  
decoded amplitudes of at least one encoded component and correcting said decoded amplitudes for decoding errors resulting from sub-rotational period transients.

71. A method for analyzing radiation, comprising:  
10 providing radiation of at least one selected spectral or spatial component;  
forming a dispersed image or an extended image along an encoding axis onto an encoding plane,  
positioning a two dimensional spatial radiation modulator in said  
15 encoding plane and rotating the modulator about a rotation axis so that said encoding axis is substantially along a radial axis, said modulator comprising a pattern on a rotating substrate, said pattern having at least one radiation filter at a radius from said rotation axis, said filter modulating the intensity of a corresponding component to provide an encoded beam comprising at least one encoded  
20 component, said pattern further comprising a series of marks at a substantially constant radius, said marks having optical characteristics substantially different from said substrate;  
collecting and directing said encoded beam onto a detector so that the detector provides a data signal in response to said encoded beam;  
25 analyzing said data signals, said analyzing including determining the modulated amplitude of at least one encoded component;  
positioning a dedicated light source and second detector so that said marks modulate beam from said light source to said second detector to generate an alignment signal;  
30 analyzing said alignment signal to detect substrate wobble or a misaligned pattern on said substrate.



72. The method of claim 71, said analyzing including an analytical function which uses at least one of said modulated amplitudes as inputs, said function further using said alignment signal to compensate said modulated amplitudes for the undesired effects of said substrate wobble or a misaligned pattern.

73. The method of claim 71, further comprising dynamically positioning one or more optical elements in response to said alignment signal to minimize the undesired effects of said substrate wobble or a misaligned pattern.

10

74. A system for monitoring radiation from at least one radiation source, comprising:

a beam comprising at least one radiation component corresponding to a distinct radiation source, said component having an intensity and a center wavelength;

15

first optics collecting, dispersing and focusing said beam to form at least one image along an encoding axis onto an encoding plane, said image corresponding to said component;

a two dimensional spatial radiation modulator rotated about a rotation axis and positioned in said encoding plane so that said encoding axis is substantially along a radial axis such that a change in the center wavelength of said component will cause said corresponding image to move substantially along said radial axis, said modulator having at least one radiation filter pair for modulating the intensity of a corresponding component to provide an encoded beam comprising at least one encoded component, said pair comprising two radiation filters located at different radii from said rotation axis and having modulation functions that are complementary to each other so that the amplitude and phase of said encoded component is determined by the relative proportion of radiation incident on the two filters;

25

second optics collecting and directing said encoded beam onto a detector; and

30

computer analyzing signals generated by said detector in response to said encoded beam.

75. The system of claim 74, wherein said computer computes  
5 said amplitudes and phases of at least one encoded component from the signals generated by said detector in response to the encoded beam.

76. The system of claim 74, further comprising at least one  
control signal for adjusting said center wavelength of at least one source in response  
10 to the signals generated by the detector to tune said sources.

77. The system of claim 74, wherein said radiation filters comprising said pair are substantially adjacent to one another.

78. The system of claim 77, wherein the border between said  
15 adjacent radiation filters is substantially located at the radius which correspond to the nominal or desired center wavelengths for said radiation source.

79. The system of claim 74, wherein at least two of said encoded  
20 components are encoded with substantially orthogonal modulation functions, wherein said analyzing computer computes the amplitude and phase of at least one of said encoded component.

80. A method for monitoring radiation from at least one source,  
25 comprising:

collecting a beam comprising at least one radiation component corresponding to a distinct radiation source, said component having an intensity and a center wavelength;

dispersing and focusing said beam to form at least one image along  
30 an encoding axis onto an encoding plane, said image corresponding to said component;

positioning a two dimensional spatial radiation modulator in said encoding plane and rotating the modulator about a rotation axis so that said encoding axis is substantially along a radial axis such that a change in the center wavelength of said component will cause said corresponding image to move  
5 substantially along said radial axis, said modulator having at least one radiation filter pair for modulating incident radiation to provide an encoded beam comprising at least one encoded component, said pair comprising two radiation filters located at different radii from said rotation axis and having modulation functions that are complementary to each other so that the amplitude and phase of said encoded  
10 component are determined by the relative proportion of radiation from the beam incident on the two filters;

collecting and directing said encoded beam onto a detector; and  
analyzing signals generated by said detector in response to said encoded beam.

15

81. The method of claim 80, wherein said analyzing determines the amplitude and phase of at least one encoded component.

82. The method of claim 80, further comprising adjusting said  
20 center wavelength of at least one source in response to signals generated by said detector.

83. The method of claim 80, wherein said radiation filters comprising said pair are substantially adjacent to one another.

25

84. The method of claim 83, wherein the border between said adjacent radiation filters is substantially located at the radius which correspond to the nominal or desired center wavelengths for said radiation source.

30 85. The method of claim 80, wherein at least two of said encoded components are encoded with substantially orthogonal modulation functions,

wherein said analyzing computes the amplitude and phase of at least one of said encoded component.

86. A spectrum analyzer for analyzing a sample, comprising:
- 5 one or more excitation sources providing excitation radiation, said excitation radiation comprising two or more distinct excitation components;
- first optics directing said excitation components to the sample substantially in sequence;
- second optics collecting a response beam of radiation from said
- 10 sample and forming a dispersed image or an extended image along an encoding axis, said response beam comprising at least one spectral or spatial component emitted, transmitted or scattered by the sample in response to said excitation radiation;
- a two dimensional spatial radiation modulator rotated about a
- 15 rotation axis and positioned in said plane so that said encoding axis is along a radial axis, said modulator having at least one radiation filter at a radius from said rotation axis, said filter modulating the intensity of a corresponding response component to provide an encoded response beam comprising at least one encoded response component;
- 20 a detector;
- third optics collecting and directing said encoded response beam onto said detector, causing the detector to provide an output; and
- computer analyzing signals generated by said detector in response to said encoded response beam.

- 25
87. The analyzer of claim 86, wherein said computer computes the amplitudes of at least one encoded response component as a function of said excitation components.

88. The analyzer of claim 86, wherein said sequence substantially precludes more than one of said excitation components from reaching the sample at any given time.

5 89. The analyzer of claim 86, said modulator further comprising two or more annular regions for modulating the intensity of said components of excitation radiation, said annular regions comprising alternating radiation transmissive areas and radiation blocking areas, said areas in said annular regions patterned such that said excitation  
10 components are directed to the sample substantially in sequence.

90. A method for analyzing a sample, comprising:  
providing one or more excitation sources to provide excitation radiation, said excitation radiation comprising two or more distinct excitation  
15 components;

directing said excitation components to the sample substantially in sequence;

collecting a response beam of radiation from said sample and forming a dispersed image or an extended image along an encoding axis, said  
20 response beam comprising at least one spectral or spatial component emitted, transmitted or scattered by the sample in response to said excitation radiation;

positioning a two dimensional spatial radiation modulator in said encoding plane and rotating said modulator about a rotation axis so that said encoding axis is along a radial axis, said modulator having at least one radiation  
25 filter at a radius from said rotation axis, said filter modulating the intensity of a corresponding response component to provide an encoded response beam comprising at least one encoded response component;

collecting and directing said encoded response beam onto said detector; and

30 analyzing time-based signals generated by said detector in response to said encoded response beam.

91. The method of claim 90, wherein said analyzing determines said amplitudes of the encoded components from the signals generated by said detector in response to the encoded beam as a function of said excitation  
5 components.

92. The method of claim 90, wherein said sequence substantially precludes more than one of said excitation components from reaching the sample at any given time.

10

93. The method of claim 90, wherein said analyzing separates said time-based signal into sub-signals, wherein each said sub-signal corresponding to the encoded response components corresponding to only one of said excitation components, and analyzes said sub-signals to determine the amplitude of at least  
15 one encoded response component as a function of said corresponding excitation component.

94. A system for monitoring radiation from an extended source having at least two spatial components that emit radiation, comprising:

20 first optics collecting and focusing radiation from said extended source to form at least two images along an encoding axis onto an encoding plane, said images corresponding to said spatial components;

a two dimensional spatial radiation modulator rotated about a rotation axis and positioned in said plane so that said encoding axis is along a radial  
25 axis, said modulator having radiation filters at different radii from said rotation axis, said filters modulating the intensity of the radiation emitted by said spatial components to provide an encoded beam comprising at least one encoded component, wherein the amplitude of said encoded component is a smooth function or changes between three or more substantially distinct levels of contrast as said  
30 modulator is rotated about said rotation axis;

a detector;

second optics for collecting and directing said encoded beam onto said detector, causing the detector to provide an output; and

computer analyzing signals generated by said detector in response to said encoded beam.

5

95. The analyzer of claim 94, wherein said extended source is an assembly of different samples.

96. The analyzer of claim 94, wherein said computer computes  
10 the amplitude of at least one encoded component from the signals generated by said detector in response to the encoded beam.

97. The system of claim 94, further comprising a third optics for collecting the encoded beam and directing said encoded beam to a spectrometer to  
15 determine the encoded beams spectral properties.

98. A method for monitoring radiation from an extended source having at least two spatial components that emit radiation, comprising:

providing radiation from an extended source having at least two  
20 spatial components that emit radiation;

collecting and focusing radiation from said extended source to form at least two images along an encoding axis onto an encoding plane, said images corresponding to said spatial components;

positioning a two dimensional spatial radiation modulator in said  
25 encoding plane and rotating said modulator about a rotation axis so that said encoding axis is along a radial axis, said modulator having radiation filters at different radii from said rotation axis, said filters modulating the intensity of the radiation emitted by said spatial components to provide an encoded beam comprising at least one encoded component, wherein the amplitude of said encoded  
30 component is a smooth function or changes between three or more substantially distinct levels of contrast as said modulator is rotated about said rotation axis;

collecting and directing said encoded beam onto said detector; and  
analyzing signals generated by said detector in response to said  
encoded beam.

5                    99.     The method of claim 98, wherein said extended source is an  
assembly of different samples.

100.     The method of claim 98, wherein said analyzing determines  
the amplitude of at least one encoded component from the signals generated by said  
10     detector in response to the encoded beam.

101.     The method of claim 98, further comprising directing said  
encoded beam to a spectrometer to determine the encoded beams spectral  
properties.